

OK Part C

FFY2016 State Performance Plan / Annual Performance Report

Executive Summary:

On the vast majority of accountability indicators, Oklahoma maintained or improved its score from FFY 2015. Some highlights include:

- Oklahoma improved on four of the five 100 percent compliance indicators (1, 7, 8A, 8B, and 8C), moving closer to full compliance.
- Personnel continue to provide nearly all services to children in natural environments, such as the family home and community locations (Indicator 2).
- SoonerStart improved or maintained its high scores on all but one element of Indicator 3, which measures early childhood outcomes, staying above target on five of six elements.
- Parents' assessment of SoonerStart program and service quality improved considerably to an average 98% approval rate (Indicators 4A, 4B, and 4C) and the number of respondents increased substantially.
- Oklahoma continues to work well with families as evidenced by the zero counts of due process complaints (measured through the counts of resolution and mediation sessions in Indicators 9 and 10).

Oklahoma SoonerStart missed the target on only two performance indicators: 3B and 5. The program declined on two indicator scores such that slippage statements are required (Indicators 3B and 6). The Child Find rate for Indicator 6 went back to a multi-year average that was exceeded in FFY 2015.

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apr icc certification ffy 2016.pdf	Ginger Elliott-Teague	1/25/2018 9:53 AM	
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General Supervision System:

The systems that are in place to ensure that IDEA Part C requirements are met, e.g., monitoring systems, dispute resolution systems.

The Oklahoma Early Intervention Act designated the Oklahoma State Department of Education (OSDE) Special Education Services (SES) as the lead agency for the administration of the SoonerStart Early Intervention Program. OSDE-SES is responsible for monitoring progress and providing oversight for the provision of early intervention services for infants and toddlers and their families to ensure that the Individuals with Disabilities Education Act (IDEA) is implemented in Oklahoma. Oversight by the OSDE-SES includes the use of various accountability processes. The OSDE-SES collects both compliance and performance data for the Annual Performance Report through a statewide database and monitoring system. The State assures that all instances of noncompliance are corrected within one year of identification at both the child level and site level.

The Oklahoma Monitoring Plan has a documented process which verifies that each local SoonerStart Early Intervention site with noncompliance is correctly implementing the specific regulatory requirements and has corrected any untimely individual records. Oklahoma requires verification of child-specific correction of noncompliance as well as long-term compliance with the regulatory requirements (based on a review of subsequent data reflecting 100% compliance).

All SoonerStart sites are monitored for each APR indicator. Systemic and single-occurrence noncompliance is formally identified and reported through the state's monitoring process. Most noncompliance is evident through data reports generated from the state's early intervention online IFSP system called EdPlan. However, noncompliance may also be identified through parent surveys, informal complaints, local/peer feedback, and other periodic reports submitted to the state.

Following the formal identification, the SoonerStart Program Manager issues a finding of noncompliance and uses subsequent data reports to ensure that the prescribed corrective action is occurring and is effective. In reviewing compliance issues, SoonerStart tracks data on every child in Oklahoma by a unique identifier number in the EdPlan database.

The monitoring plan includes an annual review of child and site level compliance data by the SoonerStart Program Manager. Steps to implement corrective action plans and action plan tracking and monitoring are defined. Security processes for electronic documents concerning findings of noncompliance have been established.

Oklahoma has selected the 4th quarter of the fiscal year (April, May and June) as the reporting period for the APR. The data review for this reporting period occurs the last two weeks of August for the prior fiscal year. Standardized statewide management reports have been and will continue to be developed using system reports that contain all relevant records from all sites. SoonerStart has procedures in place to review data, identify noncompliance, issue findings and track correction of noncompliance at both the child and local site level.

Oklahoma's general supervision system is reliant on data collection and reporting. SoonerStart compiles, analyzes, and utilizes all of the data that is submitted by local SoonerStart sites. Part C personnel in Oklahoma are state employees and SoonerStart has procedures in place to promote consistency in data entry and utilization of the EdPlan data system.

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EdPlan, the state's Part C database, is maintained by an outside vendor. The system has been effective in collecting and sorting data for reporting purposes, and improvements made over time have greatly enhanced the state's ability to accurately report all compliance data, and support evidence-based practices. User instructions are maintained on EdPlan's main menu page and real-time assistance is available during the work day through the online message board.

For Part C, Oklahoma has adopted the Part B due process hearing procedures under 34 CFR 303.430(d)(2) which are administered through the OSDE Special Education Services.

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Technical Assistance System:

The mechanisms that the State has in place to ensure the timely delivery of high quality, evidenced based technical assistance and support to early intervention service (EIS) programs.

The SoonerStart Program Manager provides technical assistance to each local site through the monitoring process, scheduled technical assistance visits and individual responses requested by staff. Technical assistance includes:

- support for identifying underlying causes of low performance and noncompliance;
- developing appropriate strategies for improvement;
- troubleshooting issues with the SoonerStart EdPlan database
- providing explanation and clarification of SoonerStart operational procedures and IDEA, Part C regulations

The Regional Early Intervention Coordinator (REIC) and Health Department Lead Clinician at each SoonerStart site work together to implement policies, procedures and regulations in accordance with IDEA, Part C and OSDE-Special Education Services. They assure that all noncompliance has been corrected and that procedures are put into place to address child level and systemic noncompliance. Technical assistance is provided by the SoonerStart Program Manager to support in the identification of underlying causes of low performance and noncompliance as well as assist teams in developing appropriate strategies for improvement. Additional resources from the Early Childhood Technical Assistance Center (ECTA Center) and National Center for Systemic Improvement (NCSI) are utilized as needed.

In 2016-2017, SoonerStart implemented a new statewide data system to support early intervention services at their local sites. All state staff were provided face-to-face training in both December 2016 and March 2017. An outside vendor maintains the SoonerStart EdPlan database but all EI staff have access to the Message Board to request information or assistance with features of the system. The Part C Data Manager has developed detailed "Tip Sheets" to improve data entry and report access procedures. The SoonerStart Program Manager provides regular updates on improvements made to the EdPlan database as well as instructions on process and procedures. Additional training is planned for the coming year as part of on-going professional development activities for staff.

Following a two year revision process, the updated SoonerStart Operations Manual was sent to all staff and posted on the SoonerStart page of the Oklahoma State Department of Education website. The multi-agency task group reviewed the Manual to ensure that all procedures reflected current state and federal regulations as well as current OSDE and OSDH agency practices. Operational procedures were also linked to the new SoonerStart EdPlan database procedures where applicable. The SoonerStart Program Manager provided all staff with notice of specific changes to the Operations Manual and continues to respond to questions posed by staff across the state. The SoonerStart Program Manager also provides guidance and written feedback to local SoonerStart sites regarding IDEA, Part C regulations.

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Professional Development System:

The mechanisms the State has in place to ensure that service providers are effectively providing services that improve results for infants and toddlers with disabilities and their families.

Oklahoma provides professional personnel development to all SoonerStart staff, to comply with the Individuals with Disabilities Education Act (IDEA) Part C requirement that a state system must include a comprehensive system of personnel development. SoonerStart professional development activities seek to ensure accountability and promote the use of recommended and evidence-based practices. The goal of SoonerStart's ongoing professional development is to provide EI professionals (service coordinators, service providers, and EI program administration) with the tools, confidence, and competence to equip them to support families. Professional development is crucial in helping SoonerStart staff promote evidence-based practices that assist families in helping their child develop and learn. To meet this goal, SoonerStart hired a dedicated Professional Development Coordinator to develop activities to support providers in meeting program requirements while providing quality services to families of eligible infants and toddlers in an individualized, culturally sensitive, and ethical manner. Her work is supported by a set of stakeholders who advise the program as a professional development sub-committee of the ICC.

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The SoonerStart professional development system is designed to operate hand-in-hand with the TA system. As a component of the general supervision system, it is designed to be responsive to identified provider/agency/family needs, to inform the system when new procedures and policies are required, to address practice change to improve child and family outcomes, and to implement evidence-based practices. The system includes entry-level online training modules, information sharing and resource sharing, posting information on the OSDE website-SoonerStart page that includes information and training for families, and face-to-face professional development activities provided by early intervention content experts. Professional development needs are identified through a variety of methods including; review of individual program and statewide data, information from compliance monitoring and quality assurance reviews, new research and current evidence based practices and initiatives in early intervention, input from local site supervisors, results from training surveys and national and state level policy changes.

Oklahoma is rolling out a new online learning platform designed by the same vendor that supports the SoonerStart EdPlan database to provide online learning, interactive communities, and a tracking system. A revised "New Employee Orientation" training series offers blended learning modules. Face-to-face trainings for staff include Early Literacy Resources, Coaching Families, and any local site-specific content or discipline specific content requested by SoonerStart teams. Collaboration with agency partners such as AbleTech or the Oklahoma Health Care Authority offer new opportunities for staff training. SoonerStart continues to participate in the Early Childhood Professional Development Collaborative in which multiple programs (SoonerStart, Child Care, Home Visitation programs, Child Guidance Services, etc.) share professional development opportunities using a combined registry and training tracking system. At the local level, Oklahoma also utilizes mentors and peer-to-peer training opportunities.

By hiring a dedicated Professional Development Coordinator and allocating additional resources to develop a wide-array of professional development activities, SoonerStart is providing or will soon provide:

- statewide coordination of training activities related to infants and toddlers and their families;
- Greater access to learning opportunities for families and service providers;
- A more balanced and coordinated schedule of training activities in terms of topics, locations, and dates throughout the state available year round;
- on-line and face-to-face trainings; and
- Specialized training opportunities that bring together families and professionals from different fields, including early education and child care service providers.

Oklahoma remains committed to ensuring that service providers are equipped to effectively provide services that improve results for infants and toddlers with disabilities and their families.

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Stakeholder Involvement: apply this to all Part C results indicators

The mechanism for soliciting broad stakeholder input on targets in the SPP, including revisions to targets.

The ICC serves as one of the primary stakeholder groups providing ongoing guidance and input into the development of the SPP/APR and SSIP. Information and updates are provided regularly at each ICC meeting regarding progress towards the achievement of targets, the child outcome data process, selection of targets, training initiatives, and public reporting of program status. In addition, ICC sub-committees and special task groups are given ongoing opportunities for input throughout the year. Each sub-committee follows specific By-laws for membership that reflects diversity within the state. ICC sub-committees include Personnel Development, Public Awareness, Program Planning and Evaluation and Financial Planning. The SoonerStart lead agency identifies broad-based stakeholders (in accordance with §303.601) and provides the information about perspective members to the Oklahoma Governor's office for approval and appointment to the council. Members represent service providers, families of children with disabilities under the age of 12, child development instructors and representatives from state agencies providing services to families of infants and toddlers with disabilities. The council members are given multiple opportunities to share their input throughout the year. Lead Agency personnel share programmatic updates on a regularly basis via email and at each quarterly ICC meeting.

