

## Part C Annual Performance Report for FFY 2009

### Overview of the Annual Performance Report (APR) for 2009-2010

The Oklahoma Early Intervention Program (SoonerStart) developed the Annual Performance Report (APR) in accordance with the detailed procedures prescribed by the Secretary of the United States Department of Education (USDE). SoonerStart incorporated input from the Individuals with Disabilities Education Act (IDEA) Part C Interagency Coordinating Council (ICC). In addition, several stakeholder groups have met to discuss the SPP/APR including: (a) ICC Program Evaluation; (b) Infant Mental Health task-group; and (d) SPP/APR Stakeholders. SoonerStart participated in the following ICC meetings; September 12, 2009, December 16, 2009, February 17, 2010, May 19, 2010, June 16-17, 2010, September 15, 2010 and December 15, 2010. It is important to note that stakeholders reviewed all indicators where Oklahoma did not report 100% compliance. Those indicators are 1, 7, 8a, 8c, and 9. In addition, the following groups assisted in the development of and will participate in improvement activities, timelines, and resources associated with the APR:

- Assistive Technology Committee;
- Alternate Dispute Resolution Center (ADRC);
- Data Accountability Center (Westat)
- Early Childhood Outcomes (ECO) Center;
- Interagency Coordinating Council (ICC);
- ICC Funding Committee;
- ICC Leadership and Personnel Development Committee
- ICC Policy Committee;
- ICC Program Evaluation Committee;
- IDEA Part C SPP/APR Stakeholders;
- Infant Mental Health Committee;
- Low Incidence / Autism Committee;
- National Early Childhood Technical Assistance Center (NECTAC);
- Oklahoma Assistive Technology Center (OATC);
- Oklahoma Commission on Children and Youth (OCCY);
- Oklahoma Family Network;
- Oklahoma Parent Training Center;
- Oklahoma State Department of Education – Early Childhood Education;
- Oklahoma State Department of Health (OSDH);
- Oklahoma State Department of Human Services (OKDHS);
- Oklahoma State University – Assisting Brighter Living with Enabling Technology (OSU-ABLE Tech);
- Southeast Regional Resource Center (SERRC);
- University of Oklahoma Health Science Center (OUHSC) – Tolbert Center for Developmental Disabilities;
- University of Oklahoma Health Science Center (OUHSC) – Child Study Center;

SoonerStart will make available and report statewide data to the public regarding progress and/or slippage in meeting the measurable and rigorous targets identified in the APR. The SPP and APR along with the SoonerStart Data Profiles can be located on the OSDE-SES Web site at <<http://www.sde.state.ok.us>>, in addition the state will report disaggregated data based on the performance of each SoonerStart site on the targets in the APR. SoonerStart will deliver the APR to all SoonerStart sites and the ICC. The APR will be posted on the Oklahoma State Department of Education (OSDE), Special Education Services (SES), Web site at <<http://www.sde.state.ok.us/Curriculum/SpecEd/Data.html>> for public viewing and will be shared at open public meetings, such as the ICC Meeting.

Input from the ICC, a broad group of stakeholders, and other interested parties was used for each of the 14 indicators in the APR. These groups will serve as the resources used for the improvement activities for each of the 14 indicators. Each of the 14 indicators will be reported to the public through electronic delivery, the OSDE-SES Web site, and open public meetings.

## Part C State Annual Performance Report (APR) for FFY 2009

### Overview of the Annual Performance Report Development:

#### Monitoring Priority: Early Intervention Services in Natural Environments-Timely Services

**Indicator 1:** Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

#### Measurement:

Percent = [(# of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner) divided by the (total # of infants and toddlers with IFSPs)] times 100.

Account for untimely receipt of services, including the reasons for delays.

FFY	Measurable and Rigorous Target
FY2009	In FFY 2009, 100.00% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within 15 working days from the date of parent consent for services (i.e., the date on the initial IFSP).

#### Actual Target Data for FFY 2009:

In FFY 2009, 97.53% (1,418 of 1,454) of records indicated that SoonerStart services were provided within 15 working days from the date of parent consent for services (i.e., the date on the initial IFSP). This includes 20 children for whom delays were due to exceptional family circumstances.

Oklahoma utilizes a statewide online data system to collect data for monitoring Indicator 1. Any data that are missing from the data system are verified at the local site. Any data that do not meet the 15 day timeline are verified at the local site to determine if exceptional family circumstances or other issues affecting the timeline are applicable. Oklahoma includes in its calculation (in both the numerator and the denominator) the number of children for whom SoonerStart has identified the cause for the delay as a documented exceptional family circumstances.

#### Infants and Toddlers with IFSPs who receive Early Intervention Services in a Timely Manner:

a. Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	<b>1,418</b>
b. Total number of infants and toddlers with IFSPs	<b>1,454</b>
Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner (Percent = [(a) divided by (b)] times 100)	98%

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2009:**

These data represent progress from the FFY 2008 data of 94.41%. SoonerStart did not meet its FFY 2009 target of 100%. One reason for the significant progress in this area is the monitoring consistency provided by the new Program Managers as well as the technical assistance they provide to the local teams. Oklahoma believes this data is valid and reliable with only 2% of all infants and toddlers with IFSPs not receiving early intervention services in a timely manner. Per actual record reviews in each SoonerStart site the predominant reason for non-compliance (i.e., the reason for delay) was due to illness of the provider or the provider's family member. It was also noted that SoonerStart providers are diligent in completing appropriate documentation outlining the reasons for missing the timely services timeline. The Program Managers continue to provide clarification that SoonerStart timely service is classified as 15 working days not calendar days. Working days provide teams with five additional days to get services to families.

**Improvement Activities Completed in FFY 2008:**

Status	Activity	Timeline	Resources
Completed	OSDE <del>is reviewing and</del> has implemented new monitoring procedures to ensure local, State, and federal compliance.	FFY 2012 2008-	Revised monitoring procedures
Completed	OSDE-SES added two program managers <del>positions which will be</del> who are responsible for monitoring and technical assistance statewide.	FFY 2013 2009-	Staff and Supervision

**New and Revised Improvement Activities:**

Status	Activity	Timeline	Resources
<del>On-going</del>	<del>SoonerStart Regional Coordinator staff will begin monthly monitoring on this indicator to verify correction of noncompliance.</del>	FFY 2010 2008-	Data report
On-going	OSDE will request individual service provider productivity reports from OSDH in order to ensure service provider resources are maximized. <del>These reports will be validated by OSDE Regional Coordinator staff monthly.</del>	FFY 2013 2008-	Data report
On-going	SoonerStart is evaluating staff shortages and how best to address this problem statewide. The OSDE continues to work with the OSDH to identify service providers from the urban areas that are willing to serve children in the more rural areas of the state.	FFY 2013 2008-	Data System
On-going	SoonerStart utilized monitoring data collected from all 26 early intervention sites. Teams that scored less than 100.00% provided SoonerStart corrective action plans. These corrective action plans included activities such as requests for additional technical assistance regarding timeliness of IFSP services. As a result, SoonerStart provided technical assistance and training opportunities to staff.	FFY 2013 2008-	Data System, Corrective Action Plans, Technical Assistance, Training
On-going	The SoonerStart Data Analyst added edit checks and reports to the online system used by SoonerStart personnel. These additional checks and reports aid staff with scheduling by creating target dates for each report required to be submitted by personnel (e.g., IFSPs).	FFY 2013 2008-	Data System, Data Analyst
On-going	SoonerStart is in the process of changing team roles. Service	FFY 2013 2009-	Training

	coordinators will be trained this year on how to provide evaluation, assessment and IFSP services. In turn service providers will be trained in service coordination. SoonerStart plans to implement a new process and system in the Fall of 2011	2013	Materials and Technical Assistance
New	Resource Coordinator Technical Assistance Workshops will be scheduled to provide updates on Policies and Procedures as well as service coordination activities.	FFY 2009-2013	OSDE-SES Staff

**Correction of FFY 2008 Findings of Noncompliance (if State reported less than 100% compliance):**

Level of compliance (actual target data) State reported for FFY 2008 for this indicator 94.41%.

1. Number of findings of noncompliance the State made during FFY 2008 (the period from July 1, 2008, through June 30, 2009)	<b>24</b>
2. Number of FFY 2008 findings the State verified as timely corrected (verified as corrected within one year from the date of notification to the EIS program of the finding)	<b>23</b>
3. Number of FFY 2008 findings <u>not</u> verified as corrected within one year [(1) minus (2)]	<b>1</b>

**Correction of FFY 2008 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:**

4. Number of FFY 2008 findings not timely corrected (same as the number from (3) above)	<b>1</b>
5. Number of FFY 2008 findings the State has verified as corrected beyond the one-year timeline ("subsequent correction")	<b>1</b>
6. Number of FFY 2008 findings <u>not</u> verified as corrected [(4) minus (5)]	<b>0</b>

During FFY 2008, 23 of 24 findings of noncompliance (95.83%) were corrected within one year of identification. The single finding not corrected timely, was verified as corrected beyond the one year timeline. All specific incidents of non-compliance were corrected at the child level. At the site level, SoonerStart local sites continue to work on their corrective action plan with technical assistance as they move toward meeting 100% compliance for timely services. It is important to note that OSEPs response table in FFY 2008 indicated that Oklahoma did not correct the any of the findings timely, while Oklahoma did correct all findings timely with the exception on one.

**Actions Taken if Noncompliance Not Corrected:**

The SoonerStart site that did not timely was provided on-site visits by the Program Manager. A review of the online state database revealed that a lack of service providers is negatively impacting the provision of timely services. The SoonerStart program currently has 92 service provider and service coordinator vacancies. Local sites are utilizing service providers from other areas in the state and exploring resources that may be available in local communities. The SoonerStart program is developing a blended service coordinator/service provider position to better address staff shortages in local sites. The Program Manager provided technical assistance and training to each site which included information to the Regional Coordinator and Lead Clinician on appropriate documentation of intervention services; clarification of timely services; and answering questions regarding site specific ideas on correcting non-compliance.

**Verification of Correction of FFY 2008 noncompliance or FFY 2008 findings (either timely or subsequent):**

Oklahoma verifies correction of non-compliance at the site level and at the child level in accordance with OSEP Memorandum 09-02. Quarterly on-site verification visits ensure that the local site is correctly implementing the timely service provision requirements for each child in the SoonerStart program. Program managers while on-site review child level data to ensure that every child although late receives the services identified on the IFSP. Additionally, all the IFSPs written since the last onsite visit are reviewed to ensure that all children are receiving timely services.

**Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2008:**

The Program Manager reviewed reports from the statewide online database to determine sites requiring verification visits. On-site visits involved reviewing the specific target date report for the site to determine the number of IFSPs developed in the specified time period (quarterly reviews). For each IFSP developed, the chart is reviewed to verify that the infant or toddler received the IFSP services outlined in a timely manner. If the services were not initiated in a timely manner (15 working days from the IFSP date), the Program Manager verified that the services, although late, were initiated and that the appropriate documentation was completed stating the reason for missing the timely service requirement.

**Correction of Remaining FFY 2007 Findings of Noncompliance (if applicable):**

All findings issued in FFY 2007 have been verified as corrected. Correction of non-compliance for FFY 2007 is 100%. Quarterly on-site verification visits, by Program Managers, ensure that the local site is correctly implementing the 45 day timeline requirement for each child in the SoonerStart program.

1. Number of remaining uncorrected FFY 2007 findings of noncompliance noted in OSEP's June 2010, FFY 2008 APR response table for this indicator	<b>1</b>
2. Number of remaining FFY 2007 findings the State has verified as corrected	<b>1</b>
3. Number of remaining FFY 2007 findings the State has NOT verified as corrected [(1) minus (2)]e	<b>0</b>

**Verification of Correction of Remaining FFY 2007 findings:**

Oklahoma verified correction of non-compliance at the site level and at the child level in accordance with OSEP Memorandum 09-02. Quarterly on-site verification visits, by Program Managers, ensure that the local site is correctly implementing the 45 day timeline requirement for each child in the SoonerStart program.

**Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2007:**

The SoonerStart Regional Coordinator, at the EI site with the finding, reviewed the chart of each infant and toddler to verify that he/she received the IFSP services outlined in a timely manner. If the services were not initiated in a timely manner (15 working days from the IFSP date), the Regional Coordinator verified that the services, although late, were initiated and that the appropriate documentation was completed stating the reason for missing the timely service requirement.

**Correction of Any Remaining Findings of Noncompliance from FFY 2006 or Earlier (if applicable):**

All findings issued in FFY 2006 have been verified as corrected. Correction of non-compliance for FFY 2006 is 100%. Quarterly on-site verification visits, by Program Managers, ensure that the local site is correctly implementing the 45 day timeline requirement for each child in the SoonerStart program.

