

# OK Part C

# FFY2017 State Performance Plan / Annual Performance Report

**Executive Summary:**

On the vast majority of APR indicators, Oklahoma maintained or improved its scores from FFY 2016 to FFY 2017. Some highlights include:

- Oklahoma improved substantially on the early childhood transition indicators (8A, 8B, and 8C), moving much closer to full compliance, although none reached 100 percent.
- SoonerStart improved or maintained its high scores on all but one element of Indicator 3, which measures students' improvement on early childhood outcomes. Furthermore, every element but one exceeds the state target.
- Parents' assessment of SoonerStart program and service quality improved considerably to an average 98.8% approval rate (Indicators 4A, 4B, and 4C).
- Personnel continue to provide nearly all services to children in "natural environments," such as the family home and community locations (Indicator 2).
- Oklahoma continues to work well with families as evidenced by the zero counts of complaints (measured through the counts of resolution and mediation sessions in Indicators 9 and 10).

Oklahoma SoonerStart failed to meet target on only two performance indicators: 3B/peer-level and 5. State performance declined far enough on two compliance indicator scores to require slippage statements: Indicator 1 (timely provision of services) and Indicator 7 (timely provision of IFSPs).

**Attachments**

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<a href="#">20190117115054595.pdf</a>	Ginger Elliott-Teague	1/17/2019 12:54 PM	
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**General Supervision System:**

The systems that are in place to ensure that IDEA Part C requirements are met, e.g., monitoring systems, dispute resolution systems.

The Oklahoma Early Intervention Act designated the Oklahoma State Department of Education (OSDE) and the State Department of Education (OSDH) as the lead agencies for the administration of the SoonerStart Early Intervention Program. OSDE is responsible for monitoring progress and providing oversight for the provision of early intervention services at 27 sites for infants and toddlers and their families to ensure that the Individuals with Disabilities Education Act (IDEA) is implemented in Oklahoma. Oversight by the OSDE includes the use of various accountability processes. The OSDE collects both compliance and performance data for the Annual Performance Report through a statewide database. The State assures that all instances of noncompliance are corrected within one year of identification at both the child level and site level.

Oklahoma's monitoring process verifies that each local SoonerStart Early Intervention site with noncompliance is correctly implementing the specific regulatory requirements and has corrected any untimely individual records. Oklahoma requires verification of child-specific correction of noncompliance as well as long-term compliance with the regulatory requirements (based on a review of subsequent data reflecting 100% compliance).

All 27 SoonerStart sites are monitored for each APR indicator. Systemic and single-occurrence noncompliance is formally identified through data reports generated from the state's online IFSP system called EdPlan. The EdPlan database maintains an electronic record for each child in the SoonerStart program. The electronic record reflects the date that early intervention activities occur and if not timely, requires staff to enter the reason for missing the timeline. However, noncompliance may also be identified through parent surveys, informal complaints, local/peer feedback, and other periodic reports submitted to the state.

Following the formal identification, the SoonerStart Program Manager issues a finding of noncompliance and uses subsequent data reports to ensure that the prescribed corrective action is occurring and is effective. In reviewing compliance issues, SoonerStart tracks data on every child in Oklahoma by a unique identifier number in the EdPlan database. Verification of correction of noncompliance is conducted through review of the child's electronic record.

The monitoring plan includes an annual review of child and site level compliance data by the SoonerStart Program Manager. Steps to implement corrective action plans and action plan tracking and monitoring are defined. Security processes for electronic documents concerning findings of noncompliance have been established.

Oklahoma has selected the 4<sup>th</sup> quarter of the fiscal year (April, May and June) as the reporting period for the APR. The data review for this reporting period occurs the last two weeks of August for the prior fiscal year. Standardized statewide management reports have been and will continue to be developed using system reports that contain all relevant records from all sites. SoonerStart has procedures in place to review data, identify noncompliance, issue findings and track correction of noncompliance at both the child and local site level.

**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)**

Oklahoma's general supervision system is reliant on data collection and reporting. SoonerStart compiles, analyzes, and utilizes all of the data that is submitted by local SoonerStart sites. Part C personnel in Oklahoma are state employees and SoonerStart has procedures in place to promote consistency in data entry and utilization of the EdPlan data system.

EdPlan, the state's Part C database, is maintained by an outside vendor. The system has been effective in collecting and sorting data for reporting purposes, and improvements made over time have greatly enhanced the state's ability to accurately report all compliance data, and support evidence-based practices. User instructions are maintained on EdPlan's main menu page and real-time assistance is available during the work day through the online message board.

For Part C, Oklahoma has adopted the Part B due process hearing procedures under 34 CFR 303.430(d)(2) which are administered through the OSDE Special Education Services.

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**Technical Assistance System:**

The mechanisms that the State has in place to ensure the timely delivery of high quality, evidenced based technical assistance and support to early intervention service (EIS) programs.

The SoonerStart Program Manager provides technical assistance to each local site through the monitoring process, scheduled technical assistance visits and individual responses requested by staff. Technical assistance includes:

- support for identifying underlying causes of low performance and noncompliance;
- developing appropriate strategies for improvement;
- troubleshooting issues with the SoonerStart EdPlan database
- providing explanation and clarification of SoonerStart operational procedures and IDEA, Part C regulations

The Regional Early Intervention Coordinator (REIC) and Health Department Lead Clinician at each SoonerStart site work together to implement policies, procedures and regulations in accordance with IDEA, Part C and OSDE. They assure that all noncompliance has been corrected and that procedures are put into place to address child level and systemic noncompliance. Technical assistance is provided by the SoonerStart Program Manager to support in the identification of underlying causes of low performance and noncompliance as well as assist teams in developing appropriate strategies for improvement. Additional resources from the Early Childhood Technical Assistance Center (ECTA Center) and National Center for Systemic Improvement (NCSI) are utilized as needed.