For the FFY 2016 APR, the ICC reviewed SoonerStart program data and related targets for each of the Part C indicators. Stakeholders noted that targets for Child Find Indicators 5 and 6 were set to increase each year by a greater percentage than targets for other results indicators but the percentages reported the past several years were relatively unchanged. The SoonerStart Program Manager reported that the revised baselines and targets for both Child Find indicators previously set by the ICC stakeholders (according to the minutes of the December 2014 ICC meeting) were not the targets submitted in the FFY 2013 APR by previous administrators of the lead agency. A review of the FFY 2013 APR found that previous lead agency administrators did not act on the ICC stakeholders' recommendations. Based on this information, as well as the trend data presented and the ICC's awareness that SoonerStart has limited capacity to expand child find activities, the stakeholders recommended that the Child Find baselines and targets be changed (see Explanation of Changes in Indicator 5 and Indicator 6).

Additional stakeholder engagement activities are conducted regularly as part of the SSIP. Across all strategies, various stakeholders are regularly consulted to ensure that activities meet the intended outputs and outcomes.

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Reporting to the Public:

How and where the State reported to the public on the FFY 2015 performance of each EIS Program or Provider located in the State on the targets in the SPP/APR as soon as practicable, but no later than 120 days following the State's submission of its FFY 2015 APR, as required by 34 CFR §303.702(b)(1)(i)(A); and a description of where, on its Web site, a complete copy of the State's SPP, including any revision if the State has revised the SPP that it submitted with its FFY 2015 APR in 2017, is available.

SoonerStart has made its FFY SPP/APR and Site Data Profiles available to the public on the OSDE website at <http://ok.gov/sde/datareporting-part-c>. The SoonerStart Site Data Profiles, which report the performance of each SoonerStart site, were made available to each site and the public in a timely manner.

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Actions required in FFY 2015 response

OSEP Response

The State's determinations for both 2016 and 2017 were Needs Assistance. Pursuant to section 616(e)(1) of the IDEA and 34 C.F.R. § 300.604(a), OSEP's June 29, 2017 determination letter informed the State that it must report with its FFY 2016 SPP/APR submission, due February 1, 2018, on: (1) the technical assistance sources from which the State received assistance; and (2) the actions the State took as a result of that technical assistance. The State provided the required information.

States were instructed to submit Phase III Year Two of the State Systemic Improvement Plan (SSIP) by April 2, 2018. The State provided the required information.

In the FFY 2017 APR, the State must report FFY data for the State-identified Measurable Result (SiMR). Additionally, the State must, consistent with its evaluation plan described in Phase II, assess and report on its progress implementing the SSIP. Specifically, the State must provide: (1) a narrative or graphic representation of the principal activities; (2) measures and outcomes that were implemented since the State's last SSIP submission (i.e., April 2, 2018); and (3) a summary of the infrastructure improvement strategies and evidence-based practices that were implemented and progress toward short- and long-term outcomes that are intended to impact the SiMR.

Required Actions

The State's IDEA Part C determination for both 2017 and 2018 is Needs Assistance. In the State's 2018 determination letter, the Department advised the State of available sources of technical assistance, including OSEP-funded technical assistance centers, and required the State to work with appropriate entities. The Department directed the State to determine the results elements and/or compliance indicators, and improvement strategies, on which it will focus its use of available technical assistance, in order to improve its performance. The State must report, with its FFY 2017 SPP/APR submission, due February 1, 2019, on: (1) the technical assistance sources from which the State received assistance; and (2) the actions the State took as a result of that technical assistance.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 1: Timely provision of services

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with Individual Family Service Plans (IFSPs) who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		96.74%	98.33%	82.80%	94.41%	98.00%	98.95%	98.91%	99.26%	97.51%	98.59%

FFY	2015
Target	100%
Data	98.70%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 SPP/APR Data

Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Total number of infants and toddlers with IFSPs	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
846	972	98.70%	100%	99.07%

<p>Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of infants and toddlers with IFSPs who receive their early intervention services on their IFSPs in a timely manner" field above to calculate the numerator for this indicator.</i></p>	117
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Include your State's criteria for "timely" receipt of early intervention services (i.e., the time period from parent consent to when IFSP services are actually initiated).

Oklahoma defines "timely" receipt of early intervention services as 15 working days from the date of parent consent on the IFSP to the date of the first intervention service provided to the family.

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Data were collected during the time period between April 1, 2017 and June 30, 2017 (4th quarter of FFY 2016).

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

Program data for this indicator are collected from Oklahoma's Early Intervention online IFSP record system, called EdPlan. EdPlan was designed to capture and display the status and content of the infant or toddler's record at any given period of time. Staff use the system to create an electronic record for each infant and toddler that enters the program with a referral. For children who are evaluated and determined eligible for an IFSP, the date of the first intervention service following parental consent on the IFSP is recorded permanently in the electronic record. EdPlan allows for a direct flow of information from each local SoonerStart site to OSDE as the lead agency, enabling centralized monitoring and oversight. The service timeline data were pulled and sent to each local SoonerStart site supervisor for validation and updates were made in EdPlan, if necessary.

Oklahoma began using EdPlan as the Part C data collection system in December 2016. Because of the use of the new database, data for FFY 2016 federal reporting were pulled for a single quarter (April 1, 2017 to June 30, 2017). Oklahoma selected the time period close to the end of the year to give SoonerStart staff additional time to improve their data entry skills as related to navigating the interrelated parts of the system. This ensures that the data collected and reported are high quality. The date of the first intervention

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

service for all initial and subsequent IFSPs completed between April 1, 2017 and June 30, 2017 were reviewed. The SoonerStart Program Manager and Part C Data Manager examined data that were reported for this time period and compared them to data for the full year (FFY 2016). They established that the quarter's results are representative of a full year of the state's data because all areas of the state, all provider types and all categories of eligible children are included.

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
11	11	0	0

FFY 2015 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

In FFY 2015, all sites received a quarterly review of child records in the SoonerStart database. If a finding was issued, the Program Manager conducted on-site verification visits on a quarterly basis until compliance was achieved for three consecutive months. Technical assistance was provided informally as well as in a written follow-up report. Quarterly database reviews and/or on-site verification visits by the Program Manager ensure that the local site is correctly implementing IDEA Part C policies and procedures for each child in the SoonerStart program.

Describe how the State verified that each individual case of noncompliance was corrected

Because Oklahoma reported less than 100% compliance for FFY 2015, the State must report on the status of correction of noncompliance identified in FFY 2015 for this indicator. Eleven findings issued to SoonerStart sites for Indicator #1 in FFY 2015 were corrected within one year of identification. The Program Manager reviewed the SoonerStart database and/or made on-site visits to verify correction for each site receiving a finding of noncompliance. Verification at the child level involved reviewing the SoonerStart database to determine that all toddlers had a transition plan on the IFSP. If correction of noncompliance was unable to be determined through the SoonerStart database, an on-site visit to review the child's chart was completed. The Program Manager verified that all 11 findings had been corrected in a timely manner and that the appropriate documentation was completed stating the reason for missing any timelines.

OSEP Response

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2016-June 30, 2017). The State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting period.

Because the State reported less than 100% compliance for FFY 2016, the State must report on the status of correction of noncompliance identified in FFY 2016 for this indicator. When reporting on the correction of noncompliance, the State must report, in the FFY 2017 SPP/APR, that it has verified that each EIS program or provider with noncompliance identified in FFY 2016 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2017 SPP/APR, the State must describe the specific actions that were taken to verify the correction.

If the State did not identify any findings of noncompliance in FFY 2016, although its FFY 2016 data reflect less than 100% compliance, provide an explanation of why the State did not identify any findings of noncompliance in FFY 2016.

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 2: Services in Natural Environments**

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			98.81%	95.81%	95.80%	95.80%	95.80%	95.81%	95.81%	95.00%	95.00%
Data		95.52%	95.81%	98.96%	99.80%	97.20%	96.60%	95.20%	95.36%	95.37%	96.29%

FFY	2015
Target ≥	95.00%
Data	97.34%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥	95.50%	95.50%	96.00%

Key:

Targets: Description of Stakeholder Input

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	2,551	
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Total number of infants and toddlers with IFSPs	2,622	

FFY 2016 SPP/APR Data

Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Total number of infants and toddlers with IFSPs	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
2,551	2,622	97.34%	95.50%	97.29%

Actions required in FFY 2015 response

none

OSEP Response

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 3: Early Childhood Outcomes**

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Does your State's Part C eligibility criteria include infants and toddlers who are at risk of having substantial developmental delays (or "at-risk infants and toddlers") under IDEA section 632(5)(B)(i)? No

Historical Data

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
A1	2013	Target ≥						88.64%		77.60%	78.00%	78.00%	78.00%
		Data					87.10%	88.60%	77.60%	81.40%	80.82%	78.15%	79.73%
A2	2013	Target ≥						64.06%		54.60%	55.00%	52.00%	52.00%
		Data					61.80%	59.30%	54.60%	57.20%	56.00%	52.41%	55.67%
B1	2013	Target ≥						91.17%		88.60%	89.00%	83.00%	83.00%
		Data					89.40%	86.70%	86.70%	87.90%	86.30%	83.04%	84.87%
B2	2013	Target ≥						61.07%		60.50%	61.00%	46.00%	46.00%
		Data					59.80%	56.20%	56.20%	50.40%	49.20%	46.48%	50.58%
C1	2013	Target ≥						91.97%		87.80%	88.00%	84.00%	84.00%
		Data					90.80%	87.50%	89.80%	88.50%	85.30%	84.31%	86.53%
C2	2013	Target ≥						59.73%		53.80%	54.00%	47.00%	47.00%
		Data					58.90%	65.20%	54.90%	52.00%	50.52%	47.03%	50.23%