**Additional Information Required by the OSEP APR Response Table for this Indicator (if applicable):**

Statement from the Response Table	State's Response
<p>The State must demonstrate, in the FFY 2009 APR due February 1, 2011, that the State is in compliance with the timely service provision requirements in 34 CFR §§303.340(c), 303.342(e), and 303.344(f)(1). Because the State reported less than 100% compliance for FFY 2008, the State must report on the status of correction of noncompliance reflected in the data the State reported for this indicator.</p>	<p>During FFY 2009, 23 of 24 findings of noncompliance (95.83%) in FFY 2008 were corrected within one year of identification. The single finding not corrected timely, was verified as corrected beyond the one year timeline. All specific incidents of non-compliance were corrected at the child level. At the site level, SoonerStart local sites continue to work on their corrective action plan with technical assistance as they move toward meeting 100% compliance for timely services.</p>
<p>If the State does not report 100% compliance in the FFY 2009 APR, the State must review its improvement activities and revise them, if necessary.</p>	<p>OSDE has implemented new monitoring procedures to ensure local, State, and federal compliance. OSDE-SES added two program managers who are responsible for monitoring and technical assistance statewide. Additional FFY 2008 APR improvement activities are ongoing.</p>
<p><b>The State must also demonstrate in the FFY 2009 APR that the 24 noncompliance findings identified in FFY 2007 were corrected. It is important to note that this was stated in the FFY 2008 APR. In the FFY 2008 APR only one finding was not corrected timely.</b></p>	<p><b>As stated in FFY 2008 APR, 23 of 24 findings of noncompliance (95.83%) in FFY 2007 were corrected within one year of identification. The remaining finding was verified as subsequently corrected during FFY 2009 at the child and site level.</b></p>
<p>When reporting the correction of noncompliance, the State must report, in its FFY 2009 APR, that it has verified that each EIS program with noncompliance reflected in the data the State reported for this indicator and noncompliance findings identified in FFY 2007: (1) is correctly implementing 34 CFR §§303.340(c), 303.342(e), and 303.344(f)(1) (i.e., achieved 100% compliance) based on updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has initiated services, although late, for any child whose services were not initiated in a timely manner, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memo 09-02. In the FFY 2009 APR, the State must describe the specific actions that were taken to verify the correction.</p>	<p>For findings identified in FFY 2007, the SoonerStart Regional Coordinator of the local site issued the finding reviewed the chart of each infant and toddler to verify that he/she received the IFSP services outlined in a timely manner. If the services were not initiated in a timely manner (15 working days from the IFSP date), the Regional Coordinator verified that the services, although late, were initiated and that the appropriate documentation was completed stating the reason for missing the timely service requirement.</p>

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010 (if applicable):**

## Part C Annual Performance Report for FFY 2009

### Monitoring Priority: Early Intervention Services in Natural Environments

**Indicator 2:** Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

#### Measurement:

Percent = (# of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community based settings) divided by the total (# of infants and toddlers with IFSPs) times 100.

#### Measurable and Rigorous Target:

In FFY 2009, 95.81% infants and toddlers with IFSPs who primarily receive early intervention services in the home or community based settings.

#### Actual Target Data for FFY 2009:

In FFY 2009, 2994 of 3080 (**97.21%**) infant and toddlers with IFSPs who primarily receive early intervention services in the home or community based settings.

#### Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2009:

SoonerStart met its FFY ~~2008~~ 2009 target of 95.81% for the provision of Part C services in natural environments. These data are consistent with the data of ~~99.86%~~ 98.08% from FFY ~~2007- 2008~~. It is important to note, that IDEA provides flexibility for services needed in other settings due to children's and families' needs and that progress may not always be warranted. SoonerStart is committed to providing exceptional services to children and families in their natural environments. Training opportunities continue to emphasize the need to reach children and families in natural environments. Training is designed to expand current thinking when considering natural environments when writing an IFSP. The natural environment does not always equal a home environment. Improvement activities definitely made a difference in the range of natural environments staff now consider when serving infants and toddlers.

#### Improvement Activities Completed in FFY 2009:

Program Managers monitor IFSPs locally, to ensure services are being provided in natural environments. Additionally, SoonerStart uses 618 data and the Web-based data system to further verify services are being provided in natural environments.

The SoonerStart Data Analyst added edits checks and reports to the online system used by SoonerStart personnel. These additional checks and reports aid staff with scheduling by creating target dates for each report required to be submitted by personnel (e.g., IFSPs).

OSDE-SES added two program managers' ~~positions which will be~~ who are responsible for monitoring and technical assistance statewide.

**New and Revised Improvement Activities:**

Status	Activity	Timeline	Resources
New—On going	Sooner Start is in the process of changing team roles. Service coordinators will be trained this year on how to provide evaluation, assessment and IFSP services. In turn service providers will be trained in service coordination. SoonerStart plans to implement a new process and system in the Fall of 2011.	FFY 2009-2013	Training Materials and Technical Assistance

Statement from the Response Table	State's Response
The State's reported data for this indicator are 99.86%. The State's data reflect a high level of performance for this indicator. The State met its FFY 2008 target of 95.81%.	Oklahoma did not report 99.86% on this indicator as stated in the OSEP response table. Oklahoma reported 98.08%.

**Revisions, with Justification, to Proposed Targets/Improvement Activities/Timelines/Resources for FFY 2007 in the Oklahoma State Performance Plan (SPP; 2009)if applicable:**

## Part C Annual Performance Report for FFY 2009

### Monitoring Priority: Early Intervention Services in Natural Environments

**Indicator C3:** Percent of infants and toddlers with IFSPs who demonstrate improved;

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge skills (including early language/communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 USC 1416(a) (3)(A) and 1442)

**Measurement: (As defined by the Individuals with Disabilities Education Act [IDEA] and the Office of Special Education Programs)**

Positive social-emotional skills (including social relationships):

Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

- a. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- b. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- c. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- d. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

If a + b + c + d + e does not sum to 100%, explain the difference.

**B.** Acquisition and use of knowledge and skills (including early language/communication and early literacy):

Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

- a. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- b. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- c. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

**d.** Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

If a + b + c + d + e does not sum to 100%, explain the difference.

Use of appropriate behaviors to meet their needs:

Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

**e.** Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.

**f.** Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.

**g.** Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.

**h.** Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.

If a + b + c + d + e does not sum to 100%, explain the difference.

**Target Data and Actual Target Data for FFY 2009:**

**Targets and Actual Data for Part C Children Exiting in FFY 2009 (2009-10)**

Summary Statements	Targets FFY 2009 (% of children)	Actual FFY 2009 (% of children)
<b>Outcome A: Positive social-emotional skills (including social relationships)</b>		
1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they exited the program	88.64%	88.60%
2. The percent of children who were functioning within age expectations in Outcome A by the time they exited the program	64.06%	59.30%
<b>Outcome B: Acquisition and use of knowledge and skills (including early language/communication and early literacy)</b>		
1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they exited the program	91.17%	86.70%
2. The percent of children who were functioning within age expectations in Outcome B by the time they exited the program	61.07%	56.20%
<b>Outcome C: Use of appropriate behaviors to meet their needs</b>		
1. Of those children who entered or exited the program below age	91.97%	87.50%

expectations in Outcome C, the percent who substantially increased their rate of growth by the time they exited the program		
2. The percent of children who were functioning within age expectations in Outcome C by the time they exited the program	59.73%	65.20%

**Progress Data for Part C Children FFY 2009**

Positive social-emotional skills (including social relationships):	Number of children	% of children
a. Percent of children who did not improve functioning	39	1.90%
b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	241	11.90%
c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach	543	26.90%
d. Percent of children who improved functioning to reach a level comparable to same-aged peers	882	43.60%
e. Percent of children who maintained functioning at a level comparable to same-aged peers	316	15.60%
Total	N=2010	100%
Acquisition and use of knowledge and skills (including early language/communication):	Number of children	% of children
a. Percent of children who did not improve functioning	33	1.60%
b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	217	10.70%
c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach	635	31.40%
d. Percent of children who improved functioning to reach a level comparable to same-aged peers	993	49.10%
e. Percent of children who maintained functioning at a level comparable to same-aged peers	143	7.10%
Total	N=2010	100%
C. Use of appropriate behaviors to meet their needs:	Number of children	% of children
a. Percent of children who did not improve functioning	41	2.0
b. Percent of children who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	197	9.7
c. Percent of children who improved functioning to a level nearer to same-aged peers but did not reach	688	34.0
d. Percent of children who improved functioning to reach a level comparable to same-aged peers	982	48.6
e. Percent of children who maintained functioning at a level comparable to same-aged peers	112	5.6
Total	N=2021	100%

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2009:**

***Indicator C3: A) Percent of infants and toddlers with IFSPs who demonstrate improved positive social-emotional skills (including social relationships).***

Oklahoma reported that 88.60% of those children who entered or exited the program below age expectations substantially increased their rate of growth in this outcome by the time they exited the program. Oklahoma did not meet the FFY 2009 target of 88.64%.

The percent of children who were functioning within age expectations in this outcome by the time they exited the program was 59.30% which did not meet the FFY 2009 target of 64.06%.

***Indicator C3: B) Percent of infants and toddlers with IFSPs who demonstrate improved acquisition and use of knowledge and skills (including early language/communication and early literacy).***

Oklahoma reported that 86.70% of those children who entered or exited the program below age expectations substantially increased their rate of growth in this outcome by the time they exited the program. Oklahomadid not meet the FFY 2009 target of 91.17%.

The percent of children who were functioning within age expectations in this outcome by the time they exited the program was 56.20% which did not meet the FFY 2009 target of 61.07%.

***Indicator C3: C) Percent of infants and toddlers with IFSPs who demonstrate use of appropriate behaviors to meet their needs.***

Oklahoma reported that 87.50% of those children who entered or exited the program below age expectations substantially increased their rate of growth in this outcome by the time they exited the program. Oklahoma did not meet the FFY 2009 target of 91.97%.

The percent of children who were functioning within age expectations in this outcome by the time they exited the program was 65.20% which did meet the FFY 2009 target of 59.73%.

Oklahoma uses the Child Outcome Summary Form (COSF) developed by the Early Childhood Outcomes Center statewide for both B and C. Oklahoma Part C uses the Battle Developmental Inventory (BDI) statewide and is one of the tools used to assist providers in comparing children to same aged peers. Any other assessment tools are acceptable including child observation and parent report. Oklahoma does not service children who are at risk of having a developmental delay.

In FFY 2009 the improvement activities completed were focused on improving the quality of data and data collecting. Training was provided on Early Childhood Outcomes through the STARS program to SoonerStart staff, Part B staff, and parents. This training focused on the purpose and importance of ECOs as well as explanations of the individual ratings. The statewide ECO data (baseline data) was reviewed with SoonerStart staff to provide them a better understanding of how each site contributes information and the importance of accurate and timely completion of the COSF. SoonerStart sites were also provided technical assistance on developing procedures to ensure that the COSF is completed and the results entered into the statewide database. In collaboration with OSDH, OSDE made several requests to local sites to complete data checks to ensure that data entry is accurate and timely. Oklahoma continues to work on improving the validity and reliability of ECO data as well as moving toward providing technical assistance and training to service providers on activities to improve child outcomes.

**Improvement Activities Completed in FFY 2008:**

Completed	Provided training through STARS on early childhood outcomes for Part C staff, Part B staff, and parents.	FFY 2007- FFY 2010	ECO center updates and workshop materials
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Completed	Provide early childhood outcome data to each site, ICC, and stakeholders.	FFY 2008	Data validation and verification results
Completed	SoonerStart sites will be provided technical assistance for developing procedures to ensure that the COSF is administered and entered.	FFY 2008	Data validation and verification results
Completed	OSDE has implemented new monitoring procedures to ensure local, State, and federal compliance.	FFY 2008-2012	Revised monitoring procedures
Completed	OSDE-SES added two program managers <del>positions which will be</del> who are responsible for monitoring and technical assistance statewide.	FFY 2009-2012	Staff and Supervision

**New and Revised Improvement Activities:**

Status	Activity	Timeline	Resources
Ongoing	Collaborate with Part B personnel to develop strategies for recruiting and maintaining Part C service personnel (such as speech language pathologists, physical therapists, occupational therapists, and other providers with specialized skills by December 2007).	FFY 2007 – FFY 2013	Preschool Coordinator and local SoonerStart staff
Ongoing	Request additional technical assistance and information from SERRC on strategies for improving child outcomes or policies from other states.	FFY 2007-2013	OSDE-SES consults on a regular basis with SERRC
Ongoing	Request additional technical assistance and information from the ECO Center, NECTAC, and other agencies, stakeholder groups, taskforces, and technical assistance providers.	FFY 2007-2013	Get updated information from TA centers as needed
On-going	Provide early childhood outcome data to each site, ICC, and stakeholders.	FFY 2008-2013	Data validation and verification results
On-going	SoonerStart sites will be provided technical assistance for developing procedures to ensure that the COSF is administered and entered.	FFY 2008-2013	Data validation and verification results
New	Entry and Exit ECO Target Date reports will be added to the SoonerStart database. SoonerStart supervisors will review data reports to ensure that COSFs are completed timely.	FFY 2009-2013	Data validation and verification results
New	SoonerStart will incorporate the COSF in the materials packets	FFY 2009-	Data

	used in the evaluation/assessment process.	2013	validation and verification results
New	SoonerStart will schedule a training on Routines Based Intervention by Robin McWilliams for all SoonerStart team leaders to improve quality of services and ultimately improve child outcomes.	FFY 2013	2009- Data validation and verification results
New	Resource Coordinator Technical Assistance Workshops will be scheduled to provide updates on Policies and Procedures as well as service coordination activities.	FFY 2013	2009- OSDE-SES Staff
New	OSDE-SES will explore ways to provide families incentives to return surveys.	FFY 2013	2010- OSDE-SES Staff

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010 [If applicable]**

## Part C Annual Performance Report for FFY 2009

### Monitoring Priority: Early Intervention Services in Natural Environments

**Indicator 4:** Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 USC 1416(a)(3)(A) and 1442)

#### Measurement:

- A. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family know their rights) divided by the (# of respondent families participating in Part C)] times 100.
- B. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs) divided by the (# of respondent families participating in Part C)] times 100.
- C. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn) divided by the (# of respondent families participating in Part C)] times 100.

#### Target Data and Actual Target Data for FFY 2009:

Target Data and Actual Target Data	FFY 2009 Target	FFY 2009 Actual	
A. Know their rights	93.30%	176	97.78%
B. Effectively communicate their children's needs	95.30%	176	97.78%
C. Help their children develop and learn	93.90%	175	97.22%

#### Response Rate and Representativeness of the Response Group

For FFY 2009, 180 surveys were returned to SoonerStart. 3,080 children are on IFSPs for the FFY 2009 Child Count and this count is used to determine response rate. For FFY 2009, the family survey response rate is 5.84%. This represents a slight progress from the FFY 2008 response rate of 5.78%.

Only 86 of the 180 surveys (47.78%) returned had a SoonerStart site identified. These surveys came from only 17 of the 26 SoonerStart sites. Because several sites were not adequately represented, it is difficult to determine if the

racial/ethnic breakdown is an accurate portrait of the SoonerStart population. Also, the racial and ethnic categories were the greatest number of missing values: families chose not to identify their racial/ethnic categories.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2009:**

**Indicator C4: Percent of families participating in Part C who report that early intervention services have helped the family: A) Know their rights.**

Oklahoma reported in FFY 2009 97.78% of families participating in Part C reported that SoonerStart helped the family know their rights. This met the target of 93.30% and showed progress from FFY 2008 data of 93.15%.