	FFY	2015
A1	Target ≥	78.00%
	Data	78.17%
A2	Target ≥	52.00%
	Data	55.34%
B1	Target ≥	83.00%
	Data	82.14%
B2	Target ≥	46.00%
	Data	49.05%
C1	Target ≥	84.00%
	Data	83.56%
C2	Target ≥	47.00%
	Data	49.86%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target A1 ≥	78.50%	78.50%	79.00%
Target A2 ≥	52.50%	52.50%	53.00%
Target B1 ≥	83.50%	83.50%	84.00%
Target B2 ≥	46.50%	46.50%	47.00%
Target C1 ≥	84.50%	84.50%	85.00%
Target C2 ≥	47.50%	47.50%	48.00%

Key:

Targets: Description of Stakeholder Input

FFY 2016 SPP/APR Data

Number of infants and toddlers with IFSPs assessed	443.00
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Outcome A: Positive social-emotional skills (including social relationships)

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	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	5.00	1.13%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	54.00	12.19%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	147.00	33.18%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	187.00	42.21%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	50.00	11.29%

	Numerator	Denominator	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
A1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	334.00	393.00	78.17%	78.50%	84.99%
A2. The percent of infants and toddlers who were functioning within age expectations in Outcome A by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	237.00	443.00	55.34%	52.50%	53.50%

Outcome B. Acquisition and use of knowledge and skills (including early language/ communication)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	5.00	1.13%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	59.00	13.32%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	179.00	40.41%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	184.00	41.53%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	16.00	3.61%

	Numerator	Denominator	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
B1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	363.00	427.00	82.14%	83.50%	85.01%
B2. The percent of infants and toddlers who were functioning within age expectations in Outcome B by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	200.00	443.00	49.05%	46.50%	45.15%

Reasons for B2 Slippage

B2 is the only indicator whose decline was steep enough to indicate slippage or to fall below the state target. The other indicators that measure the percent of children functioning within age expectations (A2 and C2) remain above target. This suggests that performance on this indicator is related to the specific cohort of children exiting Part C services at the time of evaluation.

Outcome C: Use of appropriate behaviors to meet their needs

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	5.00	1.13%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	50.00	11.29%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	173.00	39.05%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	196.00	44.24%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	19.00	4.29%

	Numerator	Denominator	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
C1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	369.00	424.00	83.56%	84.50%	87.03%
C2. The percent of infants and toddlers who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	215.00	443.00	49.86%	47.50%	48.53%

The number of infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program

The number of infants and toddlers who exited the Part C program during the reporting period, as reported in the State's part C exiting 618 data	
The number of those infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program.	224

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Please note that this data about the number of infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program is optional in this FFY16 submission. It will be required in the FFY17 submission.

Was sampling used? No

Did you use the Early Childhood Outcomes Center (ECO) Child Outcomes Summary (COS) process? Yes

List the instruments and procedures used to gather data for this indicator.

Program data for this indicator are collected from Oklahoma's Early Intervention online IFSP record system called EdPlan. Staff use the system to create an electronic record for each infant and toddler in the SoonerStart program, including early childhood outcomes. The Child Outcome Summary Form is completed electronically for each child exiting SoonerStart if he or she has had at least six months of service. It is SoonerStart practice that personnel enter the COSF ratings and evaluation information just prior to a child turning 3 or soon after exit, whichever comes first.

Provide additional information about this indicator (optional)

For FFY 2016, Oklahoma has chosen to report optional data on the number of infants and toddlers who did not receive early intervention services of at least six months before exiting the Part C program. Early Childhood Outcomes results for FFY 2016 were reported for data collected for the 4th quarter of FFY 2016 (April 1, 2017 - June 30, 2017). There were 717 infants and toddlers with IFSPs who exited from the SoonerStart program in this time period. Of those, 224 children did not receive six months of early intervention services. Oklahoma reported that 443 records had completed entry and exit rating results on the Child Outcome Summary Form (COSF) out of the 493 children who received at least six months of services. This represents a data completeness rate of 89.85%.

As mentioned, Oklahoma used the 4th quarter of 2017 (April 1st - June 30th) as the reporting period for Early Childhood Outcomes. The data were pulled and sent to each local SoonerStart site supervisor for validation and submission back to the SoonerStart Program Manager. The SoonerStart Program Manager and Part C Data Manager examined data that were reported for this time period and compared them to data for the full year (FFY 2016). They established that the quarter's results are representative of a full year of the state's data because all areas of the state, all provider types and all categories of eligible children are included.

Actions required in FFY 2015 response

none

OSEP Response

States must report the following data starting with the FFY 2017 SPP/APR submission, due February 2019: (1) the number of infants and toddlers who exited the Part C program during the reporting period, as reported in the State's Part C exiting data under Section 618 of the IDEA; and (2) the number of those infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program.

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 4: Family Involvement**

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
A	2006	Target ≥					93.15%	93.30%	93.35%	93.40%	93.40%	91.00%	92.00%
		Data			95.39%	98.72%	96.45%	97.78%	96.95%	98.48%	94.77%	95.61%	96.30%
B	2006	Target ≥					92.25%	95.30%	95.35%	95.40%	95.40%	91.00%	92.00%
		Data			95.31%	96.48%	93.31%	97.78%	97.78%	96.96%	90.27%	91.37%	94.44%
C	2006	Target ≥					93.85%	93.90%	93.95%	94.00%	94.00%	91.00%	92.00%
		Data			95.86%	98.40%	95.27%	97.22%	97.33%	96.58%	93.71%	94.85%	94.44%

	FFY	2015
A	Target ≥	93.00%
	Data	97.46%
B	Target ≥	93.00%
	Data	96.87%
C	Target ≥	93.00%
	Data	96.67%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target A ≥	94.00%	95.00%	96.00%
Target B ≥	94.00%	95.00%	96.00%
Target C ≥	94.00%	95.00%	96.00%

Key:

Targets: Description of Stakeholder Input

FFY 2016 SPP/APR Data

Number of families to whom surveys were distributed	1500.00
Number of respondent families participating in Part C	30.07% 451.00
A1. Number of respondent families participating in Part C who report that early intervention services have helped the family know their rights	445.00
A2. Number of responses to the question of whether early intervention services have helped the family know their rights	451.00
B1. Number of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	443.00
B2. Number of responses to the question of whether early intervention services have helped the family effectively communicate their children's needs	451.00
C1. Number of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn	438.00
C2. Number of responses to the question of whether early intervention services have helped the family help their children develop and learn	451.00

	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
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FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
A. Percent of families participating in Part C who report that early intervention services have helped the family know their rights	97.46%	94.00%	98.67%
B. Percent of families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	96.87%	94.00%	98.23%
C. Percent of families participating in Part C who report that early intervention services have helped the family help their children develop and learn	96.67%	94.00%	97.12%

Was sampling used? No

Was a collection tool used? Yes

Is it a new or revised collection tool? Yes

Submitted collection tool: [Part C Family Survey Paper Version](#)

The demographics of the families responding are representative of the demographics of infants, toddlers, and families enrolled in the Part C program.
Yes

Include the State's analysis of the extent to which the demographics of the families responding are representative of the demographics of infants, toddlers, and families enrolled in the Part C program.

DEMOGRAPHICS

Surveys were distributed to approximately 1500 families in FFY 2016. The distribution of respondents across three demographic characteristics (gender, race and age) closely aligns with SoonerStart demographics overall, as shown in the associated tables below. The gender distribution of respondents' SoonerStart children matches closely with the 40 to 60 female/male ratio in SoonerStart. The age distribution becomes more similar the higher the age of respondents' SoonerStart children. This aligns with SoonerStart procedures: surveys are offered to families at annual reviews and when exiting from the program. Therefore, parents of children receiving services less than one year are less likely to answer the survey because they are less likely to receive it, impacting the number of responses we receive from families of infants referred before one year of age. Finally, the racial distribution of respondents' children matches that of SoonerStart overall, with "White or Caucasian" identity most commonly reported, "Hispanic" second most common, and "Two or More Races" third most common. Four percent of respondents chose not to identify their children's race, slightly skewing the data.

VALIDITY & RELIABILITY

The Part C EI program in Oklahoma uses a multi-question survey to query parents about their EI experience. Indicators 4A, B & C were measured in 2016-2017 by index variables that reflect multiple components related to each indicator. The data are valid because parental experience in each of the three areas is measured precisely with specific questions. In prior surveys, parental experience across the 3 indicators was measured with 40 questions; those have been reduced to nine (three for each indicator). To assess whether the abbreviated question set represented the same overall concepts of program performance, a correlation factor analysis was conducted. It was determined that these nine questions are more than 95 percent correlated with the full set of 40. We are completely confident that the abbreviated survey reflects the same sentiments and experiences as those in the longer survey. The survey was shortened prior to the 2016-2017 fiscal year in an effort to increase participation and completion rates. The Part C Interagency Coordinating Council and the Oklahoma Parent Center provided stakeholder input into and approved all modifications to the family survey.

The data are reliable because the index variables measure precise experiences and not broad perceptions of quality; parents are likely to answer similarly across time if their experiences are the same. Personnel do not influence parents' responses at any time, although they are asked to encourage and facilitate involvement.

BACKGROUND

In FFY 2016, SoonerStart continued to partner with the Oklahoma Parents Center to disseminate Family Surveys. Families have the option to complete the survey online, by telephone or on paper. The SoonerStart resource coordinator gives a detailed brochure explaining the Family Survey to each SoonerStart family at the annual IFSP meeting and when the child exits from Part C services. The brochure describes the importance of the survey and includes instructions for accessing the survey. SoonerStart personnel do not assist families in the actual completion of the surveys, unless language translation is needed. The completed surveys are collected directly by the Oklahoma Parents Center to ensure that response data are valid and reliable. The results are given to the Part C Data Manager to analyze and report in the Annual Performance Report (APR).

Provide additional information about this indicator (optional)

Gender Demographics SY 2016-17

	Respondents	SoonerStart-CC	State
Female	35.9%	40.0%	48.9%
Male	61.4%	60.0%	51.1%

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

No Response	2.7%	0.0%	0.0%
Total	100.0%	100.0%	100.0%

Age Demographics SY 2016-17

	Respondents	SoonerStart-CC	State
0 to 1	6.0%	14.2%	32.9%
1 to 2	35.3%	28.4%	33.6%
2 to 3	56.8%	57.4%	33.5%
No Response	2.0%	0.0%	0.0%
Total	100.0%	100.0%	100.0%

UPDATE: To clarify per OSEP's response, the response group is as representative as is possible given the nature of the survey protocol. Because surveys are given to families after they have received services for a period of time (up to one year), and not when they enter the program, the response group naturally includes fewer families of infants referred before one year of age even though the families received services while the child was less than one year of age. Oklahoma is not conducting improvement activities because it believes this protocol is ideal for gathering valid data on families' perceptions of service quality.

Race Demographics SY 2016-17

	Respondents	SoonerStart-CC	State
American Indian or Alaska Native	8.4%	5.1%	8.6%
Asian	1.1%	1.9%	2.1%
Black or African American	5.8%	6.6%	8.2%
Hispanic	12.4%	17.3%	17.1%
Native Hawaiian or Pacific Islander	0.0%	0.6%	0.2%
White or Caucasian	56.3%	58.4%	52.8%
Two or More Races	12.0%	10.1%	11.2%
No Response	4.0%	0.0%	0.0%
Total	100.0%	100.0%	100.0%

Actions required in FFY 2015 response

In the FFY 2016 SPP/APR, the State must report whether its FFY 2016 response data represent the demographics of the State, and, if not, the actions the State is taking to address this issue.

Responses to actions required in FFY 2015 OSEP response

The population served by SoonerStart aligns with the racial distribution of the state population (birth through two) very closely (see the Race Demographics Table previously shared). The racial distribution of respondents' children matches that of SoonerStart and the State overall, with "White or Caucasian" identity most commonly reported, "Hispanic" second most common, and "Two or More Races" third most common. Four percent of respondents chose not to identify their children's race, slightly skewing the data. The state continues to provide a Spanish-language version of the family survey to ensure that all families have the opportunity to respond. For other languages, translators are available.

OSEP Response

The State reported that the data it reported for this indicator were collected from a response group that was representative of the population. However, in its narrative, the State reported "surveys are offered to families at annual reviews and when exiting from the program. Therefore, parents of children receiving services less than one year are less likely to answer the survey because they are less likely to receive it, impacting the number of responses we receive from families of infants referred before one year of age." Further, the State reported that "the response group is as representative as is possible given the nature of the survey protocol," and that "surveys are given to families after they have received services for a period of time (up to one year)."

Required Actions

The State's reported data are inconsistent with the measurement for this indicator because the State does not collect data from families of eligible children who are birth to one. OSEP requests the State survey families of children who have received services for a minimal period of time (such as six months) to ensure that the State collects data from families of eligible children who are birth to one. The State must include strategies or improvement activities to address this issue in the future.

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 5: Child Find (Birth to One)**

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2013

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			1.24%	1.25%	1.26%	1.28%	1.30%	1.30%	1.34%	0.80%	0.85%
Data		1.35%	1.26%	1.17%	0.99%	1.04%	0.87%	1.02%	0.82%	0.81%	0.79%

FFY	2015
Target ≥	0.90%
Data	0.67%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥	0.86%	0.88%	0.90%

Key:

Explanation of Changes

In December 2017, the ICC reviewed program data for the Annual Performance Report and related targets. Stakeholders noted that targets for Child Find Indicators 5 and 6 were set to increase each year by a greater percentage than targets for other results indicators. As a result, the ICC reviewed the data results for Indicator 5 for the past four years. They determined that current targets are unrealistic and should be revised for the following reasons:

1. Level Budget - Oklahoma continues to experience severe budget deficits in state funding and increasing the SoonerStart budget to expand child find activities will not happen in the near future.
2. Staff Capacity - Each year, program costs have trended upward despite the level budget, causing the program to go through extensive revenue-saving measures. These have included reductions in both service providers and resource coordinators.
3. The original targets for Indicator 5 set by the ICC stakeholders (according to the minutes of the December 2014 ICC meeting) were not the targets submitted in the FFY 2013 APR by previous administrators of the lead agency. A review of the FFY 2013 APR found that previous lead agency administrators did not act on the ICC stakeholders' recommendation.

The ICC recommended in December 2017 that the targets for this indicator be reset for FFY 2016, FFY 2017 and FFY 2018 to the original targets approved by the ICC in December 2014 (See table in "Description of Stakeholder Input" below).

Targets: Description of Stakeholder Input

Indicator 5 Targets	FFY 2013	FFY 2014	FFY 2015	FFY 2016	FFY 2017	FFY 2018	
Approved by ICC – 12/2014	0.80%	0.82%	0.84%	0.86%	0.88%	0.90%	As the primary stakeholders for the SoonerStart program, the ICC reviewed trend data in December 2014 for each of the Indicators reported in the Annual Performance Report. At that quarterly meeting, targets for all Indicators were revised and a new baseline was established for Indicators based on the stakeholder discussions. In December 2017, the ICC again reviewed program data for the Annual Performance Report and related targets. Upon reviewing the data for the past four years compared to the Indicator 5 targets submitted in the FFY 2013 APR, stakeholders concluded that the targets should be revised to reflect the original longitudinal targets set by the ICC for the FFY 2013 APR. They agreed that the previous targets are realistic goals despite the program's lower results in recent years.
Submitted in FFY 2013 APR	0.80%	0.85%	0.90%	0.95%	1.00%	1.40%	
Data Results	0.81%	0.79%	0.67%	0.71%	--	--	

and a new baseline was established for Indicators based on the stakeholder discussions. In December 2017, the ICC again reviewed program data for the Annual Performance Report and related targets. Upon reviewing the data for the past four years compared to the Indicator 5 targets submitted in the FFY 2013 APR, stakeholders concluded that the targets should be revised to reflect the original longitudinal targets set by the ICC for the FFY 2013 APR. They agreed that the previous targets are realistic goals despite the program's lower results in recent years.

Stakeholders were also reminded that the data results for each year are derived from the one day child count data obtained from the SoonerStart statewide database on December 1st of each year. Infants and toddlers served during the reporting period prior to this date may not have been counted as well as any infants and toddlers served during the reporting period after this date.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Stakeholders understand that many more infants and toddlers are served by the SoonerStart program during the reporting year than the number reflected in a one day count. The ICC noted that the FFY 2016 revised targets for Child Find reflect the cumulative number of infants and toddlers ages 0-3 that are expected to receive early intervention services each year.

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Number of infants and toddlers birth to 1 with IFSPs	372	null
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2016	6/22/2017	Population of infants and toddlers birth to 1	52,409	null
TBD			null	

FFY 2016 SPP/APR Data

Number of infants and toddlers birth to 1 with IFSPs	Population of infants and toddlers birth to 1	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
372	52,409	0.67%	0.86%	0.71%

Compare your results to the national data

Compared to other states, Oklahoma falls near the bottom of the list for the percent of the population birth to one receiving early intervention services under IDEA Part C through the state EI program. States range from 0.65 percent served in Mississippi to 4.82 percent in Massachusetts. The national average is 1.24 and the state median is 1.13. However, Oklahoma has narrow eligibility requirements, greatly reducing the total pool of children eligible for services. To illustrate: in 2015-2016, of the nearly 7000 referrals made to SoonerStart, about 15 percent were screened or evaluated and deemed not eligible for the program (while 35 percent of parents selected not to reach the eligibility stage). With broader requirements, Oklahoma's number of children birth to one served would nearly double, exceeding the state target and national average.

Provide additional information about this indicator (optional)

Oklahoma targets for this indicator were set by stakeholders in FFY 2013 to reflect the cumulative number of infants ages 0-1 that were expected to receive early intervention services each year. The required data to be reported in the APR is 618 "Child Count" data collected on one day.

The percentage of infants ages 0-1 receiving services on an IFSP (0.71%) in the SoonerStart program did not meet the state's FFY 2016 target. However, Oklahoma has consistently reported cumulative data indicating that SoonerStart serves between 1.75% and almost 3.00% of Oklahoma's infants ages 0-1 during each of the past three fiscal years. Based on the overall data results, Oklahoma feels that the number served in a one day count is not a reliable measure of how the state is performing in overall child find for infants ages 0-1 with disabilities, especially with regard to infants who may not need a full year of services.

Actions required in FFY 2015 response

none

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

OSEP Response

The State has revised the baseline for this indicator, using data from FFY 2013, and OSEP accepts that revision.

The State revised its targets for FFYs 2016, 2017, and 2018 for this indicator, and OSEP accepts those targets.

Required Actions

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**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 6: Child Find (Birth to Three)**

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2013

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			2.10%	2.10%	2.15%	2.15%	2.20%	2.20%	2.25%	1.60%	1.65%
Data		2.03%	1.97%	1.90%	1.79%	1.85%	1.75%	1.62%	1.69%	1.66%	1.61%

FFY	2015
Target ≥	1.75%
Data	1.75%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥	1.66%	1.68%	1.70%

Key:

Explanation of Changes

In December 2017, the ICC reviewed program data for the Annual Performance Report and related targets. Stakeholders noted that targets for Child Find Indicators 5 and 6 were set to increase each year by a greater percentage than targets for other results indicators. As a result, the ICC reviewed the data results for Indicator 6 for the past four years. They determined that current targets are unrealistic and should be revised for the following reasons:

1. Level Budget - Oklahoma continues to experience severe budget deficits in state funding and increasing the SoonerStart budget to expand child find activities will not happen in the near future.
2. Staff Capacity - Each year, program costs have trended upward despite the level budget, causing the program to go through extensive revenue-saving measures. These have included reductions in both service providers and resource coordinators.
3. The original targets for Indicator 6 set by the ICC stakeholders (according to the minutes of the December 2014 ICC meeting) were not the targets submitted in the FFY 2013 APR by previous administrators of the lead agency. A review of the FFY 2013 APR found that previous lead agency administrators did not act on the ICC stakeholders' recommendation.

The ICC recommended in December 2017 that the targets for this indicator be reset for FFY 2016, FFY 2017 and FFY 2018 to the original targets approved by the ICC in December 2014 (See table in "Description of Stakeholder Input" below).

Targets: Description of Stakeholder Input

Indicator 6 Targets	FFY 2013	FFY 2014	FFY 2015	FFY 2016	FFY 2017	FFY 2018
Approved by ICC – 12/2014	1.60%	1.62%	1.64%	1.66%	1.68%	1.70%
Submitted in FFY 2013 APR	1.60%	1.65%	1.75%	1.85%	1.95%	2.05%
Data Results	1.66%	1.61%	1.75%	1.65%	-	-

As the primary stakeholders for the SoonerStart program, the ICC reviewed trend data in December 2014 for each of the Indicators reported in the Annual Performance Report. At that quarterly meeting, targets for all Indicators were revised and a new baseline was established for Indicators based on the stakeholder discussions. In December 2017, the ICC again reviewed program data for the Annual Performance Report and related targets. Upon reviewing the data for the past four years compared to the Indicator 6 targets submitted in the FFY 2013 APR, stakeholders concluded that the targets should be revised to reflect the original longitudinal targets set by the ICC for the FFY 2013 APR.