**Indicator C4: Percent of families participating in Part C who report that early intervention services have helped the family: B) Effectively communicate their children’s needs.**

Oklahoma reported in FFY 2009 97.78% of families participating in Part C reported that SoonerStart helped the family communicate their children’s needs. This met the target of 95.30% and showed progress from FFY 2008 data of 95.25%.

**Indicator C4: Percent of families participating in Part C who report that early intervention services have helped the family: C) Help their children develop and learn.**

Oklahoma reported in FFY 2009 97.22% of families participating in Part C reported that SoonerStart helped the family help their children develop and learn. This met the target of 93.90% and showed progress from FFY 2008 data of 93.85%.

SoonerStart’s data for this indicator demonstrated progress in all three areas from the FFY 2008 data. One reason for the progress is the monitoring consistency provided by the new Program Managers as well as the technical assistance they provide to the local teams. Service coordinators and providers did a better job of giving families a copy of Family Survey at the annual IFSP.

**Improvement Activities Completed in FFY 2008:**

All families received the survey at the annual IFSP review. Families are provided a cover letter explaining the survey, the survey, and a postage-paid envelope. The survey is available in English, Spanish and Vietnamese.
SoonerStart contracted with Scantron, Inc., to better calculate, distribute, and code the family survey. Scantron coded, printed, and mailed the survey to all 26 SoonerStart sites, and provided training to OSDE staff as to how to use the scanning machine purchased as part of the contract. SoonerStart believes this will reduce data entry errors and save time for local staff responsible for getting the survey to each family.
SoonerStart requested assistance from the SERRC on strategies for improving family outcomes or policies from other states.
SoonerStart State Directors provided technical assistance to SoonerStart sites on methods of facilitating parental involvement through team leader meetings, STARS trainings, and other personnel development activities.
Provided an article to the Oklahoma Family Network for their newsletter informing parents of the survey.
Added a question to the Annual Comprehensive Desk Audits that each site completes that includes checking for documentation that the family survey was provided to the family at the annual review.
OSDE has implemented new monitoring procedures to ensure local, State, and federal compliance.
OSDE-SES added two program managers <del>positions which will be</del> who are responsible for monitoring and technical assistance statewide.

**New and Revised Improvement Activities:**

Status	Activity	Timeline	Resources
New On-Going	Explore revising IFSP to include a question about the family survey in order to remind staff to provide the survey to each parent at the annual review. This activity was not completed for 2008-2009; therefore SoonerStart will continue to work on this activity.	FFY 2008-2013	IFSP
On-going	Provide an article to the Oklahoma Family Network for their newsletter informing parents of the survey.	FFY-2008-2013	OSDE-SES staff
On-going	Added a question to the Annual Comprehensive Desk Audits each site completes to that includes checking for documentation that the family survey was provided to the family at the annual review.	FFY-2008-2013	OSDE-SES staff
New	Sooner Start is in the process of changing team roles. Service coordinators will be trained this year on how to provide evaluation, assessment and IFSP services. In turn service providers will be trained in service coordination. SoonerStart plans to implement a new process and system in the Fall of 2011.	FFY 2009-2013	Training Materials and Technical Assistance
New	Provide updated training to all SoonerStart personnel on Procedural Safeguards and Parent Rights.	FFY 2009-2013	OSDE-SES Staff
New	Resource Coordinator Technical Assistance Workshops will be scheduled to provide updates on Policies and Procedures as well as service coordination activities.	FFY 2009-2013	OSDE-SES Staff
New	SoonerStart will explore ways to provide families an incentive to complete the survey.	FFY 2010-2013	OSDE-SES Staff
New	SoonerStart will consider using the Family Survey developed by the ECO Center.	FFY 2010-2013	OSDE-SES Staff

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2009 [If applicable]:** N/A

## Part C Annual Performance Report for FFY 2009

Overview of the Annual Performance Report (APR) for 2009-2010

See Indicator 1.

### Monitoring Priority: Effective General Supervision Child Find Birth to One

**Indicator 5** - Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

#### Measurement:

Percent = (# of infants and toddlers birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1) times 100 compared to national data.

#### Measurable and Rigorous Target:

In FFY 2009, 1.28% of infants (0-1) with IFSPs will receive early intervention services.

#### Actual Target Data for FFY 2009:

In FFY 2009, the national percent of the population birth to 1 receiving services in the IDEA Part C early intervention program was 1.03%. In FFY 2009, Oklahoma served 644 of 54,677 (1.18%) of this population.

#### Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2009:

For FFY 2009, SoonerStart's data showed ~~slippage~~ progress from its FFY 2008 data of .99% nevertheless SoonerStart did not meet its target of 1.26% for serving infants birth to 1. The progress is a result of a concerted effort by all 26 SoonerStart sites to target specific areas of need and review specific data on referral sources. However, due to restraints on State and local funds and the narrow eligibility requirements in Oklahoma, SoonerStart is facing challenges in increasing the number of children served. In some areas of the state, local sites have been without dedicated service providers for extended periods of time, depending on assistance from neighboring EI units. Local referral sources are aware of the shortage of service providers and have referred infants and toddlers to other community programs. It is important to note that SoonerStart has experienced a higher than expected number of families declining referrals or failing to follow through with the eligibility process since the economic downturn over the past several years. Many families choose to postpone services or activities that require any actions outside of those absolutely necessary to meet their basic needs – food, shelter and clothing. Regional Coordinators continue to lead each of the 26 sites in completing an annual Public Awareness Plan. ~~In order to develop an effective plan, Regional Coordinators meet with each of the 26 teams to brainstorm public awareness activities and review data as to who is actually making referrals. This helps teams~~ The Public Awareness Plan is designed to target specific areas of need in ensuring that all eligible children are found and served.

#### Improvement Activities Completed in FFY 2009:

Each SoonerStart site completed a data-driven, public awareness plan. These plans document activities specifically designed to promote early identification and referral of children birth to age 1. For example, as a result of these plans, SoonerStart developed stronger partnerships with hospitals and other medical centers (e.g., tribal medical clinics) to provide information to families discharged from their care.

Each SoonerStart site partnered with local Department of Human Services (DHS) offices to ensure that all children with substantiated cases of abuse and/or neglect (including drug exposure) were referred to the SoonerStart program.
SoonerStart partner agencies worked together with the OSDH to refer all potentially eligible children on the Birth Defect Registry (BDR) to SoonerStart.
The SoonerStart Public Awareness Committee collaborated to develop recommendations to the OSDE-SES and the SoonerStart partner agencies to promote early identification and referrals. As a result of this collaboration, SoonerStart created a "Born to Learn" initiative which promoted awareness of services to all children birth to one. Born to Learn materials were provided to all Oklahoma School districts, including special education teachers, and placed in most county health departments.
SoonerStart completed a statewide public service announcement for television.

**Revisions, with Justification, to Proposed Targets/Improvement Activities/Timelines/Resources for FFY 2009 in the Oklahoma State Performance Plan (SPP; 2009):**

**New and Revised Improvement Activities:**

Status	Activity	Timeline	Resources
On-going	SoonerStart is in the process of piloting a fax back referral form with pediatricians at the Oklahoma University Health Sciences Center, Child Study Center in order to facilitate better communication with pediatricians. If the pilot is successful SoonerStart will distribute the form statewide. Data is still being collected so the form has not yet gone statewide.	FFY 2008-2013	SoonerStart Fax Back Pilot Form
On-going	Each SoonerStart site completed a data-driven, public awareness plan. These plans document activities specifically designed to promote early identification and referral of children birth to age 1. For example, as a result of these plans, SoonerStart developed stronger partnerships with hospitals and other medical centers (e.g., tribal medical clinics) to provide information to families discharged from their care.	FFY 2008-2013	Local Public Awareness Plans
On-going	Each SoonerStart site partnered with local Department of Human Services (DHS) offices to ensure that all children with substantiated cases of abuse and/or neglect (including drug exposure) were referred to the SoonerStart program.	FFY 2008-2013	Data system report
On-going	SoonerStart partner agencies worked together with the OSDH to refer all potentially eligible children on the Birth Defect Registry (BDR) to SoonerStart.	FFY 2008-2013	Birth Defects Registry

## Part C Annual Performance Report for FFY 2009

Overview of the Annual Performance Report (APR) for 2009-2010

**Monitoring Priority: Effective General Supervision Child Find Birth to Three**

**Indicator 6** - Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

**Measurement:**

Percent = (# of infants and toddlers birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3) times 100 compared to national data.

**Measurable and Rigorous Target:**

In FFY,2009 2.15% of infants and toddlers (0-3) will receive early intervention services.

**Actual Target Data for FFY 2009:**

In FFY 2009, the national percent of the population birth to 3 receiving services in the IDEA Part C early intervention program was ~~2.66%~~ 2.67% In FFY 2009, Oklahoma served 2,923 of 163,730 (1.79%) 3,063 of 165,496 (1.85%) of this population.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2009:**

For FFY 2009, SoonerStart's data showed ~~slippage~~ progress from its FFY 2008 data of 1.79% nevertheless SoonerStart did not meet its target of 2.15% for serving infants birth to 3. The progress is a result of a concerted effort by all 26 SoonerStart sites to target specific areas of need and review specific data on referral sources. However, due to restraints on State and local funds and the narrow eligibility requirements in Oklahoma, SoonerStart is facing challenges in increasing the number of children served. In some areas of the state, local sites have been without dedicated service providers for extended periods of time, depending on assistance from neighboring EI units. Local referral sources are aware of the shortage of service providers and have referred infants and toddlers to other community programs. It is important to note that SoonerStart has experienced a higher than expected number of families declining referrals or failing to follow through with the eligibility process since the economic downturn over the past several years. Many families choose to postpone services or activities that require any actions outside of those absolutely necessary to meet their basic needs – food, shelter and clothing. Regional Coordinators continue to lead each of the 26 sites in completing an annual Public Awareness Plan. ~~In order to develop an effective plan, Regional Coordinators meet with each of the 26 teams to brainstorm public awareness activities and review data as to who is actually making referrals. This helps teams~~ The Public Awareness Plan is designed to target specific areas of need in ensuring that all eligible children are found and served.

**Improvement Activities Completed in FFY 2009:**

Each SoonerStart site completed a data-driven, public awareness plan. These plans document activities specifically designed to promote early identification and referral of children birth to age 1. For example, as a result of these plans, SoonerStart developed stronger partnerships with hospitals and other medical centers (e.g., tribal medical clinics) to provide information to families discharged from their care.

Each SoonerStart site partnered with local Department of Human Services (DHS) offices to ensure that all children with substantiated cases of abuse and/or neglect (including drug exposure) were referred to the SoonerStart program.
The OFN provided technical assistance to Neonatal Intensive Care Unit (NICU) staff and local SoonerStart sites to ensure effective dissemination of public awareness materials and processes to link families with early intervention services.
The SoonerStart Public Awareness Committee collaborated to develop recommendations to the OSDE-SES and the SoonerStart partner agencies to promote early identification and referrals. As a result of this collaboration, SoonerStart created a “Born to Learn” initiative which promoted awareness of services to all children birth to one. Born to Learn materials were provided to all Oklahoma School districts, including special education teachers, and placed in most county health departments.
SoonerStart completed a statewide public service announcement for television.

**Revisions, with Justification, to Proposed Targets/Improvement Activities/Timelines/Resources for FFY 2009 in the Oklahoma State Performance Plan (SPP; 2010):**

**New and Revised Improvement Activities:**

Status	Activity	Timeline	Resources
On-going	SoonerStart is in the process of piloting a fax back referral form with pediatricians at the Oklahoma University Health Sciences Center, Child Study Center in order to facilitate better communication with pediatricians. If the pilot is successful SoonerStart will distribute the form statewide.	FFY 2008-2013	SoonerStart Fax Back Pilot Form
On-going	Each SoonerStart site completed a data-driven, public awareness plan. These plans document activities specifically designed to promote early identification and referral of children birth to age 1. For example, as a result of these plans, SoonerStart developed stronger partnerships with hospitals and other medical centers (e.g., tribal medical clinics) to provide information to families discharged from their care.	FFY 2008-2013	Local Public Awareness Plans
On-going	Each SoonerStart site partnered with local Department of Human Services (DHS) offices to ensure that all children with substantiated cases of abuse and/or neglect (including drug exposure) were referred to the SoonerStart program.	FFY 2008-2013	Data system report
On-going	SoonerStart partner agencies worked together with the OSDH to refer all potentially eligible children on the Birth Defect Registry (BDR) to SoonerStart.	FFY 2008-2013	Birth Defects Registry

**Part C State Annual Performance Report (APR) for FFY 2009**

**Monitoring Priority:**

**Indicator 7:** Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C’s 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**

Percent = [(# of infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C’s 45-day timeline) divided by the (# of infants and toddlers with IFSPs evaluated and assessed for whom an initial IFSP meeting was required to be conducted)] times 100.

Account for untimely evaluations, assessments, and initial IFSP meetings, including the reasons for delays.

FFY	Measurable and Rigorous Target
FY2009	For FFY 2009, 100.00% of eligible infants and toddlers with IFSPs will have an evaluation, assessment, and initial IFSP meeting within Part C’s 45-day timeline.

**Actual Target Data for FFY 2009:**

For FFY 2009, 1,383 of 1,652 records reviewed (83.72%) of eligible infants and toddlers with IFSPs had an evaluation, assessment, and initial IFSP meeting conducted within Part C’s 45-day timeline. Of those, 386 received were past the timeline due to exceptional family circumstances. These cases were included in the state’s calculation.

There were 269 children who did not have an evaluation, assessment, and initial IFSP meeting within Part C’s 45-day timeline. Staff shortages had a significant effect on not meeting the 45 day timeline. These shortages impacted service provider availability or team evaluations and scheduling IFSPs when staff members were ill, on leave, or in training. All of these children have now received evaluations and when found eligible, IFSPs have been completed;

Oklahoma utilizes a statewide online data system to collect data for monitoring Indicator 7. Any data that are missing from the data system are verified at the local site. Any data that do not meet the 45 day timeline are verified at the local site to determine if exceptional family circumstances or other issues affecting the timeline are applicable. Oklahoma includes in its calculation (in both the numerator and the denominator) the number of children for whom SoonerStart has identified the cause for the delay as documented exceptional family circumstances.

**Infants Evaluated and Assessed and provided an Initial IFSP meeting Within Part C’s 45-day timeline:**

c. Number of infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C’s 45-day timeline	1383
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d. Number of infants and toddlers with IFSPs evaluated and assessed for whom an initial IFSP meeting was required to be conducted	<b>1652</b>
Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline (Percent = [(a) divided by (b)] times 100)	84%

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred in FFY 2009:**

These data represent progress from the FFY 2008 data of 78.53%. SoonerStart did not meet its FFY 2009 target of 100%. One reason for the significant progress in this area is the monitoring consistency provided by the new Program Managers as well as the technical assistance they provide to the local teams. Oklahoma believes that the approximately 5% increase in 45 day timeline compliance is a result of local sites using all available resources to meet timelines. Service Coordinators utilized specialized data reports to track target dates. Service providers previously designated as site administrators also began participating in multidisciplinary evaluations and IFSP development. Per actual record reviews in each SoonerStart site the predominant reason identified for non-compliance was due to staff shortages affecting scheduling eligibility evaluations and IFSPs. However, it was noted that many charts (142) contained incomplete documentation outlining the reasons for missing the timely services timeline. The Program Managers continue to provide technical assistance to local sites emphasizing the importance of written documentation when the 45 day timeline is not met as well as exploring options for maximizing service provider availability.

**Improvement Activities Completed in FFY 2008:**

Status	Activity	Timeline	Resources
Completed	OSDE <del>is reviewing and</del> has implemented new monitoring procedures to ensure local, State, and federal compliance.	FFY 2008-2012	Revised monitoring procedures
Completed	OSDE-SES added two program managers <del>positions which will be</del> who are responsible for monitoring and technical assistance statewide.	FFY 2009-2012	Staff and Supervision

**New and Revised Improvement Activities:**

Status	Activity	Timeline	Resources
On-going	OSDE will request individual service provider productivity reports from OSDH in order to ensure service provider resources are maximized.	FFY 2008-2013	Data report
On-going	SoonerStart is evaluating staff shortages and how best to address this problem statewide. The OSDE continues to work with the OSDH to identify service providers from the urban areas that are willing to serve children in the more rural areas of the state.	FFY 2008-2013	Data System
On-going	SoonerStart utilized monitoring data collected from all 26 early intervention sites. Teams that scored less than 100.00% provided SoonerStart corrective action plans. These corrective action plans included activities such as requests for additional technical assistance regarding timeliness of IFSP services. As a result, SoonerStart provided technical assistance and training opportunities to staff.	FFY 2008-2013	Data System, Corrective Action Plans, Technical Assistance, Training

On-going	The SoonerStart Data Analyst added edit checks and reports to the online system used by SoonerStart personnel. These additional checks and reports aid staff with scheduling by creating target dates for each report required to be submitted by personnel (e.g., IFSPs).	FFY 2013	2008-	Data System, Data Analyst
On-going	SoonerStart is in the process of changing team roles. Service coordinators will be trained this year on how to provide evaluation, assessment and IFSP services. In turn service providers will be trained in service coordination. SoonerStart plans to implement a new process and system in the Fall of 2010	FFY 2013	2009-	Training Materials and Technical Assistance
New	SoonerStart will implement a streamlined process from referral to eligibility as part of a statewide mandatory corrective action plan in the Fall 2010 in order to meet the 45 day IFSP development timeline.	FFY 2013	2009-	Staff and Supervision, Program Managers
New	Resource Coordinator Technical Assistance Workshops will be scheduled to provide updates on Policies and Procedures as well as service coordination activities.	FFY 2013	2009-	OSDE-SES Staff

**Correction of FFY 2008 Findings of Noncompliance (if State reported less than 100% compliance):**

Level of compliance (actual target data) State reported for FFY 2008 for this indicator: 78.53%

7. Number of findings of noncompliance the State made during FFY 2008 (the period from July 1, 2008, through June 30, 2009)	<b>24</b>
8. Number of FFY 2008 findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS program of the finding)	<b>18</b>
9. Number of FFY 2008 findings <u>not</u> verified as corrected within one year [(1) minus (2)]	<b>6</b>

**Correction of FFY 2008 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:**

10. Number of FFY 2008 findings not timely corrected (same as the number from (3) above)	<b>6</b>
11. Number of FFY 2008 findings the State has verified as corrected beyond the one-year timeline ("subsequent correction")	<b>6</b>
12. Number of FFY 2008 findings <u>not</u> verified as corrected [(4) minus (5)]	<b>0</b>

During FFY 2009, 18 of 24 findings of noncompliance (75%) in FFY 2008 were corrected within one year of identification. Of the 6 findings not corrected timely, all were verified as corrected beyond the one year timeline. All specific incidents of non-compliance were corrected at the child level and each eligible infant and toddler subsequently received an IFSP. At the site level, SoonerStart local sites continue to work on their corrective action plan with technical assistance as they move toward meeting 100% compliance for 45 day timeline.

**Actions Taken if Noncompliance Not Corrected:**

SoonerStart sites that did not timely correct were provided on-site visits by the Program Manager. A review of the online state database revealed that a lack of service providers is negatively impacting the 45 day timeline. The SoonerStart program currently has 92 service provider and service coordinator vacancies. Local sites are utilizing service providers from other areas in the state and exploring resources that may be available in local communities. The SoonerStart program is developing a blended service coordinator/service provider position to better address

staff shortages in local sites. The Program Manager provided technical assistance and training to each site which included information to the Regional Coordinator and Lead Clinician on appropriate documentation of reasons past 45 day timeline. As a Technical Assistance tool, an explanation of reasons was developed and shared with teams for consistency with documenting.

**Verification of Correction of FFY 2008 noncompliance or FFY 2008 findings (either timely or subsequent):**

Oklahoma verifies correction of non-compliance at the site level and at the child level in accordance with OSEP Memorandum 09-02. Quarterly on-site verification visits, by Program Managers, ensure that the local site is correctly implementing the 45 day timeline requirement for each child in the SoonerStart program.

**Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2008:**

The Program Manager reviewed the statewide online database to determine sites requiring verification visits. On-site visits involved reviewing the specific target date report for the site to determine the number of IFSPs that were due in the specified time period (quarterly reviews). For each IFSP due within the time period, the chart is reviewed to verify that the infant or toddler received an IFSP within the 45 day timeline. If the IFSP's were not developed in a timely manner (45 days from the referral date), the Program Manager verified that the IFSP, although late, was initiated and that the appropriate documentation was completed stating the reason for missing the 45 day timeline requirement.

**Correction of Remaining FFY 2007 Findings of Noncompliance (if applicable):**

All findings issued in FFY 2007 have been verified as corrected. Correction of non-compliance for FFY 2007 is 100%.

4. Number of remaining FFY 2007 findings of noncompliance noted in OSEP's June 2010, FFY 2008 APR response table for this indicator	<b>18</b>
5. Number of remaining FFY 2007 findings the State has verified as corrected	<b>18</b>
6. Number of remaining FFY 2007 findings the State has NOT verified as corrected [(1) minus (2)]	<b>0</b>

**Verification of Remaining FFY 2007 findings:**

Oklahoma verified correction of non-compliance at the site level and at the child level in accordance with OSEP Memorandum 09-02. Quarterly on-site verification visits, by Program Managers, ensure that the local site is correctly implementing the 45 day timeline requirement for each child in the SoonerStart program.

**Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2007:**

The SoonerStart Regional Coordinator, at the EI site with the finding, reviewed the chart of each infant and toddler to verify that he/she received the IFSP due within the time period. The chart is reviewed to verify that the infant or toddler received an IFSP in 45 day timeline. If the IFSP's were not developed in a timely manner (45 days from the referral date), the Regional Coordinator verified that the IFSP, although late, were initiated and that the appropriate documentation was completed stating the reason for missing the 45 day timeline requirement.

**Correction of Any Remaining Findings of Noncompliance from FFY 2006 or Earlier (if applicable):**

All findings issued in FFY 2006 have been verified as corrected. Correction of non-compliance for FFY 2006 is 100%. Quarterly on-site verification visits, by Program Managers, ensure that the local site is correctly implementing the 45 day timeline requirement for each child in the SoonerStart program.

**Additional Information Required by the OSEP APR Response Table for this Indicator (if applicable):**

Statement from the Response Table	State's Response
<p>The State must demonstrate, in the FFY 2009 APR, that the State is in compliance with the 45-day timeline requirements in 34 CFR §§303.321(e)(2), 303.322(e)(1), and 303.342(a). Because the State reported less than 100% compliance for FFY 2008, the State must report on the status of correction of noncompliance reflected in the data the State reported for this indicator.</p>	<p>During FFY 2009, 18 of 24 findings of noncompliance (75%) in FFY 2008 were corrected within one year of identification. Of the 6 findings not corrected timely, all were verified as corrected beyond the one year timeline. All specific incidents of non-compliance were corrected at the child level and each eligible infant and toddler subsequently received an IFSP. At the site level, SoonerStart local sites continue to work on their corrective action plan with technical assistance as they move toward meeting 100% compliance for 45 day timeline.</p>
<p>If the State does not report 100% compliance in the FFY 2009 APR, the State must review its improvement activities and revise them, if necessary.</p>	<p>OSDE has implemented new monitoring procedures to ensure local, State, and federal compliance. OSDE-SES added two program managers who are responsible for monitoring and technical assistance statewide. Additional FFY 2008 APR improvement activities are ongoing.</p>
<p>The State must also demonstrate in the FFY 2009 APR that the 24 noncompliance findings identified in FFY 2007 were corrected. It is important to note that Oklahoma reported that 6 of the 24 findings were corrected within the one year timeline.</p>	<p>The remaining 18 findings were verified as subsequently corrected during FFY 2009 at the child and site level.</p>
<p>When reporting the correction of noncompliance, the State must report, in its FFY 2009 APR, that it has verified that each EIS program with noncompliance reflected in the data the State reported for this indicator and noncompliance findings identified in FFY 2007: (1) is correctly implementing 34 CFR §§303.321(e)(2), 303.322(e)(1), and 303.342(a) (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has conducted the initial evaluation, assessment, and IFSP meeting, although late, for any child for whom the 45-day timeline was not met, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memo 09-02. In the FFY 2009 APR, the State must describe the specific actions that were taken to verify the correction.</p>	<p>For findings identified in FFY 2007, the SoonerStart Regional Coordinator at the EI site with the finding reviewed the chart of each infant and toddler to verify that he/she received the IFSP due within the time period. The chart is reviewed to verify that the infant or toddler received an IFSP in 45 day timeline. If the IFSP's were not developed in a timely manner (45 days from the referral date), the Regional Coordinator verified that the IFSP, although late, were initiated and that the appropriate documentation was completed stating the reason for missing the 45 day timeline requirement.</p>

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010 (if applicable):**

**Part C State Annual Performance Report (APR) for FFY 2009**

**Monitoring Priority: Effective General Supervision Part C / Effective Transition**

**Indicator 8A:** Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:

A IFSPs with transition steps and services

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:  
 Percent = [(# of children exiting Part C who have an IFSP with transition steps and services) divided by the (# of children exiting Part C)] times 100.

FFY	Measurable and Rigorous Target
<i>FFY2009</i>	In FFY 2009, 100.00% of all children exiting Part C will receive timely transition planning to support their transitions to preschool and other appropriate community services by their third birthdays, including IFSPs with transition steps and services.

**Actual Target Data for FFY 2009:**

In FFY 2009, 1122 of 1138 (98.60%) children exiting Part C received timely transition planning to support their transitions to preschool and other appropriate community services by their third birthdays, including IFSPs with transition steps and services. This includes 6 children for whom delays were due to exceptional family circumstances.

Oklahoma utilizes a statewide online data system to collect data for monitoring Indicator 8a. Any data that are missing from the data system are verified at the local site. Any data that do not appear to meet the requirement of an IFSP with transition steps and services are verified at the local site to determine if exceptional family circumstances or other issues affecting the requirement are applicable.

**Children Exiting Part C who Received Timely Transition Planning:**

e. Number of children exiting Part C who have an IFSP with transition steps and services	<b>1122</b>
f. Number of children exiting Part C	<b>1138</b>

Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday (Percent = [(a) divided by (b)] times 100)	99%
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**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred in FFY 2009:**

These data represent progress for Indicator 8a from the FFY 2008 data of 97.36%. SoonerStart did not meet its FFY 2009 target of 100% for this indicator.

One reason for the significant progress SoonerStart has made in meeting the requirements of Indicator 8a is the monitoring consistency provided by the new Program Managers as well as the technical assistance they provide to the local teams. Through regular on-site visits and chart reviews it was discovered that many service providers were documenting steps and services needed to facilitate transition in their progress notes after discussion with families but were not adding these to the IFSP document as required. Specific technical assistance was provided emphasizing proper and timely documentation of steps and services on the IFSP to service providers and service coordinators.