Stakeholders were also reminded that the data results for each year are derived from the one day child count data obtained from the SoonerStart statewide database on December 1st of each year. Infants and toddlers served during the reporting period prior to this date may not have been counted as well as any infants and toddlers served during the reporting period after this date.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Stakeholders understand that many more infants and toddlers are served by the SoonerStart program during the reporting year than the number reflected in a one day count. The ICC noted that the FFY 2016 revised targets for Child Find reflect the cumulative number of infants and toddlers ages 0-3 that are expected to receive early intervention services each year.

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Number of infants and toddlers birth to 3 with IFSPs	2,622	
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2016	6/22/2017	Population of infants and toddlers birth to 3	159,114	
TBD			null	

FFY 2016 SPP/APR Data

Number of infants and toddlers birth to 3 with IFSPs	Population of infants and toddlers birth to 3	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
2,622	159,114	1.75%	1.66%	1.65%

Reasons for Slippage

Oklahoma's FFY 2016 results for Indicator 6 show slippage of <1%. Review of Oklahoma's APR results for the past five years indicate that the FFY 2016 Indicator 6 data are comparable to FFY 2011, FFY 2012, FFY 2013, and FFY 2014 data. In FFY 2016 the state has returned to the multi-year average for this indicator. The FFY 2015 Child Find rate of 1.75% (ages 0 to 3) did not reflect the typical results for Oklahoma.

Compare your results to the national data

Compared to other states, Oklahoma falls near the bottom of the list for the percent of the population birth through two years receiving early intervention services under IDEA Part C through the state EI program. States range from 1.51 in Arkansas to 9.44 in Massachusetts. The national average is 3.12 and the state median is 2.87. Compared to other states, Oklahoma has narrow eligibility requirements, greatly reducing the total pool of children eligible for services. To illustrate: in 2015-2016, of the nearly 10,000 referrals made to SoonerStart, about 15 percent were screened or evaluated and deemed not eligible for the program (while 30 percent chose not to reach the eligibility stage). With broader requirements, Oklahoma's number of children birth to three served would increase by more than half, exceeding the state target.

Provide additional information about this indicator (optional)

Oklahoma targets for this indicator were set by stakeholders in FFY 2013 to reflect the cumulative number of infants and toddlers ages 0-3 that were expected to receive early intervention services each year. The required data to be reported in the APR is 618 "Child Count" data collected on one day.

Oklahoma's percentage of infants ages 0-3 receiving services on an IFSP (1.65%) nearly met the state's FFY 2016 target. However, a review of the cumulative data from the statewide database indicates that 5122 infants and toddlers received services through an initial IFSP at some point in FFY 2016. This represents 3.22% of Oklahoma's infants ages 0-1 based on the census estimates provided in this indicator. Based on the overall data results, Oklahoma feels that the number served in a one day count is not a reliable measure of how the state is performing in overall child find for infants ages 0-3 with disabilities, especially with regard to young children who may not need a full year of services.

Actions required in FFY 2015 response

none

OSEP Response

The State has revised the baseline for this indicator, using data from FFY 2013, and OSEP accepts that revision.

The State revised its targets for FFYs 2016, 2017, and 2018 for this indicator, and OSEP accepts those targets.

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**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 7: 45-day timeline**

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		96.75%	89.01%	78.40%	78.53%	83.70%	78.30%	82.89%	94.04%	93.02%	94.64%

FFY	2015
Target	100%
Data	90.94%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 SPP/APR Data

Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline	Number of eligible infants and toddlers evaluated and assessed for whom an initial IFSP meeting was required to be conducted	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
560	792	90.94%	100%	96.59%
Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline" field above to calculate the numerator for this indicator.</i>				205

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Data were collected during the time period between April 1, 2017 and June 30, 2017 (4th quarter of FFY 2016).

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

Program data for this indicator are collected from Oklahoma's Early Intervention online IFSP record system, called EdPlan. EdPlan was designed to capture and display the status and content of the infant or toddler's record at any given period of time. Staff use the system to create an electronic record for each infant and toddler that enters the program with a referral. The referral date, date of parent consent and start date of the IFSP are all permanently recorded in the electronic record. The timeline data used to evaluate IFSP timeliness were pulled by the Program Manager and sent to each local SoonerStart site supervisor for validation and updates were made in EdPlan, if necessary.

Oklahoma began using EdPlan as the Part C data collection system in December 2016. Because of the use of the new database, data for FFY 2016 federal reporting were pulled for a single quarter (April 1, 2017 to June 30, 2017). Oklahoma selected the time period close to the end of the year to give SoonerStart staff additional time to improve their data entry skills as related to navigating the interrelated parts of the system. This ensures that the data collected and reported are high quality. The timeliness of initial IFSPs completed between April 1, 2017 and June 30, 2017 were reviewed for this indicator. The SoonerStart Program Manager and Part C Data Manager examined data that were reported for this time period and compared them to data for the full year (FFY 2016). They established that the quarter's results are representative of a full year of the state's data because all areas of the state, all provider types and all categories of eligible children are included.

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
17	17	0	0

FFY 2015 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

In FFY 2015, all sites received a quarterly review of child records in the SoonerStart database. If a finding was issued, the Program Manager conducted on-site verification visits on a quarterly basis until compliance was achieved for three consecutive months. Technical assistance was provided informally as well as in a written follow-up report. Quarterly database reviews and/or on-site verification visits by the Program Manager ensure that the local site is correctly implementing IDEA Part C policies and procedures for each child in the SoonerStart program.

Describe how the State verified that each individual case of noncompliance was corrected

Because Oklahoma reported less than 100% compliance for FFY 2015, the State must report on the status of correction of noncompliance identified in FFY 2015 for this indicator. Seventeen findings issued to SoonerStart sites for Indicator #7 in FFY 2015 were corrected within one year of identification. The Program Manager reviewed the SoonerStart database and/or made on-site visits to verify correction for each site receiving a finding of noncompliance. Verification at the child level involved reviewing the SoonerStart database to determine that all infants and toddlers had an IFSP in place. If correction of noncompliance was unable to be determined through the SoonerStart database, an on-site visit to review the child's chart was completed. The Program Manager verified that all 17 findings had been corrected in a timely manner and that the appropriate documentation was completed stating the reason for missing any timelines.

FFY 2014 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

Because Oklahoma reported one uncorrected finding of noncompliance in its FFY 2015 APR, the State must report on the status of correction of noncompliance identified in FFY 2014 for Indicator #7.

The Oklahoma State Department of Education (lead agency) and the Oklahoma State Department of Health (partner agency) required a meeting with the Tulsa site leadership personnel to discuss systemic challenges to meeting the 45-day timeline for IFSP development. In drill-down discussions, the state/local team determined that the time span from referral to eligibility determination was negatively impacting the Tulsa team's ability to schedule timely IFSP meetings with families of eligible infants and toddlers. The identification of contributing factors included:

- limited evaluation slots for an increasing number of referrals;
- difficulty locating children in "child welfare" custody of the Oklahoma Department of Human Services (DHS) in a timely manner;
- high percentage of cancellations or no-shows for evaluation appointments for children in DHS custody;
- inappropriate referrals resulting in evaluations for children who do not meet eligibility requirements for Part C services; and
- full eligibility evaluations required for children with diagnoses that are not included on Oklahoma's approved list of automatic qualifying conditions.

A Corrective Action Plan (CAP) was developed by OSDE, OSDH and Tulsa site personnel with an implementation date of July 1, 2016. The following CAP requirements were implemented:

1. The Tulsa site staff piloted an alternative evaluation tool (Developmental Profile -3) which assesses the five developmental domains primarily by parent report. Using this tool was an attempt to reduce the evaluation procedure time and subsequently increase the availability of evaluation appointment time slots.
2. With ICC stakeholders input, the approved list of automatic qualifying conditions for Oklahoma was expanded reducing evaluation procedure time to determine eligibility.
3. Tulsa site leadership worked with the local Department of Human Services representatives to develop a referral process that facilitates the flow of accurate demographic information for children in state custody, as well as procedures for notifying SoonerStart when a child's placement has been changed. Procedures to exchange information reduced the number of cancellations and no-shows and allowed for more timely evaluations which resulted in timely IFSPs for eligible children.

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- 4. Tulsa service coordinators updated public awareness plans and materials to ensure that referral sources were informed of Oklahoma's eligibility criteria for SoonerStart early intervention services. Fewer at-risk referrals resulted in more timely evaluations for children and subsequently, more timely IFSPs for children with one 50% delay in development or two 25% delays in development.

The SoonerStart Program Manager provided technical assistance and support for the Tulsa site. When CAP activities were evaluated at six months (December 2016), the Tulsa site leadership determined that using the Developmental Profile – 3 as an alternative evaluation tool did not improve the availability of evaluation slots. As a result, the site returned to uniform use of the state-approved developmental evaluation tool. However, with the other strategies in place, evaluations were scheduled in a timely manner resulting in IFSPs meeting target dates.

Quarterly database reviews and on-site verification visits by the Program Manager ensured that the Tulsa site was correctly implementing IDEA Part C policies and procedures for each child in the SoonerStart program. All subsequent noncompliance for FFY 2014 was determined corrected by June 2016 based on a review of updated data in the SoonerStart database. The Corrective Action Plan for the Tulsa site was closed.

Describe how the State verified that each individual case of noncompliance was corrected

Because Oklahoma reported one uncorrected finding of noncompliance in its FFY 2015 APR, the State must report on the status of correction of noncompliance identified in FFY 2014 for Indicator #7. In the FFY 2015 APR, Oklahoma reported that the Tulsa SoonerStart site had verified correction of each individual case of noncompliance at the child level within one year of the finding but was experiencing obstacles in correctly implementing regulatory requirements (i.e. achieving 100% compliance).

OSEP Response

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2016-June 30, 2017). The State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting period.

Because the State reported less than 100% compliance for FFY 2016, the State must report on the status of correction of noncompliance identified in FFY 2016 for this indicator. When reporting on the correction of noncompliance, the State must report, in the FFY 2017 SPP/APR, that it has verified that each EIS program or provider with noncompliance identified in FFY 2016 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2017 SPP/APR, the State must describe the specific actions that were taken to verify the correction.