**Improvement Activities Completed in FFY 2008:**

<b>New Completed</b>	OSDE-SES added two Program Manager positions who are responsible for monitoring and technical assistance statewide.	FFY 2010	2008-	Training and Technical Assistance
<b>On-going Completed</b>	OSDE <del>is reviewing and</del> has implemented new monitoring procedures to ensure local, State, and federal compliance.	FFY 2012	2008-	Revised monitoring procedures

**New and Revised Improvement Activities:**

Status	Activity	Timeline	Resources
On-going	OSDE will request individual service provider productivity reports from OSDH in order to ensure service provider resources are maximized.	FFY 2013	2008- Data report
On-going	SoonerStart staff collaborated with Part B personnel to provide training to Local Educational Agencies (LEAs) and Part C staff regarding smooth transitions from Part C to Part B. Trainings included examples of effective collaboration between Part C and Part B, as well as strategies for including local communities (e.g., preschool programs, Head Start, and other preschool options).	FFY 2013	2008- Training and Technical Assistance
On-going	SoonerStart utilized monitoring data collected from all 26 early intervention sites. Teams that scored less than 100.00% provided SoonerStart corrective action plans. These corrective action plans included activities such as requests for additional technical assistance regarding timeliness of IFSP services.	FFY 2013	2008- Data Reports and training staff
On-going	SoonerStart continues to use the <i>Transition at Age Three: Steps for Success</i> transition guide at all SoonerStart sites. In addition, copies of the guide were disseminated to all families at the initiation of transition planning. The guide includes examples of transition services, timelines for transition planning, procedural safeguards regarding transition, Part B contact information and strategies, and other useful transition information. The guide will be revised when final Part C regulations are received.	FFY 2013	2008- Transition at Three Guide

New	SoonerStart is in the process of changing team roles. Service coordinators will be trained this year on how to provide evaluation, assessment and IFSP services. In turn service providers will be trained in service coordination. SoonerStart plans to implement a new process and system in the Fall of 2011.	FFY 2013	2008-	Training and Technical Assistance
New	Resource Coordinator Technical Assistance Workshops will be scheduled to provide updates on Policies and Procedures as well as service coordination activities.	FFY 2013	2009-	OSDE-SES Staff

**Correction of FFY 2008 Findings of Noncompliance (if State reported less than 100% compliance):**

Level of compliance (actual target data) State reported for FFY 2008 for this indicator: 97.36%

13. Number of findings of noncompliance the State made during FFY 2008 (the period from July 1, 2008, through June 30, 2009)	<b>23</b>
14. Number of FFY 2008 findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS program of the finding)	<b>17</b>
15. Number of FFY 2008 findings <u>not</u> verified as corrected within one year [(1) minus (2)]	<b>6</b>

**Correction of FFY 2008 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:**

16. Number of FFY 2008 findings not timely corrected (same as the number from (3) above)	<b>6</b>
17. Number of FFY 2008 findings the State has verified as corrected beyond the one-year timeline ("subsequent correction")	<b>6</b>
18. Number of FFY 2008 findings <u>not</u> verified as corrected [(4) minus (5)]	<b>0</b>

**Actions Taken if Noncompliance Not Corrected:**

In reviewing findings of non-compliance for FFY 2008, it was noted that the number of findings were the same as the number of findings in FFY 2007. Drill down activities were completed to determine if the data was valid and reliable. Data site profiles for each local site were reviewed again to determine the sites that did not meet the required target. Those sites were compared with records and charts maintained by the Program Managers that reflected the number of Corrective Action Plans required for Indicator 8a in FFY 2008. It was determined that the number of findings (23) was correct based on the SoonerStart database, site data profiles and Program Manager records.

During FFY 2009, 17 of 23 findings of noncompliance (73.91%) in FFY 2008 were corrected within one year of identification. Of the 6 findings not corrected timely, all were verified as corrected beyond the one year timeline unless the child was no longer under the jurisdiction of the EIS program. Through on-site monitoring, it was determined that the primary reason for non-compliance was inconsistency in where the transition steps and services were documented in the child's chart. Technical assistance and training have been provided as the local sites move toward 100% compliance.

**Verification of Correction of FFY 2008 noncompliance or FFY 2008 findings (either timely or subsequent):**

Oklahoma verifies correction of non-compliance at the site level and at the child level in accordance with OSEP Memorandum 09-02. Quarterly on-site verification visits ensure that the local site is correctly implementing the Transition Steps and Services on the IFSP requirement for each child in the SoonerStart program unless the child is no longer within the jurisdiction of the EIS program.

**Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2008:**

The Program Manager reviewed the statewide online database to determine sites requiring verification visits. On-site visits involved reviewing the specific Transition target date report for the site to determine the children who had reached the age of thirty months and required an IFSP with Transition steps and services during a specified time period (quarterly reviews). For each child meeting the age requirement within the time period, the chart is reviewed to verify that the child’s IFSP contained Transition Steps and Services. If the IFSP did not contain the Transition Steps and Services the Program Manager provided the site supervisor with the appropriate information and the chart was reviewed on a subsequent visit to verify compliance.

**Correction of Remaining FFY 2007 Findings of Noncompliance (if applicable):**

All findings issued in FFY 2007 have been verified as corrected. Correction of non-compliance for FFY 2007 is 100%.

7. Number of remaining FFY 2007 findings of noncompliance noted in OSEP’s June 2010, FFY 2008 APR response table for this indicator	23
8. Number of remaining FFY 2007 findings the State has verified as corrected	23
9. Number of remaining FFY 2007 findings the State has NOT verified as corrected [(1) minus (2)]	0

**Verification of Correction of Remaining FFY 2007 findings:**

Oklahoma verified correction of non-compliance at the site level and at the child level in accordance with OSEP Memorandum 09-02.

**Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2007:**

The Regional Coordinator reviewed the Transition target date report for the site to determine the children who had reached the age of thirty months and required an IFSP with Transition steps and services during a specified time period (quarterly reviews). For each child meeting the age requirement within the time period, the chart was reviewed to verify that the child’s IFSP contained Transition steps and services. If the IFSP did not contain the Transition Steps and Services, the Regional Coordinator provided appropriate coaching to the service coordinator and the chart was reviewed again to verify compliance.

**Correction of Any Remaining Findings of Noncompliance from FFY 2006 or Earlier (if applicable):**

All findings issued in FFY 2006 have been verified as corrected. Correction of non-compliance for FFY 2006 is 100%.

**Additional Information Required by the OSEP APR Response Table for this Indicator (if applicable):**

Statement from the Response Table	State's Response
Because the State reported less than 100% compliance for FFY 2008, the State must report on the status of correction of noncompliance reflected in the data the State reported for this indicator.	During FFY 2009, 17 of 23 findings of noncompliance (73.91%) in FFY 2008 were corrected within one year of identification. Of the 6 findings not corrected timely, all were verified as corrected beyond the one year timeline unless the child was no longer under the jurisdiction of the EIS program. Through on-site monitoring, it was determined that the primary reason for non compliance was inconsistency in where the transition steps and services were documented in the child's chart. Technical assistance and training have been provided as the local sites move toward 100% compliance.
If the State does not report 100% compliance in the FFY 2009 APR, the State must review its improvement activities and revise them, if necessary	OSDE has implemented new monitoring procedures to ensure local, State, and federal compliance. OSDE-SES added two program managers who are responsible for monitoring and technical assistance statewide. Additional FFY 2008 APR improvement activities are ongoing.
In addition, the State must demonstrate in the FFY 2009 APR that the 23 noncompliance findings identified in FFY 2007 were corrected.	As stated in FFY 2008 APR, 23 of 23 findings of noncompliance in FFY 2007 were corrected within one year of identification.
When reporting the correction of noncompliance, the State must report, in its FFY 2009 APR, that it has verified that each EIS program with noncompliance reflected in the data the State reported for this indicator, and noncompliance findings identified in FFY 2007: (1) is correctly implementing 34 CFR §§303.148(b)(4) and 303.344(h) (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has developed an IFSP with transition steps and services for each child, unless the child is no longer within the jurisdiction of the EIS program (i.e., the child has exited the State's Part C program due to age or other reasons), consistent with OSEP Memo 09-02. In the FFY 2009 APR, the State must describe the specific actions that were taken to verify the correction.	The Regional Coordinator reviewed the Transition target date report for the site to determine the children who had reached the age of thirty months and required an IFSP with Transition steps and services during a specified time period (quarterly reviews). For each child meeting the age requirement within the time period, the chart was reviewed to verify that the child's IFSP contained Transition Steps and Services. If the IFSP did not contain the Transition Steps and Services, the Regional Coordinator provided appropriate coaching to the service coordinator and the chart was reviewed again to verify compliance

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010 (if applicable)**

**Part C State Annual Performance Report (APR) for FFY 2009**

**Overview of the Annual Performance Report Development:**

**Monitoring Priority: Effective General Supervision Part C / Effective Transition**

**Indicator 8B:** Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:

A Notification to LEA, if child potentially eligible for Part B;

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:  
 Percent = [(# of children exiting Part C and potentially eligible for Part B where the notification to the LEA occurred) divided by the (# of children exiting Part C who were potentially eligible for Part B)] times 100.

FFY	Measurable and Rigorous Target
<i>FFY 2009</i>	In FFY 2009, 100.00% of all children exiting Part C will receive timely transition planning to support their transitions to preschool and other appropriate community services by their third birthdays, including notification to the LEA, if they are potentially eligible for IDEA Part B services.

**Actual Target Data for FFY 2009:**

In FFY 2009, 629 of 631 (99.69%) children exiting Part C received timely transition planning to support their transitions to preschool and other appropriate community services by their third birthdays including notification to the LEA, if they were potentially eligible for IDEA Part B services. This includes 16 children for whom delays were due to exceptional family circumstances.

**Children Exiting Part C who Received Timely Transition Planning (Notification to LEA):**

g. Number of children exiting Part C and potentially eligible for Part B where the notification to the LEA occurred	<b>629</b>
h. Number of children exiting Part C who were potentially eligible for Part B	<b>631</b>
Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday	<b>100%</b>

(Notification to LEA) (Percent = [(a) divided by (b)] times 100)	
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**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred in FFY 2009:**

This data represents progress for FFY 2008 (98.54%). SoonerStart did meet its FFY 2009 target of 100%. One reason for the significant progress in this area is the monitoring consistency provided by the new Program Managers as well as the technical assistance they provide to the local teams. Additionally, teams are being more diligent in documenting the contact with local LEA's on the IFSP steps and services page included in the IFSP document. Also service coordinators are utilizing the Transition date report to assist them with tracking clients who are approaching the transition timeline.

**Improvement Activities Completed in FFY 2008:**

New Completed	OSDE-SES added two Program Manager positions who are responsible for monitoring and technical assistance statewide.	FFY 2010	2008-	Training and Technical Assistance
<del>On-going</del> Completed	<del>OSDE is reviewing and</del> has implemented new monitoring procedures to ensure local, State, and federal compliance.	FFY 2012	2008-	Revised monitoring procedures

**New and Revised Improvement Activities:**

Status	Activity	Timeline	Resources
On-going	OSDE will request individual service provider productivity reports from OSDH in order to ensure service provider resources are maximized.	FFY 2013	2008- Data report
On-going	SoonerStart staff collaborated with Part B personnel to provide training to Local Educational Agencies (LEAs) and Part C staff regarding smooth transitions from Part C to Part B. Trainings included examples of effective collaboration between Part C and Part B, as well as strategies for including local communities (e.g., preschool programs, Head Start, and other preschool options).	FFY 2013	2008- Training and Technical Assistance
On-going	SoonerStart utilized monitoring data collected from all 26 early intervention sites. Teams that scored less than 100.00% provided SoonerStart corrective action plans. These corrective action plans included activities such as requests for additional technical assistance regarding timeliness of IFSP services.	FFY 2013	2008- Data Reports and training staff
On-going	SoonerStart continues to use the <i>Transition at Age Three: Steps for Success</i> transition guide at all SoonerStart sites. In addition, copies of the guide were disseminated to all families at the initiation of transition planning. The guide includes examples of transition services, timelines for transition planning, procedural safeguards regarding transition, Part B contact information and strategies, and other useful transition information. The guide will be revised when final Part C regulations are received.	FFY 2013	2008- Transition at Three Guide
New	SoonerStart is in the process of changing team roles. Service coordinators will be trained this year on how to provide evaluation, assessment and IFSP services. In turn service	FFY 2013	2008- Training and Technical Assistance

	providers will be trained in service coordination. SoonerStart plans to implement a new process and system in the Fall of 2011.		
New	Resource Coordinator Technical Assistance Workshops will be scheduled to provide updates on Policies and Procedures as well as service coordination activities.	FFY 2013	2009- OSDE-SES Staff

**Correction of FFY 2008 Findings of Noncompliance (if State reported less than 100% compliance):**

Level of compliance (actual target data) State reported for FFY 2008 for this indicator: 98.54%

19. Number of findings of noncompliance the State made during FFY 2008 (the period from July 1, 2008, through June 30, 2009)	7
20. Number of FFY 2008 findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS program of the finding)	7
21. Number of FFY 2008 findings <u>not</u> verified as corrected within one year [(1) minus (2)]	0

**Correction of FFY 2008 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:**

22. Number of FFY 2008 findings not timely corrected (same as the number from (3) above)	0
23. Number of FFY 2008 findings the State has verified as corrected beyond the one-year timeline ("subsequent correction")	0
24. Number of FFY 2008 findings <u>not</u> verified as corrected [(4) minus (5)]	0

**Actions Taken if Noncompliance Not Corrected:**

In reviewing findings of non-compliance for FFY 2008, it was noted that the number of findings were the same as the number of findings in FFY 2007. Drill down activities were completed to determine if the data was valid and reliable. Data site profiles for each local site were reviewed again to determine the sites that did not meet the required target. Those sites were compared with records and charts maintained by the Program Managers that reflected the number of Corrective Action Plans required for Indicator 8a in FFY 2008. It was determined that the number of findings (7) was correct based on the SoonerStart database, site data profiles and Program Manager records.

All findings issued in FFY 2008 have been verified as corrected. Correction of non-compliance for FFY 2008 is 100%.

**Verification of Correction of FFY 2008 noncompliance or FFY 2008 findings (either timely or subsequent):**

Oklahoma verifies correction of non-compliance at the site level and at the child level in accordance with OSEP Memorandum 09-02. Quarterly on-site verification visits ensure that the local site is correctly implementing the notification of LEA requirements for each child in the SoonerStart program.

**Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2008:**

The Program Manager reviewed the statewide online database to determine sites requiring verification visits. On site visits involved reviewing the specific Transition Date Report for the site to determine the number of clients who are in the timeframe for LEA to be notified. The chart is reviewed for documentation of notification to LEA.

**Correction of Remaining FFY 2007 Findings of Noncompliance (if applicable):**

All findings issued in FFY 2007 have been verified as corrected. Correction of non-compliance for FFY 2007 is 100%.

10. Number of remaining FFY 2007 findings of noncompliance noted in OSEP's June 2010, FFY 2008 APR response table for this indicator	7
11. Number of remaining FFY 2007 findings the State has verified as corrected	7
12. Number of remaining FFY 2007 findings the State has NOT verified as corrected [(1) minus (2)]	0

**Verification of Correction of Remaining FFY 2007 findings:**

Oklahoma verified correction of non-compliance at the site level and at the child level in accordance with OSEP Memorandum 09-02.

**Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2007:**

The SoonerStart Regional Coordinator, at the EI site with the finding, reviewed the chart of each infant and toddler to verify that notification to LEA was completed, although late, and that the appropriate documentation was completed stating the reason for being late.

In addition, SoonerStart ensured that all individual instances of noncompliance, when possible, were corrected and that all services were provided and meetings were held, even if not within timelines. Oklahoma verified correction through quarterly corrective action plan updates and through the statewide online data system. Technical assistance was provided to sites regarding local policies and procedures regarding transition timelines and requirements.

**Correction of Any Remaining Findings of Noncompliance from FFY 2006 or Earlier (if applicable):**

All findings issued in FFY 2006 have been verified as corrected. Correction of non-compliance for FFY 2006 is 100%.

**Additional Information Required by the OSEP APR Response Table for this Indicator (if applicable):**

Statement from the Response Table	State's Response
Because the State reported less than 100% compliance for FFY 2008, the State must report on the status of correction of noncompliance reflected in the data the State reported for this indicator.	All findings issued in FFY 2008 have been verified as corrected. Correction of non-compliance for FFY 2008 is 100%.
If the State does not report 100% compliance in the FFY 2009 APR, the State must review its improvement	OSDE has implemented new monitoring procedures to

<p>activities and revise them, if necessary.</p>	<p>ensure local, State, and federal compliance. OSDE-SES added two program managers who are responsible for monitoring and technical assistance statewide. Additional FFY 2008 APR improvement activities are ongoing.</p>
<p>In addition, the State must demonstrate in the FFY 2009 APR that the seven noncompliance findings identified in FFY 2007 were corrected.</p>	<p>As stated in FFY 2008 APR, 7 of 7 findings of noncompliance (95.83%) in FFY 2007 were corrected within one year of identification.</p>
<p>When reporting the correction of noncompliance, the State must report, in its FFY 2009 APR, that it has verified that each EIS program with noncompliance reflected in the data the State reported for this indicator and noncompliance findings identified in FFY 2007: (1) is correctly implementing 34 CFR §303.148(b)(1) (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through onsite monitoring or a State data system; and (2) has provided notification to the LEA for each child, unless the child is no longer within the jurisdiction of the EIS program (i.e., the child has exited the State's Part C program due to age or other reasons), consistent with OSEP Memo 09-02. In the FFY 2009 APR, the State must describe the specific actions that were taken to verify the correction.</p>	<p>For findings identified in FFY 2007, the SoonerStart Regional Coordinator, at the EI site with the finding, reviewed the chart of each infant and toddler to verify that notification to LEA was completed, although late, and that the appropriate documentation was completed stating the reason for being late. In addition, SoonerStart ensured that all individual instances of noncompliance, when possible, were corrected and that all services were provided and meetings were held, even if not within timelines.</p>

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010 (if applicable):**

**Part C State Annual Performance Report (APR) for FFY 2009**

**Overview of the Annual Performance Report Development:**

**Monitoring Priority: Effective General Supervision Part C / Effective Transition**

**Indicator 8C:** Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:

C. Transition conference, if child potentially eligible for Part B.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:  
 Percent = [(# of children exiting Part C and potentially eligible for Part B where the transition conference occurred) divided by the (# of children exiting Part C who were potentially eligible for Part B)] times 100.  
 Account for untimely transition conferences, including reasons for delays.

FFY	Measurable and Rigorous Target
<i>FFY 2009</i>	In FFY 2009, 100.00% of all children exiting Part C will receive timely transition planning to support their transitions to preschool and other appropriate community services by their third birthdays, including a transition conference, if they are potentially eligible for IDEA Part B services.

**Actual Target Data for FFY 2009:**

In FFY 2009, 529 of 619 (85.46%) ~~2008, 345 of 425 (81.18%)~~ children exiting Part C received timely transition planning to support their transitions to preschool and other appropriate community services by their third birthdays including transition conference, if they were potentially eligible for IDEA Part B services. This includes ~~57~~ 60 children for whom delays were due to exceptional family circumstances and 7 children that were outside the EIS program’s control.

Oklahoma utilizes a statewide online data system to collect data for monitoring Indicator 8a. Any data that are missing from the data system are verified at the local site. Any data that do not appear to meet the requirement of an IFSP with transition steps and services are verified at the local site to determine if exceptional family circumstances or other issues affecting the requirement are applicable.

**Children Exiting Part C who Received Timely Transition Planning (Transition Conference):**

i. Number of children exiting Part C and potentially eligible for Part B where the transition conference occurred	<b>529</b>
j. Number of children exiting Part C who were potentially eligible for Part B	<b>619</b>
Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday (Transition Conference) (Percent = [(a) divided by (b)] times 100)	85%

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred in FFY 2009:**

These data represent progress for Indicator 8c from the FFY 2008 data of 81.18%. SoonerStart did not meet its FFY 2009 target of 100% for this indicator.

One reason for the significant progress SoonerStart has made in meeting the requirements of Indicator 8c is the monitoring consistency provided by the new Program Managers as well as the technical assistance they provide to the local teams. Through regular on-site visits and chart reviews it was discovered that many service coordinators were conducting TPC's past the 90 day timeline due to the LEA's request. Service coordinators were provided technical assistance and training to arrange TPC's in collaboration with the family, the LEA and other appropriate transition partners in accordance with the 90 day timeline. LEA's have been notified (and trained by Part B OSDE personnel) that the 90 day timeline is a compliance requirement and also in the best interest of the child and family. It is also noted that a lack of service coordinators across the state to provide timely transition services is having a negative impact on meeting the TPC timeline. Oklahoma currently has 27 service coordinator vacancies out of 85 positions statewide.

**Improvement Activities Completed in FFY 2008:**

New Completed	OSDE-SES added two Program Manager positions who are responsible for monitoring and technical assistance statewide.	FFY 2010	2008-	Training and Technical Assistance
On-going Completed	OSDE <del>is reviewing and</del> has implemented new monitoring procedures to ensure local, State, and federal compliance.	FFY 2012	2008-	Revised monitoring procedures

**New and Revised Improvement Activities:**

Status	Activity	Timeline	Resources
On-going	OSDE will request individual service provider productivity reports from OSDH in order to ensure service provider resources are maximized.	FFY 2013	2008- Data report
On-going	SoonerStart staff collaborated with Part B personnel to provide training to Local Educational Agencies (LEAs) and Part C staff regarding smooth transitions from Part C to Part B. Trainings included examples of effective collaboration between Part C and Part B, as well as strategies for including local communities (e.g., preschool programs, Head Start, and other preschool options).	FFY 2013	2008- Training and Technical Assistance
On-going	SoonerStart utilized monitoring data collected from all 26 early intervention sites. Teams that scored less than 100.00% provided SoonerStart corrective action plans. These corrective	FFY 2013	2008- Data Reports and training staff

	action plans included activities such as requests for additional technical assistance regarding timeliness of IFSP services. As a result, SoonerStart provided technical assistance and training opportunities to staff regarding methods to eliminate transition data entry errors, methods to document timely transition planning activities, and effective coordination of transition planning conferences. Team leader training was provided to all sites quarterly, using both onsite and video conferencing methodologies. In addition, five onsite technical assistance trainings were provided to local SoonerStart teams. These trainings are mandatory for all team leaders.		
On-going	SoonerStart continues to use the <i>Transition at Age Three: Steps for Success</i> transition guide at all SoonerStart sites. In addition, copies of the guide were disseminated to all families at the initiation of transition planning. The guide includes examples of transition services, timelines for transition planning, procedural safeguards regarding transition, Part B contact information and strategies, and other useful transition information. The guide will be revised when final Part C regulations are received.	FFY 2013	2008- Transition at Three Guide
New	SoonerStart is in the process of changing team roles. Service coordinators will be trained this year on how to provide evaluation, assessment and IFSP services. In turn service providers will be trained in service coordination. SoonerStart plans to implement a new process and system in the Fall of 2011.	FFY 2013	2008- Training and Technical Assistance
New	Resource Coordinator Technical Assistance Workshops will be scheduled to provide updates on Policies and Procedures as well as service coordination activities.	FFY 2013	2009- OSDE-SES Staff

**Correction of FFY 2008 Findings of Noncompliance (if State reported less than 100% compliance):**

Level of compliance (actual target data) State reported for FFY 2008 for this indicator: 81.18%

25. Number of findings of noncompliance the State made during FFY 2008 (the period from July 1, 2008, through June 30, 2009)	16
26. Number of FFY 2008 findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS program of the finding)	12
27. Number of FFY 2008 findings <u>not</u> verified as corrected within one year [(1) minus (2)]	4

**FFY 2008 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:**

28. Number of FFY 2008 findings not timely corrected (same as the number from (3) above)	4
29. Number of FFY 2008 findings the State has verified as corrected beyond the one-year timeline ("subsequent correction")	4
30. Number of FFY 2008 findings <u>not</u> verified as corrected [(4) minus (5)]	0

**Actions Taken if Noncompliance Not Corrected:**

In reviewing findings of non-compliance for FFY 2008, it was noted that the number of findings were the same as the number of findings in FFY 2007. Drill down activities were completed to determine if the data was valid and reliable. Data site profiles for each local site were reviewed again to determine the sites that did not meet the required target. Those sites were compared with records and charts maintained by the Program Managers that reflected the number of Corrective Action Plans required for Indicator 8c in FFY 2008. It was determined that the number of findings (16) was correct based on the SoonerStart database, site data profiles and Program Manager records.

During FFY 2009, 12 of 16 findings of noncompliance (75%) in FFY 2008 were corrected within one year of identification. Of the 4 findings not corrected timely, all were verified as corrected beyond the one year timeline unless the child was no longer under the jurisdiction of the EIS program. Through on-site monitoring, it was determined that the primary reason for non-compliance was scheduling difficulties with the LEA and staff shortages. Technical assistance and training have been provided as the local sites move toward 100% compliance.

**Verification of Correction of FFY 2008 noncompliance or FFY 2008 findings (either timely or subsequent):**

Oklahoma verifies correction of non-compliance at the site level and at the child level in accordance with OSEP Memorandum 09-02. Quarterly on-site verification visits ensure that the local site is meeting timelines in completing TPC's for children who are potentially eligible for Part B services unless the child is no longer within the jurisdiction of the EIS program.

**Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2008:**

The Program Manager reviewed the statewide online database to determine sites requiring verification visits. On-site visits involved reviewing the specific Transition target date report for the site to determine the children who were potentially eligible for Part B services and had reached the age of thirty-three months. For each child meeting the age requirement within the time period, the chart was reviewed to verify that a TPC had been conducted in a timely manner. If a TPC was not held in a timely manner, the Program Manager verified that the TPC was subsequently held and appropriately documented for each child unless the child was no longer within the jurisdiction of the EIS program.

**Correction of Remaining FFY 2007 Findings of Noncompliance (if applicable):**

All findings issued in FFY 2007 have been verified as corrected. Correction of non-compliance for FFY 2007 is 100%.

13. Number of remaining FFY 2007 findings of noncompliance noted in OSEP's June 2010, FFY 2008 APR response table for this indicator	<b>16</b>
14. Number of remaining FFY 2007 findings the State has verified as corrected	<b>16</b>
15. Number of remaining FFY 2007 findings the State has NOT verified as corrected [(1) minus (2)]	<b>0</b>

**Verification of Correction of Remaining FFY 2007 findings:**

Oklahoma verified correction of non-compliance at the site level and at the child level in accordance with OSEP Memorandum 09-02.

**Describe the specific actions that the State took to verify the correction of findings of noncompliance identified in FFY 2007:**

The Regional Coordinator reviewed the local site database to determine the children who were potentially eligible for Part B services and had reached the age of thirty-three months. For each child meeting the age requirement within the time period, the chart was reviewed to verify that a TPC had been conducted in a timely manner. If a TPC was not held in a timely manner, the Regional Coordinator verified that the TPC was subsequently held and appropriately documented for each child unless the child was no longer within the jurisdiction of the EIS program. Supervisory coaching was also provided to service coordinators regarding timely TPC's.

**Correction of Any Remaining Findings of Noncompliance from FFY 2006 or Earlier (if applicable):**

All findings issued in FFY 2006 have been verified as corrected. Correction of non-compliance for FFY 2006 is 100%.

**Additional Information Required by the OSEP APR Response Table for this Indicator (if applicable):**

Statement from the Response Table	State's Response
Because the State reported less than 100% compliance for FFY 2008, the State must report on the status of correction of noncompliance reflected in the data the State reported for this indicator.	During FFY 2009, 12 of 16 findings of noncompliance (75%) in FFY 2008 were corrected within one year of identification. Of the 4 findings not corrected timely, all were verified as corrected beyond the one year timeline unless the child was no longer under the jurisdiction of the EIS program. Through on-site monitoring, it was determined that the primary reason for non-compliance was scheduling difficulties with the LEA and staff shortages. Technical assistance and training have been provided as the local sites move toward 100% compliance.
If the State does not report 100% compliance in the FFY 2009 APR, the State must review its improvement activities and revise them, if necessary.	OSDE has implemented new monitoring procedures to ensure local, State, and federal compliance. OSDE-SES added two program managers who are responsible for monitoring and technical assistance statewide. Additional FFY 2008 APR improvement activities are ongoing.
In addition, the State must demonstrate in the FFY 2009 APR that the 16 noncompliance findings identified in FFY 2007 were corrected.	As stated in FFY 2008 APR, 5 of 16 findings of noncompliance in FFY 2007 were corrected within one year of identification. The remaining 11 findings were verified as subsequently corrected during FFY 2009 at the child and site level.
When reporting the correction of noncompliance, the State must report, in its FFY 2009 APR, that it has noncompliance reflected in the data the State reported for this indicator and noncompliance findings identified in FFY 2007: (1) is correctly implementing 34 CFR §303.148(b)(2)(i) (as modified by IDEA section 637(a)(9)(A)(ii)(II)) (i.e.,	The Regional Coordinator reviewed the local site database to determine the children who were potentially eligible for Part B services and had reached the age of thirty-three months. For each child meeting the age requirement within the time period, the chart was reviewed to verify that a TPC had been conducted in a timely manner. If a TPC was not held in a timely

<p>achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has conducted a transition conference, although late, for any child potentially eligible for Part B whose transition conference was not timely, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memo 09-02. In the FFY 2009 APR, the State must describe the specific actions that were taken to verify the correction.</p>	<p>manner, the Regional Coordinator verified that the TPC was subsequently held and appropriately documented for each child unless the child was no longer within the jurisdiction of the EIS program. Supervisory coaching was also provided to service coordinators regarding timely TPC's.</p>
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**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010 (if applicable):**

**Part C State Annual Performance Report (APR) for FFY 2009**

**Overview of the Annual Performance Report Development:**

**Monitoring Priority: Effective General Supervision Part C / General Supervision**

**Indicator 9:** General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

(20 U.S.C. 1416 (a)(3)(B) and 1442)

**Measurement:**  
 Percent of noncompliance corrected within one year of identification:  
 a. # of findings of noncompliance.  
 b. # of corrections completed as soon as possible but in no case later than one year from identification.  
 Percent = [(b) divided by (a)] times 100.