If the State did not identify any findings of noncompliance in FFY 2016, although its FFY 2016 data reflect less than 100% compliance, provide an explanation of why the State did not identify any findings of noncompliance in FFY 2016.

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8A: Early Childhood Transition**

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		97.82%	93.22%	88.15%	97.40%	98.60%	95.91%	99.31%	97.52%	97.95%	94.14%

FFY	2015
Target	100%
Data	96.61%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 SPP/APR Data

Data include only those toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday.

- Yes
- No

Number of children exiting Part C who have an IFSP with transition steps and services	Number of toddlers with disabilities exiting Part C	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
374	423	96.61%	100%	96.93%

Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of children exiting Part C who have an IFSP with transition steps and services" field to calculate the numerator for this indicator.</i>	36
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What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Data were collected during the time period between April 1, 2017 and June 30, 2017 (4th quarter of FFY 2016).

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

Program data for this indicator are collected from Oklahoma's Early Intervention online IFSP record system, called EdPlan. EdPlan was designed to capture and display the status and content of the infant or toddler's record at any given period of time. Staff use the system to create an electronic record for each infant and toddler that enters the program with a referral. For children whose parents have given consent to transition to Part B, the dates of critical transition events are recorded permanently in the electronic record. These dates include the date of LEA notification, the date transition is initiated and discussed with the family, and the date of the TPC. The transition data were pulled and sent to each local SoonerStart site supervisor for validation and updates were made in 9/22/2020

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EdPlan, if necessary.

Oklahoma began using EdPlan as the Part C data collection system in December 2016. Because of the use of the new database, data for FFY 2016 federal reporting were pulled for a single quarter (April 1, 2017 to June 30, 2017). Oklahoma selected the time period close to the end of the year to give SoonerStart staff additional time to improve their data entry skills as related to navigating the interrelated parts of the system. This ensures that the data collected and reported are high quality. The transition event dates between April 1, 2017 and June 30, 2017 were reviewed. The SoonerStart Program Manager and Part C Data Manager examined all data that were reported for this time period and compared them to data for the full year (FFY 2016). They established that the quarter's results are representative of a full year of the state's data because all areas of the state, all provider types and all categories of eligible children are included.

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
14	14	0	0

FFY 2015 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

In FFY 2015, all sites received a quarterly review of child records in the SoonerStart database. If a finding was issued, the Program Manager conducted on-site verification visits on a quarterly basis until compliance was achieved for three consecutive months. Technical assistance was provided informally as well as in a written follow-up report. Quarterly database reviews and/or on-site verification visits by the Program Manager ensure that the local site is correctly implementing IDEA Part C policies and procedures for each child in the SoonerStart program.

Describe how the State verified that each individual case of noncompliance was corrected

Because Oklahoma reported less than 100% compliance for FFY 2015, the State must report on the status of correction of noncompliance identified in FFY 2015 for this indicator. Fourteen findings issued to SoonerStart sites for Indicator #8A in FFY 2015 were corrected within one year of identification. The Program Manager reviewed the SoonerStart database and/or made on-site visits to verify correction for each site receiving a finding of noncompliance. Verification at the child level involved reviewing the SoonerStart database to determine that all toddlers had a transition plan on the IFSP. If correction of noncompliance was unable to be determined through the SoonerStart database, an on-site visit to review the child's chart was completed. The Program Manager verified that all 14 findings had been corrected in a timely manner and that the appropriate documentation was completed stating the reason for missing any timelines.

FFY 2014 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

Because Oklahoma reported one uncorrected finding of noncompliance in their FFY 2015 APR, the State must report on the status of correction of noncompliance identified in FFY 2014 for Indicator #8A Timely Transition Initiation.

The Oklahoma State Department of Education (lead agency) and the Oklahoma State Department of Health (partner agency) required a meeting with the Tulsa site leadership personnel to discuss systemic challenges to meeting the timeline for Transition Initiation. The identification of contributing factors included:

- unavailability of up-to-date SoonerStart database reports to assist staff in anticipating transition timelines due to lack of data entry personnel
- Lack of appropriate documentation for missed transition timelines
- Large staff caseloads

A Corrective Action Plan (CAP) was developed by OSDE, OSDH and Tulsa site personnel with an implementation date of July 1, 2016. The following CAP requirements were implemented:

1. Service Coordinators responsible for providing timely transition services to SoonerStart families were required to develop Individualized Improvement Plans and meet regularly with their supervisor to self-monitor transition timelines and child record documentation.

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2. Staff were recruited from other SoonerStart sites to enter the backlog of child record data in the SoonerStart database.
3. Hiring of additional clerical support staff was expedited by the Oklahoma State Department of Health to ensure timely data entry and up-to-date report availability from the SoonerStart database.
4. Service Coordinators were required to attend trainings on IDEA Part C Transition Requirements and documentation procedures for missed transitions timelines.
5. Supervisors were required to monitor caseloads and shift personnel resources to meet transition timelines as needed.

The SoonerStart Program Manager provided technical assistance, training on IDEA Part C Transition Requirements and on-site support for the Tulsa site. CAP activities were evaluated at six months (December 2016), through SoonerStart database reviews and/or on-site verification visits by the Program Manager and it was determined that the Tulsa site was correctly implementing IDEA Part C policies and procedures for each child in the SoonerStart program. All noncompliance for FFY 2014 was determined corrected by June 2016 based on a review of updated data in the SoonerStart database. The Corrective Action Plan and finding for the Tulsa site was closed.

Describe how the State verified that each individual case of noncompliance was corrected

Because Oklahoma reported one uncorrected finding of noncompliance in their FFY 2015 APR, the State must report on the status of correction of noncompliance identified in FFY 2014 for Indicator #8A Timely Transition Initiation. In the FFY 2015 APR, Oklahoma reported that the Tulsa SoonerStart site had verified correction of each individual case of noncompliance at the child level within one year of the finding but was experiencing obstacles in correctly implementing regulatory requirements (i.e. achieving 100% compliance).

OSEP Response

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2016-June 30, 2017). The State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting period.

Because the State reported less than 100% compliance for FFY 2016, the State must report on the status of correction of noncompliance identified in FFY 2016 for this indicator. When reporting on the correction of noncompliance, the State must report, in the FFY 2017 SPP/APR, that it has verified that each EIS program or provider with noncompliance identified in FFY 2016 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2017 SPP/APR, the State must describe the specific actions that were taken to verify the correction.

If the State did not identify any findings of noncompliance in FFY 2016, although its FFY 2016 data reflect less than 100% compliance, provide an explanation of why the State did not identify any findings of noncompliance in FFY 2016.

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8B: Early Childhood Transition**

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		100%	97.36%	97.12%	98.54%	99.70%	86.90%	98.38%	88.96%	91.27%	90.38%

FFY	2015
Target	100%
Data	92.34%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 SPP/APR Data

Data include notification to both the SEA and LEA

- Yes
- No

Number of toddlers with disabilities exiting Part C where notification to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
393	423	92.34%	100%	92.91%

<p>Number of parents who opted out This number will be subtracted from the "Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B" field to calculate the denominator for this indicator.</p>	0
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Describe the method used to collect these data

Program data for this indicator are collected from Oklahoma's Early Intervention online IFSP record system, called EdPlan. EdPlan was designed to capture and display the status and content of the infant or toddler's record at any given period of time. Staff use the system to create an electronic record for each infant and toddler that enters the program with a referral. For children whose parents have given consent to transition to Part B, the dates of critical transition events are recorded permanently in the electronic record. These dates include the date of LEA notification, the date transition is initiated and discussed with the family, and the date of the TPC. Personnel are required to input the date a written notification is sent to the LEA. If that date is missing from the child's record or if the date entered is past the required target date, an on-site chart review is conducted. The Program Manager then verifies through chart documentation that the Notification to the LEA occurred and, if past the target date requirement, determines whether the toddler was considered a late referral (referred less than 90 days prior to the child's third birthday).

The Oklahoma SEA, as the lead agency for SoonerStart, is notified of toddlers potentially eligible for Part B services through SoonerStart EdPlan, pursuant to the Oklahoma MOU with the Oklahoma State Department of Education 619 program.

Do you have a written opt-out policy? No

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Data were collected during the time period between April 1, 2017 and June 30, 2017 (4th quarter of FFY 2016).

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

Oklahoma began using EdPlan as the Part C data collection system in December 2016. Because of the use of the new database, data for FFY 2016 federal reporting were pulled for a single quarter (April 1, 2017 to June 30, 2017). Oklahoma selected the time period close to the end of the year to give SoonerStart staff additional time to improve their data entry skills as related to navigating the interrelated parts of the system. This ensures that the data collected and reported are high quality. The transition event dates between April 1, 2017 and June 30, 2017 were reviewed. The SoonerStart Program Manager and Part C Data Manager examined all data that were reported for this time period and compared them to data for the full year (FFY 2016). They established that the quarter's results are representative of a full year of the state's data because all areas of the state, all provider types and all categories of eligible children are included.

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
11	11	0	0

FFY 2015 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

In FFY 2015, all sites received a quarterly review of child records in the SoonerStart database. If a finding was issued, the Program Manager conducted on-site verification visits on a quarterly basis until compliance was achieved for three consecutive months. Technical assistance was provided informally as well as in a written follow-up report. Quarterly database reviews and/or on-site verification visits by the Program Manager ensure that the local site is correctly implementing IDEA Part C policies and procedures for each child in the SoonerStart program.

Describe how the State verified that each individual case of noncompliance was corrected

Because Oklahoma reported less than 100% compliance for FFY 2015, the State must report on the status of correction of noncompliance identified in FFY 2015 for this indicator. Eleven findings issued to SoonerStart sites for Indicator #8B in FFY 2015 were corrected within one year of identification. The Program Manager reviewed the SoonerStart database and/or made on-site visits to verify correction for each site receiving a finding of noncompliance. Verification at the child level involved reviewing the SoonerStart database to determine that all toddlers potentially eligible for Part B services had the date of notification to the LEA entered in the EdPlan database. If correction of noncompliance was unable to be determined through the SoonerStart database, an on-site visit to review the child's chart was completed. The Program Manager verified that all 11 findings had been corrected in a timely manner and that the appropriate documentation was completed stating the reason for missing any timelines.

FFY 2014 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

Because Oklahoma reported one uncorrected finding of noncompliance in their FFY 2015 APR, the State must report on the status of correction of noncompliance identified in FFY 2014 for Indicator #8B – Notification to the LEA.