<b>INDICATOR C-9 WORKSHEET</b>				
<b>Indicator/Indicator Clusters</b>	<b>General Supervision System Components</b>	<b># of EIS Programs Issued Findings in FFY 2008 (7/1/08 through 6/30/09)</b>	<b>(a) # of Findings of noncompliance identified in FFY 2008 (7/1/08 through 6/30/09)</b>	<b>(b) # of Findings of noncompliance from (a) for which correction was verified no later than one year from identification</b>
1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	24	24	23
	Dispute Resolution: Complaints, Hearings			
2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings			

3. Percent of infants and toddlers with IFSPs who demonstrate improved outcomes	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	N/A	N/A	N/A
	Dispute Resolution: Complaints, Hearings			
4. Percent of families participating in Part C who report that early intervention services have helped the family	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
	Dispute Resolution: Complaints, Hearings			
5. Percent of infants and toddlers birth to 1 with IFSPs	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	0	0	0
6. Percent of infants and toddlers birth to 3 with IFSPs	Dispute Resolution: Complaints, Hearings			
7. Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	24	24	18
	Dispute Resolution: Complaints, Hearings			
8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	23	23	17
	A. IFSPs with transition steps and services;	Dispute Resolution: Complaints, Hearings		
8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	7	7	7
	B. Notification to LEA, if child potentially eligible for Part B; and	Dispute Resolution: Complaints, Hearings		

8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other	16	16	12
C. Transition conference, if child potentially eligible for Part B.	Dispute Resolution: Complaints, Hearings			
OTHER AREAS OF NONCOMPLIANCE:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other			
	Dispute Resolution: Complaints, Hearings			
OTHER AREAS OF NONCOMPLIANCE:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other			
	Dispute Resolution: Complaints, Hearings			
OTHER AREAS OF NONCOMPLIANCE:	Monitoring Activities: Self-Assessment/ Local APR, Data Review, Desk Audit, On-Site Visits, or Other			
	Dispute Resolution: Complaints, Hearings			
<b>Sum the numbers down Column a and Column b</b>			94	77
<b>Percent of noncompliance corrected within one year of identification = (column (b) sum divided by column (a) sum) times 100.</b>			<b>(b) / (a) X 100 =</b>	<b>81.91%</b>

<b>FFY</b>	<b>Measurable and Rigorous Target</b>
<i>FFY 2009</i>	In FFY 2009, 100.00% of noncompliance identified will be corrected within one year of identification..

**Actual Target Data for FFY 2009:**

In FFY 2009, 77 of 94 findings (~~68.75~~ 81.91%) of noncompliance identified in FFY 2008 were corrected within one year of identification.

**Describe the process for selecting EIS programs for Monitoring:**

Oklahoma utilizes data from its statewide database to review indicators for all infants and toddlers receiving Part C services. In the instances where data is missing or does not appear to be in compliance, the specific information is shared with the OSDE-SES Program Manager. The Program Manager completes an on-site verification visit and monitors all charts within the specified time frame that require data verification. Program managers do not limit verification to a sample of the total clients at a local site, but review each chart that does not appear to be in compliance.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that Occurred for FFY 2009:**

SoonerStart's FFY 2009 data of 81.91% correction of noncompliance represents progress from its FFY 2008 data of 68.75%. One reason for the significant progress in this area is the monitoring consistency provided by the new Program Managers as well as the technical assistance they provide to the local teams.

In FFY 2009, all local SoonerStart sites completed a desk review using indicators and benchmarks to determine compliance. After all desk reviews were completed; the information was compiled into a state report. This report contained information regarding each local SoonerStart team's level of compliance. A summary of the state's results was given to the the ICC, partner agencies, the ICC Policy and Funding Committee, and the ICC Program Evaluation Committee for analysis.

SoonerStart continues to struggle to meet timelines and to provide services to eligible infants and toddlers in Oklahoma. At this time SoonerStart is in the process of changing service coordinator and service provider roles. Oklahoma has always had a dedicated service coordinator as part of the IFSP team and can no longer afford this model of service delivery. In FFY 2010 SoonerStart will complete training all staff on service coordination and current service coordinators will be trained on providing early intervention services to children. Oklahoma is also considering a change in the current process to qualify a child for services. At this time the process includes family interview/screening, evaluation, and then IFSP. At this time the evaluation often occurs three weeks or more from the initial contact. Oklahoma is working with partner agencies to change the process. Completing the evaluation for eligibility during the first contact with the family will assist SoonerStart teams with timely services, the 45-day timeline and transition.

**New and Revised Improvement Activities:**

Status	Activity	Timeline	Resources
Completed	OSDE is reviewing and implementing new monitoring procedures to ensure local, State, and federal compliance.	FFY 2008-2010	Revised monitoring procedures
On-going	OSDE is reviewing and implementing new monitoring procedures to ensure local, State, and federal compliance.	FFY 2008-2013	Revised monitoring procedures
On-going	SoonerStart is in the process of changing team roles. Service coordinators will be trained this year on how to provide evaluation, assessment and IFSP services. In turn service providers will be trained in service coordination. SoonerStart plans to implement a new process and system in the Fall of 2011	FFY 2009 - 2013	Training and Technical Assistance

On-going	OSDE-SES added two Program Manager positions which will be responsible for monitoring and technical assistance statewide.	FFY 2009 - 2013	Training and Technical Assistance
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**Note: For this indicator, report data on the correction of findings of noncompliance the State made during FFY 2008 (July 1, 2008 through June 30, 2009) and verified as corrected as soon as possible and in no case later than one year from identification.**

**Timely Correction of FFY 2008 Findings of Noncompliance (corrected within one year from identification of the noncompliance):**

31. Number of findings of noncompliance the State made during FFY 2008 (the period from July 1, 2008, through June 30, 2009) (Sum of Column a on the Indicator C 9 Worksheet)	<b>94</b>
32. Number of findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS programs of the finding) (Sum of Column b on the Indicator C 9 Worksheet)	<b>77</b>
33. Number of findings <u>not</u> verified as corrected within one year [(1) minus (2)]	<b>17</b>

**Correction of FFY 2008 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance) and/or Not Corrected:**

34. Number of FFY 2008 findings not timely corrected (same as the number from (3) above)	<b>17</b>
35. Number of FFY 2008 findings the State has verified as corrected beyond the one-year timeline ("subsequent correction")	<b>17</b>
36. Number of FFY 2008 findings <u>not</u> yet verified as corrected [(4) minus (5)]	<b>0</b>

#### **Actions Taken if Noncompliance Not Corrected**

SoonerStart sites that did not timely correct were provided on-site visits by the Program Manager. A review of the SoonerStart database revealed that a lack of service providers continues to negatively impact all services provided by the Oklahoma Part C program. The SoonerStart program currently has 92 service provider and service coordinator vacancies. Local sites are utilizing service providers from other areas in the state and exploring resources that may be available in local communities. The SoonerStart program is developing a blended service coordinator/service provider position to better address staff shortages in local sites. The Program Manager provided technical assistance and training to each site which included information to the Regional Coordinator and Lead Clinician on appropriate documentation when timelines were in non-compliance. As a Technical Assistance tool, an explanation of reasons was developed and shared with teams for consistency with documenting.

#### **Verification of Correction of FFY 2008 findings (either timely or subsequent)**

During FFY 2009, 77 of 94 findings of noncompliance (81.91%) in FFY 2008 were corrected within one year of identification. Of the 17 findings not corrected timely, all were verified as corrected beyond the one year timeline. All specific incidents of non-compliance were corrected at the child level as well as at the site level, SoonerStart Part C State Annual Performance Report for *FFY 2009* Monitoring Priority General Supervision Page 48 (OMB NO: 1820-0578/Expiration Date: 11/30/2012)

local sites continue to utilize corrective action plans with technical assistance as they move toward meeting 100% compliance for all required indicators. Oklahoma verifies correction of non-compliance at the site level and at the child level in accordance with OSEP Memorandum 09-02. Quarterly on-site verification visits by Program Managers ensure that the local site is correctly implementing the policies and procedures for each child in the SoonerStart program.

**Describe the specific actions that the State took to verify the correction in FFY 2009 of findings of noncompliance identified in FFY 2008:**

The Program Manager reviewed the SoonerStart database to determine sites requiring verification visits. On-site visits involved reviewing the specific target date reports for the site to determine the charts to review for the indicator monitored. Any service that was due in the specified time period (quarterly reviews) was monitored. The Program Manager verified that all services, even if late, were initiated and that the appropriate documentation was completed stating the reason for missing any timelines.

**Correction of Remaining FFY 2007 Findings of Noncompliance (if applicable)**

The SoonerStart Regional Coordinator, at the EI site with the finding, reviewed the chart of each infant and toddler that did not meet compliance in any of the indicators. The chart is reviewed to verify that the infant or toddler received the Part C service promised. If any services were not developed in a timely manner, the Regional Coordinator verified that the services, although late, were initiated and that the appropriate documentation was completed stating the reason for missing any timeline requirement.

If the State reported less than 100% for this indicator in its FFY 2007 APR and did not report that the remaining FFY 2007 findings were subsequently corrected, provide the information below:

All findings issued in FFY 2007 have been verified as corrected. Correction of non-compliance for FFY 2007 is 100%.

16. Number of remaining FFY 2007 findings noted in OSEP's June 2010 FFY 2008 APR response table for this indicator	30
17. Number of remaining FFY 2007 findings the State has verified as corrected	30
18. Number of remaining FFY 2007 findings the State has NOT verified as corrected [(1) minus (2)]	0

**Correction of Any Remaining Findings of Noncompliance from FFY 2006 or Earlier (if applicable)**

All findings issued in FFY 2006 have been verified as corrected. Correction of non-compliance for FFY 2006 is 100%.

**Additional Information Required by the OSEP APR Response Table for this Indicator (if applicable):**

Statement from the Response Table	State's Response
The State must review its improvement activities and revise them, if appropriate, to ensure	Oklahoma verifies correction of non-compliance at the

<p>they will enable the State to provide data in the FFY 2009 APR demonstrating that the State timely corrected noncompliance identified in FFY 2008 in accordance with IDEA section 635(a)(10)(A), 34 CFR §303.501, and OSEP Memo 09-02.</p>	<p>site level and at the child level in accordance with OSEP Memorandum 09-02. Quarterly on-site verification visits by Program Managers ensure that the local site is correctly implementing the policies and procedures for each child in the SoonerStart program. Program Managers review all IFSPs completed since the last quarterly visit to ensure site correction.</p>
<p>Although the State did not report for this indicator that it verified correction of noncompliance identified in FFY 2007 consistent with the OSEP Memo 09-02, OSEP accepted the data for this indicator this year because this indicator measures timely correction of noncompliance and the OSEP Memo 09-02 was issued after the beginning of the FFY 2008 correction period.</p>	<p>Oklahoma verifies correction of non-compliance at the site level and at the child level in accordance with OSEP Memorandum 09-02. Quarterly on-site verification visits by Program Managers ensure that the local site is correctly implementing the policies and procedures for each child in the SoonerStart program. Program Managers review all IFSPs completed since the last quarterly visit to ensure site correction.</p>
<p>In reporting on correction of noncompliance in the FFY 2009 APR, the State must report that it verified that each EIS program with noncompliance identified in FFY 2008: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program, consistent with OSEP Memo 09-02. In the FFY 2009 APR, the State must describe the specific actions that were taken to verify the correction.</p>	<p>Oklahoma verifies correction of non-compliance at the site level and at the child level in accordance with OSEP Memorandum 09-02. Quarterly on-site verification visits by Program Managers ensure that the local site is correctly implementing the policies and procedures for each child in the SoonerStart program.</p>
<p>In addition, in reporting on Indicator 9 in the FFY 2009 APR, the State must use the Indicator 9 Worksheet. Further, in responding to Indicators 1, 7, 8A, 8B, and 8C in the FFY 2009 APR, the State must report on correction of the noncompliance described in this table under those indicators.</p>	<p>See Indicators 1, 7, 8A, 8B and 8C</p>

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010 (if applicable):**

### Part C State Annual Performance Report (APR) for FFY 2009

See Indicator 1.

#### Monitoring Priority: Formal Complaints

**Indicator 10:** Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

#### Measurement:

Percent = (1.1(b) + 1.1(c)) divided by (1.1) times 100.

#### Measurable and Rigorous Target:

For FFY 2009, 100.00% of signed written complaints with reports issued will be resolved and reports issued within a 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

#### Actual Target Data for FFY 2009:

For FFY 2009, one of one signed written complaints with reports issued were resolved and reports issued within 60 day timeline.

#### Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2008:

**In FFY 2009, SoonerStart received one formal written complaint, it was resolved at the local level and withdrawn by the parent. SoonerStart met its FFY 2008 target of 100%. No findings of noncompliance were issued. SoonerStart will continue to address the concerns of parents at the local level to prevent a parent from needing to file a formal written complaint.**

#### Improvement Activities Completed in FFY 2008:

A new "Formal Written Complaint" brochure for parents was developed and posted on the OSDE-SES Web site.