The Oklahoma State Department of Education (lead agency) and the Oklahoma State Department of Health (partner agency)

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required a meeting with the Tulsa site leadership personnel to discuss systemic challenges to meeting the timeline for Notification to the LEA. The identification of contributing factors included:

- unavailability of up-to-date SoonerStart database reports to assist staff in anticipating transition timelines due to lack of data entry personnel
- Lack of appropriate documentation for missed transition timelines
- Large staff caseloads

A Corrective Action Plan (CAP) was developed by OSDE, OSDH and Tulsa site personnel with an implementation date of July 1, 2016. The following CAP requirements were implemented:

1. Service Coordinators responsible for providing timely transition services to SoonerStart families were required to develop Individualized Improvement Plans and meet regularly with their supervisor to self-monitor transition timelines and child record documentation.
2. Staff were recruited from other SoonerStart sites to enter the backlog of child record data in the SoonerStart database.
3. Hiring of additional clerical support staff was expedited by the Oklahoma State Department of Health to ensure timely data entry and up-to-date report availability from the SoonerStart database.
4. Service Coordinators were required to attend trainings on IDEA Part C Transition Requirements and documentation procedures for missed transitions timelines.
5. Supervisors were required to monitor caseloads and shift personnel resources to meet transition timelines as needed.

The SoonerStart Program Manager provides technical assistance, training on IDEA Part C Transition Requirements and on-site support for the Tulsa site. CAP activities were evaluated at six months (December 2016), through SoonerStart database reviews and/or on-site verification visits by the Program Manager and it was determined that the Tulsa site was correctly implementing IDEA Part C policies and procedures for each child in the SoonerStart program. All noncompliance for FFY 2014 was determined corrected by June 2016 based on a review of updated data in the SoonerStart database. The Corrective Action Plan and finding for the Tulsa site was closed.

Describe how the State verified that each individual case of noncompliance was corrected

Because Oklahoma reported one uncorrected finding of noncompliance in their FFY 2015 APR, the State must report on the status of correction of noncompliance identified in FFY 2014 for Indicator #8B – Notification to the LEA. In the FFY 2015 APR, Oklahoma reported that the Tulsa SoonerStart site had verified correction of each individual case of noncompliance at the child level within one year of the finding but was experiencing obstacles in correctly implementing regulatory requirements (i.e. achieving 100% compliance).

OSEP Response

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2016-June 30, 2017). The State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting period.

Because the State reported less than 100% compliance for FFY 2016, the State must report on the status of correction of noncompliance identified in FFY 2016 for this indicator. When reporting on the correction of noncompliance, the State must report, in the FFY 2017 SPP/APR, that it has verified that each EIS program or provider with noncompliance identified in FFY 2016 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2017 SPP/APR, the State must describe the specific actions that were taken to verify the correction.

If the State did not identify any findings of noncompliance in FFY 2016, although its FFY 2016 data reflect less than 100% compliance, provide an explanation of why the State did not identify any findings of noncompliance in FFY 2016.

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8C: Early Childhood Transition**

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		99.42%	87.87%	84.50%	81.18%	85.50%	81.20%	90.73%	86.95%	89.70%	90.68%

FFY	2015
Target	100%
Data	92.88%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 SPP/APR Data

Data reflect only those toddlers for whom the Lead Agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services

- Yes
- No

Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
204	423	92.88%	100%	92.94%

Number of toddlers for whom the parent did not provide approval for the transition conference <i>This number will be subtracted from the "Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B" field to calculate the denominator for this indicator.</i>	97
Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B" field to calculate the numerator for this indicator.</i>	99

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Data were collected during the time period between April 1, 2017 and June 30, 2017 (4th quarter of FFY 2016).

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Program data for this indicator are collected from Oklahoma's Early Intervention online IFSP record system, called EdPlan. EdPlan was designed to capture and display the status and content of the infant or toddler's record at any given period of time. Staff use the system to create an electronic record for each infant and toddler that enters the program with a referral. For children whose parents have given consent to transition to Part B, the dates of critical transition events are recorded permanently in the electronic record. These dates include the date of LEA notification, the date transition is initiated and discussed with the family, and the date of the TPC. The transition data were pulled and sent to each local SoonerStart site supervisor for validation and updates were made in EdPlan, if necessary.

Oklahoma began using EdPlan as the Part C data collection system in December 2016. Because of the use of the new database, data for FFY 2016 federal reporting were pulled for a single quarter (April 1, 2017 to June 30, 2017). Oklahoma selected the time period close to the end of the year to give SoonerStart staff additional time to improve their data entry skills as related to navigating the interrelated parts of the system. This ensures that the data collected and reported are high quality. The transition event dates between April 1, 2017 and June 30, 2017 were reviewed. The SoonerStart Program Manager and Part C Data Manager examined all data that were reported for this time period and compared them to data for the full year (FFY 2016). They established that the quarter's results are representative of a full year of the state's data because all areas of the state, all provider types and all categories of eligible children are included.

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
16	16	0	0

FFY 2015 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

In FFY 2015, all sites received a quarterly review of child records in the SoonerStart database. If a finding was issued, the Program Manager conducted on-site verification visits on a quarterly basis until compliance was achieved for three consecutive months. Technical assistance was provided informally as well as in a written follow-up report. Quarterly database reviews and/or on-site verification visits by the Program Manager ensure that the local site is correctly implementing IDEA Part C policies and procedures for each child in the SoonerStart program.

Describe how the State verified that each individual case of noncompliance was corrected

Because Oklahoma reported less than 100% compliance for FFY 2015, the State must report on the status of correction of noncompliance identified in FFY 2015 for this indicator. Sixteen findings issued to SoonerStart sites for Indicator #8C in FFY 2015 were corrected within one year of identification. The Program Manager reviewed the SoonerStart database and/or made on-site visits to verify correction for each site receiving a finding of noncompliance. Verification at the child level involved reviewing the SoonerStart database to determine that all toddlers had a date entered for the Transition Planning Conference. If correction of noncompliance was unable to be determined through the SoonerStart database, an on-site visit to review the child's chart was completed. The Program Manager verified that all 16 findings had been corrected in a timely manner and that the appropriate documentation was completed stating the reason for missing any timelines.

FFY 2014 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

Because Oklahoma reported one uncorrected finding of noncompliance in their FFY 2015 APR, the State must report on the status of correction of noncompliance identified in FFY 2014 for Indicator #8C Timely Transition Planning Conference.

The Oklahoma State Department of Education (lead agency) and the Oklahoma State Department of Health (partner agency) required a meeting with the Tulsa site leadership personnel to discuss systemic challenges to meeting the timeline for Transition Planning Conferences. The identification of contributing factors included:

- unavailability of up-to-date SoonerStart database reports to assist staff in anticipating transition timelines due to lack of data entry personnel
- Lack of appropriate documentation for missed transition timelines
- Large staff caseloads

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

A Corrective Action Plan (CAP) was developed by OSDE, OSDH and Tulsa site personnel with an implementation date of July 1, 2016. The following CAP requirements were implemented:

1. Service Coordinators responsible for providing timely transition services to SoonerStart families were required to develop Individualized Improvement Plans and meet regularly with their supervisor to self-monitor transition timelines and child record documentation.
2. Staff were recruited from other SoonerStart sites to enter the backlog of child record data in the SoonerStart database.
3. Hiring of additional clerical support staff was expedited by the Oklahoma State Department of Health to ensure timely data entry and up-to-date report availability from the SoonerStart database.
4. Service Coordinators were required to attend trainings on IDEA Part C Transition Requirements and documentation procedures for missed transitions timelines.
5. Supervisors were required to monitor caseloads and shift personnel resources to meet transition timelines as needed.

The SoonerStart Program Manager provided technical assistance, training on IDEA Part C Transition Requirements and on-site support for the Tulsa site. CAP activities were evaluated at six months (December 2016), through SoonerStart database reviews and/or on-site verification visits by the Program Manager and it was determined that the Tulsa site was correctly implementing IDEA Part C policies and procedures for each child in the SoonerStart program. All noncompliance for FFY 2014 was determined corrected by June 2016 based on a review of updated data in the SoonerStart database. The Corrective Action Plan and finding for the Tulsa site was closed.

Describe how the State verified that each individual case of noncompliance was corrected

Because Oklahoma reported one uncorrected finding of noncompliance in their FFY 2015 APR, the State must report on the status of correction of noncompliance identified in FFY 2014 for Indicator #8C Timely Transition Planning Conference. In the FFY 2015 APR, Oklahoma reported that the Tulsa SoonerStart site had verified correction of each individual case of noncompliance at the child level within one year of the finding but was experiencing obstacles in correctly implementing regulatory requirements (i.e. achieving 100% compliance).

OSEP Response

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2016-June 30, 2017). The State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting period.

Because the State reported less than 100% compliance for FFY 2016, the State must report on the status of correction of noncompliance identified in FFY 2016 for this indicator. When reporting on the correction of noncompliance, the State must report, in the FFY 2017 SPP/APR, that it has verified that each EIS program or provider with noncompliance identified in FFY 2016 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2017 SPP/APR, the State must describe the specific actions that were taken to verify the correction.

If the State did not identify any findings of noncompliance in FFY 2016, although its FFY 2016 data reflect less than 100% compliance, provide an explanation of why the State did not identify any findings of noncompliance in FFY 2016.

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 9: Resolution Sessions**

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures under section 615 of the IDEA are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data:

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥											
Data											

FFY	2015
Target ≥	
Data	

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥			

Key:

Targets: Description of Stakeholder Input

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section C: Due Process Complaints	11/1/2017	3.1(a) Number resolution sessions resolved through settlement agreements	n	null
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section C: Due Process Complaints	11/1/2017	3.1 Number of resolution sessions	n	null

FFY 2016 SPP/APR Data

3.1(a) Number resolution sessions resolved through settlement agreements	3.1 Number of resolution sessions	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
0	0			0%

Provide additional information about this indicator (optional)

Oklahoma SoonerStart did not have any due process complaints in FFY 2016.

Actions required in FFY 2015 response

none

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

OSEP Response

The State reported fewer than ten resolution sessions held in FFY 2016. The State is not required to provide targets until any fiscal year in which ten or more resolution sessions were held.

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 10: Mediation**

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥											
Data											

FFY	2015
Target ≥	
Data	

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥			

Key:

Targets: Description of Stakeholder Input

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/1/2017	2.1.a.i Mediations agreements related to due process complaints	n	null
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/1/2017	2.1.b.i Mediations agreements not related to due process complaints	n	null
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/1/2017	2.1 Mediations held	n	null

FFY 2016 SPP/APR Data

2.1.a.i Mediations agreements related to due process complaints	2.1.b.i Mediations agreements not related to due process complaints	2.1 Mediations held	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
0	0	0			

Provide additional information about this indicator (optional)

Oklahoma SoonerStart did not have any due process complaints or mediation requests in FFY 2016.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Actions required in FFY 2015 response

none

OSEP Response

The State reported fewer than ten mediations held in FFY 2016. The State is not required to provide targets until any fiscal year in which ten or more mediations were held.

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 11: State Systemic Improvement Plan**

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Reported Data

Baseline Data: 2013

FFY	2013	2014	2015	2016
Target		42.00%	42.00%	43.00%
Data	42.00%	50.20%	50.10%	41.60%

Key: Gray – Data Prior to Baseline Yellow – Baseline
Blue – Data Update

FFY 2017 - FFY 2018 Targets

FFY	2017	2018
Target	45.00%	49.00%

Key:

Description of Measure

Targets: Description of Stakeholder Input

Overview

Data Analysis

A description of how the State identified and analyzed key data, including data from SPP/APR indicators, 618 data collections, and other available data as applicable, to: (1) select the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families, and (2) identify root causes contributing to low performance. The description must include information about how the data were disaggregated by multiple variables (e.g., EIS program and/or EIS provider, geographic region, race/ethnicity, socioeconomic status, gender, etc.) As part of its data analysis, the State should also consider compliance data and whether those data present potential barriers to improvement. In addition, if the State identifies any concerns about the quality of the data, the description must include how the State will address these concerns. Finally, if additional data are needed, the description should include the methods and timelines to collect and analyze the additional data.

Analysis of State Infrastructure to Support Improvement and Build Capacity

A description of how the State analyzed the capacity of its current infrastructure to support improvement and build capacity in EIS programs and/or EIS providers to implement, scale up, and sustain the use of evidence-based practices to improve results for infants and toddlers with disabilities and their families. State systems that make up its infrastructure include, at a minimum: governance, fiscal, quality standards, professional development, data, technical assistance, and accountability/monitoring. The description must include current strengths of the systems, the extent the systems are coordinated, and areas for improvement of functioning within and across the systems. The State must also identify current State-level improvement plans and other early learning initiatives, such as Race to the Top-Early Learning Challenge and the Home Visiting program and describe the extent that these new initiatives are aligned, and how they are, or could be, integrated with, the SSIP. Finally, the State should identify representatives (e.g., offices, agencies, positions, individuals, and other stakeholders) that were involved in developing Phase I of the SSIP and that will be involved in developing and implementing Phase II of the SSIP.

State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and Their Families

A statement of the result(s) the State intends to achieve through the implementation of the SSIP. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be aligned to an SPP/APR indicator or a component of an SPP/APR indicator. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be clearly based on the Data and State Infrastructure

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Analyses and must be a child- or family-level outcome in contrast to a process outcome. The State may select a single result (e.g., increase the rate of growth in infants and toddlers demonstrating positive social-emotional skills) or a cluster of related results (e.g., increase the percentage reported under child outcome B under Indicator 3 of the SPP/APR (knowledge and skills) and increase the percentage trend reported for families under Indicator 4 (helping their child develop and learn)).

Statement

Description

Selection of Coherent Improvement Strategies

An explanation of how the improvement strategies were selected, and why they are sound, logical and aligned, and will lead to a measurable improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families. The improvement strategies should include the strategies, identified through the Data and State Infrastructure Analyses, that are needed to improve the State infrastructure and to support EIS program and/or EIS provider implementation of evidence-based practices to improve the State-identified result(s) for infants and toddlers with disabilities and their families. The State must describe how implementation of the improvement strategies will address identified root causes for low performance and ultimately build EIS program and/or EIS provider capacity to achieve the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

Theory of Action

A graphic illustration that shows the rationale of how implementing the coherent set of improvement strategies selected will increase the State's capacity to lead meaningful change in EIS programs and/or EIS providers, and achieve improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

Submitted Theory of Action: No Theory of Action Submitted

Provide a description of the provided graphic illustration (optional)

Description of Illustration

Infrastructure Development

- (a) Specify improvements that will be made to the State infrastructure to better support EIS programs and providers to implement and scale up EBPs to improve results for infants and toddlers with disabilities and their families.
- (b) Identify the steps the State will take to further align and leverage current improvement plans and other early learning initiatives and programs in the State, including Race to the Top-Early Learning Challenge, Home Visiting Program, Early Head Start and others which impact infants and toddlers with disabilities and their families.
- (c) Identify who will be in charge of implementing the changes to infrastructure, resources needed, expected outcomes, and timelines for completing improvement efforts.
- (d) Specify how the State will involve multiple offices within the State Lead Agency, as well as other State agencies and stakeholders in the improvement of its infrastructure.

Please see attached documents.

Support for EIS programs and providers Implementation of Evidence-Based Practices

- (a) Specify how the State will support EIS providers in implementing the evidence-based practices that will result in changes in Lead Agency, EIS program, and EIS provider practices to achieve the SIMR(s) for infants and toddlers with disabilities and their families.
- (b) Identify steps and specific activities needed to implement the coherent improvement strategies, including communication strategies and stakeholder involvement; how identified barriers will be addressed; who will be in charge of implementing; how the activities will be implemented with fidelity; the resources that will be used to implement them; and timelines for completion.
- (c) Specify how the State will involve multiple offices within the Lead Agency (and other State agencies such as the SEA) to support EIS providers in scaling up and sustaining the implementation of the evidence-based practices once they have been implemented with fidelity.

Please see attached documents.

Evaluation

- (a) Specify how the evaluation is aligned to the theory of action and other components of the SSIP and the extent to which it includes short-term and long-term objectives to measure implementation of the SSIP and its impact on achieving measurable improvement in SIMR(s) for infants and toddlers with disabilities and their families.
- (b) Specify how the evaluation includes stakeholders and how information from the evaluation will be disseminated to stakeholders.
- (c) Specify the methods that the State will use to collect and analyze data to evaluate implementation and outcomes of the SSIP and the progress toward achieving intended improvements in the SIMR(s).
- (d) Specify how the State will use the evaluation data to examine the effectiveness of the implementation; assess the State's progress toward achieving intended improvements; and to make modifications to the SSIP as necessary.

Please see attached documents.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Technical Assistance and Support

Describe the support the State needs to develop and implement an effective SSIP. Areas to consider include: Infrastructure development; Support for EIS programs and providers implementation of EBP; Evaluation; and Stakeholder involvement in Phase II.

Please see attached documents.

Phase III submissions should include:

- Data-based justifications for any changes in implementation activities.
- Data to support that the State is on the right path, if no adjustments are being proposed.
- Descriptions of how stakeholders have been involved, including in decision-making.

A. Summary of Phase 3

1. Theory of action or logic model for the SSIP, including the SIMR.
2. The coherent improvement strategies or principle activities employed during the year, including infrastructure improvement strategies.
3. The specific evidence-based practices that have been implemented to date.
4. Brief overview of the year's evaluation activities, measures, and outcomes.
5. Highlights of changes to implementation and improvement strategies.

See attachment: the full narrative is attached at the bottom of this page.

B. Progress in Implementing the SSIP

1. Description of the State's SSIP implementation progress: (a) Description of extent to which the State has carried out its planned activities with fidelity—what has been accomplished, what milestones have been met, and whether the intended timeline has been followed and (b) Intended outputs that have been accomplished as a result of the implementation activities.
2. Stakeholder involvement in SSIP implementation: (a) How stakeholders have been informed of the ongoing implementation of the SSIP and (b) How stakeholders have had a voice and been involved in decision-making regarding the ongoing implementation of the SSIP.

See attachment.

C. Data on Implementation and Outcomes

1. How the State monitored and measured outputs to assess the effectiveness of the implementation plan: (a) How evaluation measures align with the theory of action, (b) Data sources for each key measure, (c) Description of baseline data for key measures, (d) Data collection procedures and associated timelines, (e) [If applicable] Sampling procedures, (f) [If appropriate] Planned data comparisons, and (g) How data management and data analysis procedures allow for assessment of progress toward achieving intended improvements
2. How the State has demonstrated progress and made modifications to the SSIP as necessary: (a) How the State has reviewed key data that provide evidence regarding progress toward achieving intended improvements to infrastructure and the SIMR, (b) Evidence of change to baseline data for key measures, (c) How data support changes that have been made to implementation and improvement strategies, (d) How data are informing next steps in the SSIP implementation, and (e) How data support planned modifications to intended outcomes (including the SIMR)—rationale or justification for the changes or how data support that the SSIP is on the right path
3. Stakeholder involvement in the SSIP evaluation: (a) How stakeholders have been informed of the ongoing evaluation of the SSIP and (b) How stakeholders have had a voice and been involved in decision-making regarding the ongoing evaluation of the SSIP

See attachment.

D. Data Quality Issues: Data limitations that affected reports of progress in implementing the SSIP and achieving the SIMR

1. Concern or limitations related to the quality or quantity of the data used to report progress or results
2. Implications for assessing progress or results
3. Plans for improving data quality

See attachment.

E. Progress Toward Achieving Intended Improvements

1. Infrastructure changes that support SSIP initiatives, including how system changes support achievement of the SIMR, sustainability, and scale-up
2. Evidence that SSIP's evidence-based practices are being carried out with fidelity and having the desired effects
3. Outcomes regarding progress toward short-term and long-term objectives that are necessary steps toward achieving the SIMR
4. Measurable improvements in the SIMR in relation to targets

See attachment.

F. Plans for Next Year

1. Additional activities to be implemented next year, with timeline
2. Planned evaluation activities including data collection, measures, and expected outcomes
3. Anticipated barriers and steps to address those barriers
4. The State describes any needs for additional support and/or technical assistance

See attachment.

OSEP Response

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Certify and Submit your SPP/APR**

I certify that I am the Director of the State's Lead Agency under Part C of the IDEA, or his or her designee, and that the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report is accurate.

Selected: Designated by the Lead Agency Director to certify

Name and title of the individual certifying the accuracy of the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report.

Name: Ginger Elliott-Teague

Title: Director of Data Analysis/Part C Data Manager

Email: ginger.elliott-teague@sde.ok.gov

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