#### Revisions, with Justification, to Proposed Targets/Improvement Activities/Timelines/Resources for FFY 2008 in the *Oklahoma State Performance Plan (SPP; 2008)*:

#### New and Revised Improvement Activities:

Status	Activity	Timeline	Resources
On-going	SoonerStart will continue to monitor all formal complaints to ensure noncompliance is corrected.	FFY 2008-2010	New Brochure
New	All SoonerStart staff will participate in a statewide training on Procedural Safeguards in FFY 2010-2011	FFY 2010-2013	Training Materials

## Part C Annual Performance Report for FFY 2009

Overview of the Annual Performance Report (APR) for 2009-2010

See Indicator 1.

### Monitoring Priority: Due Process Hearing Requests

**Indicator 11:** Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline.

#### Measurement:

Percent = (3.2 (a) + 3.2(b)) divided by (3.2) times 100.

#### Measurable and Rigorous Target:

For FFY 2009, 100% percent of fully adjudicated due process hearing requests that were fully adjudicated will be within the applicable timeline.

#### Actual Target Data for FFY 2009:

For FFY 2009, 0 of 0 due process hearing request.

#### Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2009:

In FFY 2009, the OSDE-SES continued to contract with the Oklahoma State University (OSU) ABLE Tech to run the Special Education Resolution Center (SERC). The OSU-SERC is responsible for managing, directing, and operating the special education due process hearing system as required under the IDEA. Through the contract, the OSU-SERC also oversees the due process and resolution session system and provides information to the OSDE-SES regarding the number of due process request filed, resolution sessions (i.e., due process request not dropped by the complainant), settlement agreements reached through resolutions sessions, and findings of noncompliance of due process complaints that are not resolved through resolution sessions.

#### Improvement Activities Completed in FFY 2009:

SoonerStart collaborated with the OSU-SERC to establish an advisory panel regarding the due process complaint system. The panel reviewed and updated the due process guidelines that were distributed to hearing and appeal officers, parents, SoonerStart Early Intervention staff, and other interested parties.

SoonerStart staff at the OSDE-SES worked to revise the *Policies and Procedures for SoonerStart in Oklahoma (1996)*, in order to incorporate recommendations from a stakeholder committee that has been developed to review dispute resolution procedures, public awareness materials, and other methods to convey the information to parents

SoonerStart collaborated with the OSU-SERC to establish an advisory panel regarding the due process complaint system. The panel reviewed and updated the due process guidelines that were distributed to hearing and appeal officers, parents, SoonerStart Early Intervention staff, and other interested parties.

**Revisions, with Justification, to Proposed Targets/Improvement Activities/Timelines/Resources for FFY 2008 in the *Oklahoma State Performance Plan (SPP; 2009)*:**

Status	Activity	Timeline	Resources
Completed	SoonerStart staff at the OSDE-SES worked to revise the <i>Policies and Procedures for SoonerStart in Oklahoma (1996)</i> , in order to incorporate recommendations from a stakeholder committee that has been developed to review dispute resolution procedures, public awareness materials, and other methods to convey the information to parents	FFY 2009-2013	OSDE-SES staff
Completed	SoonerStart collaborated with the OSU-SERC to establish an advisory panel regarding the due process complaint system. The panel reviewed and updated the due process guidelines that were distributed to hearing and appeal officers, parents, SoonerStart Early Intervention staff, and other interested parties.	FFY 2009-2013	OSDE-SES staff
On-going	SoonerStart provided training to hearing and appeal officers that emphasized the timeline requirements and acceptable reasons for granting extensions for due process complaints. The training (which was conducted by a leading national expert on special education law) also provided information regarding IDEA requirements that often lead to due process hearing requests.	FFY 2009-2013	OSDE-SES staff and OSU-SERC

**Part C Annual Performance Report (APR) for 2009-2010**

See Indicator 1.

**Monitoring Priority: Due Process Resolution Sessions**

**Indicator 12** - Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

**Measurement:**  
Percent = 3.1(a) divided by (3.1) times 100.

**Measurable and Rigorous Target:**

For FFY 2009, no targets have been established based on OSEP’s recommendation to the State of Oklahoma. (States are not required to establish baseline or targets if the number of mediations is less than 10).

**Actual Target Data for FFY 2009:**

For FFY 2009 0 of 0 hearing requests that went to resolution sessions were resolved through session settlement agreements.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2009:**

Because SoonerStart has not received any requests for mediation, no improvement activities have been proposed (as part of the *Oklahoma State Performance Plan, 2009*) or completed. In addition, no progress or slippage has occurred.

**Revisions, with Justification, to Proposed Targets/Improvement Activities/Timelines/Resources for FFY 2009 in the *Oklahoma State Performance Plan (SPP; 2009)*:**

Status	Activity	Timeline	Resources
	NA		

**Part C Annual Performance Report for FFY 2009**

Overview of the Annual Performance Report (APR) for 2009-2010

See Indicator 1.

**Monitoring Priority: Mediation**

**Indicator 13** - Percent of mediations held that resulted in mediation agreements.

**Measurement:**  
 Percent = (2.1(a) (i) + 2.1(b) (i)) divided by (2.1) times 100.

**Measurable and Rigorous Target:**

For FFY 2009, no targets have been established based on OSEP’s recommendation to the State of Oklahoma. (states are not required to establish baseline or targets if the number of mediations is less than 10).

**Actual Target Data for FFY 2009:**

For FFY 2009, 0 of 0 mediations held resulted in mediation agreements.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2009:**

Because SoonerStart has not received any requests for mediation, no improvement activities have been proposed (as part of the *Oklahoma State Performance Plan, 2009*) or completed. In addition, no progress or slippage has occurred.

**Revisions, with Justification, to Proposed Targets/Improvement Activities/Timelines/Resources for FFY 2008 in the *Oklahoma State Performance Plan (SPP; 2009)*.**

Status	Activity	Timeline	Resources
	NA		

### Part C Annual Performance Report for FFY 2009

Overview of the Annual Performance Report (APR) for 2009-2010

See Indicator 1.

<b>Monitoring Priority: State Reported Data are Timely and Accurate</b>
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**Indicator 14** - State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

<b>Measurement:</b>
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State reported data, including 618 data, State performance plan, and annual performance reports, are:

- |   |
|---|
| <ul style="list-style-type: none"> <li>a. Submitted on or before due dates (February 1 for child count, including race and ethnicity, settings and November 1 for exiting, personnel, dispute resolution); and</li> <li>b. Accurate (describe mechanisms for ensuring accuracy).</li> </ul> |
|---|

**Measurable and Rigorous Target:**

For FFY 2009, 100% of state-reported data will be timely and accurate.

**Actual Target Data for FFY 2009:**

For FFY 2009, 100% of state-reported data were timely and accurate.

Indicator 14 - SPP/APR Data			
APR Indicator	Valid and reliable	Correct calculation	Total
1	1	1	2
2	1	1	2
3	1	1	2
4	1	1	2
5	1	1	2
6	1	1	2
7	1	1	2
8A	1	1	2
8B	1	1	2
8C	1	1	2
9	1	1	2
10	1	1	2
11	1	1	2
12	1	1	2
13	1	1	2
		<b>Subtotal</b>	30

<b>APR Score Calculation</b>	<b>Timely Submission Points</b> (5 pts for submission of APR/SPP by February 2, 2011)	5
	<b>Grand Total</b>	35

<b>Indicator 14 - 618 Data</b>					
<b>Table</b>	<b>Timely</b>	<b>Complete Data</b>	<b>Passed Edit Check</b>	<b>Responded to Date Note Requests</b>	<b>Total</b>
<b>Table 1 – Child Count Due Date: 2/1/09</b>	1	1	1	1	4
<b>Table 2 – Settings Due Date: 2/1/09</b>	1	1	1	1	4
<b>Table 3 – Exiting Due Date: 11/1/09</b>	1	1	1	NA	3
<b>Table 4 – Dispute Resolution Due Date: 11/1/09</b>	1	1	1	N/A	3
				<b>Subtotal</b>	14
			<b>Weighted Total</b> (subtotal X 2.5; round ≤ .49 down and ≥ .50 up to whole number)		35
<b>Indicator # 14 Calculation</b>					
			A. APR Total		35
			B. 618 Total		35
			C. Grand Total		70
Percent of timely and accurate data = (C divided by 70 times 100)			(C) / (70) X 100 =		100%

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2009:**

SoonerStart's data of 100% remains unchanged from its FFY 2008 data of 100% and SoonerStart met its FFY 2009 target of 100%. SoonerStart continues to keep its data system updated and adds edit checks continuously to ensure that data reported are valid and reliable.

**Improvement Activities Completed in FFY 2009:**

The SoonerStart Data Analyst provided training to all SoonerStart sites. These trainings included screen shots and step-by-step directions to ensure the validity and reliability of the data submitted by the site to the SoonerStart staff at the Oklahoma Department of Education (OSDE), Special Education Services (SES), from each of the 26 SoonerStart sites (and thus, from the OSDE-SES to the OSEP). The SoonerStart Data Analyst also provided on-site technical assistance to any team requesting assistance.
The SoonerStart Data Specialist reviewed all data to ensure accuracy. Any data that was considered questionable was referred to the Program Managers to review and resubmit if needed. Data concerns were also addressed by the SoonerStart Data Specialist at quarterly SoonerStart Regional Coordinator meetings.
A staff member from the OSDE-SES attended all meetings of the Education Information Management Advisory Consortium (EIMAC). In addition, SoonerStart staff members attended the OSEP/Westat Data Meeting. Attendance at these meetings is crucial to ensure the timeliness and accuracy of data required of SoonerStart sites

to be submitted to the OSEP.
OSDE is reviewing and implementing new monitoring procedures to ensure local, state, and federal compliance.
SoonerStart Regional Coordinator staff will begin monthly monitoring on this indicator to verify correction of noncompliance.

**Revisions, with Justification, to Proposed Targets/Improvement Activities/Timelines/Resources for FFY 2007 in the Oklahoma State Performance Plan (SPP; 2008):**

FFY	Measurable and Rigorous Targets
FFY 2007 (2007-2008)	100% of state-reported data will be timely and accurate.
FFY 2008 (2008-2009)	100% of state-reported data will be timely and accurate.
FFY 2009 (2009-2010)	100% of state-reported data will be timely and accurate.
FFY 2010 (2010-2011)	100% of state-reported data will be timely and accurate.
FFY 2011 (2011-2012)	100% of state-reported data will be timely and accurate.
FFY 2011(2012-2013)	

**New and Revised Improvement Activities:**

Status	Activity	Timeline	Resources
On-going	OSDE is reviewing and implementing new monitoring procedures to ensure local, state, and federal compliance.	2009-2013	Revised monitoring procedures
On-going	The SoonerStart Data Specialist provided training to all SoonerStart sites. These trainings included screen shots and step-by-step directions to ensure the validity and reliability of the data submitted by the site to the SoonerStart staff at the Oklahoma State Department of Education (OSDE), Special Education Services (SES), from each of the 26 SoonerStart sites (and thus, from the OSDE-SES to the OSEP). The SoonerStart Data Analyst also provided on-site technical assistance to any team requesting assistance.	2009-2013	Data report and Data Analyst
On-going	The SoonerStart Data Specialist reviewed all data to ensure accuracy. Any data that was considered questionable was referred to the Program Managers to review and resubmit if needed. Data concerns were also addressed by the SoonerStart Data Specialist at quarterly SoonerStart Regional Coordinator meetings.	2009-2013	Data report and Data Analyst
On-going	A staff member from the OSDE-SES attended all meetings of the Education Information Management Advisory Consortium (EIMAC). In addition, SoonerStart staff members attended the OSEP/Westat Data Meeting. Attendance at these meetings is crucial to ensure the timeliness and accuracy of data required of SoonerStart sites to be submitted to the OSEP.	2009-2013	Data report and Data Analyst

U.S. DEPARTMENT OF EDUCATION  
 OFFICE OF SPECIAL EDUCATION  
 AND REHABILITATIVE SERVICES  
 OFFICE OF SPECIAL EDUCATION  
 PROGRAMS

TABLE 4  
 REPORT OF DISPUTE RESOLUTION UNDER PART C, OF THE  
 INDIVIDUALS WITH DISABILITIES EDUCATION ACT  
 2009-10

PAGE 1 OF 1  
 OMB NO.: 1820-0578  
 FORM EXPIRES: 11/30/2012

STATE: Oklahoma

SECTION A: WRITTEN, SIGNED COMPLAINTS	
(1) Total number of written, signed complaints filed	1
(1.1) Complaints with reports issued	0
(a) Reports with findings of noncompliance	0
(b) Reports within timeline	0
(c) Reports within extended timelines	0
(1.2) Complaints pending	0
(a) Complaints pending a due process hearing	0
(1.3) Complaints withdrawn or dismissed	1

SECTION B: MEDIATION REQUESTS	
(2) Total number of mediation requests received	0
(2.1) Mediations held	0
(a) Mediations held related to <b>due process complaints</b>	0
(i) Mediation agreements related to <b>due process complaints</b>	0
(b) Mediations held not related to <b>due process complaints</b>	0
(i) Mediation agreements <b>not related to due process complaints</b>	0
(2.2) <b>Mediations pending</b>	0
(2.3) Mediations not held	0

SECTION C: Due Process Complaints	
(3) Total number of <b>due process complaints</b> filed (for all States)	0
(3.1) Resolution meetings (applicable <b>ONLY</b> for states using Part B due process hearing procedures)	0
(a) Written settlement agreements reached through resolution meetings	0
(3.2) Hearings (fully adjudicated) (for all States) -	0
(a) Complete EITHER item (1) OR item(2), below as applicable.	
(1) Decisions within timeline - Part C Procedures	0
(2) Decisions within timeline - Part B Procedures	0
(b) Decisions within extended timeline (applicable <b>ONLY</b> if using Part B due process hearing procedures)	0
(3.3) <b>Hearing pending (for all States)</b>	0
(3.4) <b>Due process complaint withdrawn or dismissed (including resolved without a hearing) (for all States)</b>	0

CURRENT DATE: 1/28/2011