In 2017-2018, SoonerStart provided additional training and support for the EdPlan database which contains the electronic record for children enrolled in the Part C program. An outside vendor maintains the SoonerStart EdPlan database but all EI staff have access to the Message Board to request information or assistance with features of the system. The Part C Data Manager has developed detailed "Tip Sheets" to improve data entry and report access procedures. The SoonerStart Program Manager provides regular updates on improvements made to the EdPlan database as well as instructions on process and procedures.

The SoonerStart Operations Manual is posted on the SoonerStart page of the Oklahoma State Department of Education website. A standing multi-agency task group reviews the Manual on an ongoing basis to ensure that all procedures reflect current state and federal regulations as well as current OSDE and OSDH agency practices. Operational procedures were also linked to the new SoonerStart EdPlan database procedures where applicable. The SoonerStart Program Manager provides all staff with notice of specific changes to the Operations Manual and continues to respond to questions posed by staff across the state. The SoonerStart Program Manager also provides guidance and written feedback to local SoonerStart sites regarding IDEA, Part C regulations.

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**Professional Development System:**

The mechanisms the State has in place to ensure that service providers are effectively providing services that improve results for infants and toddlers with disabilities and their families.

Oklahoma provides professional personnel development to all SoonerStart staff, to comply with the Individuals with Disabilities Education Act (IDEA) Part C requirement that a state system must include a comprehensive system of personnel development. SoonerStart professional development activities seek to ensure accountability and promote the use of recommended and evidence-based practices. The goal of SoonerStart's ongoing professional development is to provide EI professionals (service coordinators, service providers, and EI program administration) with the tools, confidence, and competence to equip them to support families. Professional development is crucial in helping SoonerStart staff promote evidence-based practices that assist families in helping their child develop and learn. To meet this goal, SoonerStart employs a dedicated Professional Development Coordinator to develop activities to support providers in meeting program requirements while providing quality services to families of eligible infants and toddlers in an individualized, culturally sensitive, and ethical manner. Her work is supported by a set of stakeholders who advise the

**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)**

program as a professional development sub-committee of the ICC.

The SoonerStart professional development system is designed to operate hand-in-hand with the TA system. As a component of the general supervision system, it is designed to be responsive to identified provider/agency/family needs, to inform the system when new procedures and policies are required, to address practice change to improve child and family outcomes, and to implement evidence-based practices. The system includes entry-level online training modules, information sharing and resource sharing, posting information on the OSDE website-SoonerStart page that includes information and training for families, and face-to-face professional development activities provided by early intervention content experts. Professional development needs are identified through a variety of methods including; review of individual program and statewide data, information from compliance monitoring and quality assurance reviews, new research and current evidence based practices and initiatives in early intervention, input from local site supervisors, results from training surveys and national and state level policy changes.

Oklahoma is rolling out a new online learning platform designed by the same vendor that supports the SoonerStart EdPlan database to provide online learning, interactive communities, and a tracking system. A revised "New Employee Orientation" training series offers blended learning modules. Face-to-face trainings for staff include Early Literacy Resources, Coaching Families, and any local site-specific content or discipline specific content requested by SoonerStart teams. Collaboration with agency partners such as AbleTech or the Oklahoma Health Care Authority offer new opportunities for staff training. SoonerStart continues to participate in the Early Childhood Professional Development Collaborative in which multiple programs (SoonerStart, Child Care, Home Visitation programs, Child Guidance Services, etc.) share professional development opportunities using a combined registry and training tracking system. At the local level, Oklahoma also utilizes mentors and peer-to-peer training opportunities.

With a full-time Professional Development Coordinator and the allocation of additional resources to develop a wide-array of professional development activities, SoonerStart provides:

- statewide coordination of training activities related to infants and toddlers and their families;
- Greater access to learning opportunities for families and service providers;
- A more balanced and coordinated schedule of training activities in terms of topics, locations, and dates throughout the state available year round;
- on-line and face-to-face trainings; and
- Specialized training opportunities that bring together families and professionals from different fields, including early education and child care service providers.

Oklahoma remains committed to ensuring that service providers are equipped to effectively provide services that improve results for infants and toddlers with disabilities and their families.

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**Stakeholder Involvement:**  apply this to all Part C results indicators

The mechanism for soliciting broad stakeholder input on targets in the SPP, including revisions to targets.

The ICC serves as one of the primary stakeholder groups providing ongoing guidance and input into the development of the SPP/APR and SSIP. Information and updates are provided regularly at each ICC meeting regarding progress towards the achievement of targets, the child outcome data process, selection of targets, training initiatives, and public reporting of program status. In addition, ICC sub-committees and special task groups are given ongoing opportunities for input throughout the year. Each sub-committee follows specific By-laws for membership that reflects diversity within the state. ICC sub-committees include Personnel Development, Public Awareness, Program Planning and Evaluation and Financial Planning. The SoonerStart lead agency identifies broad-based stakeholders (in accordance with §303.601) and provides the information about prospective members to the Oklahoma Governor's office for approval and appointment to the council. Members represent service providers, families of children with disabilities under the age of 12, child development instructors and representatives from state agencies providing services to families of infants and toddlers with disabilities. The council members are given multiple opportunities to share their input throughout the year. Lead Agency personnel share programmatic updates on a regularly basis via email and at each quarterly ICC meeting.

For the FFY 2017 APR, the ICC reviewed SoonerStart program data and related targets for each of the Part C indicators.

Additional stakeholder engagement activities are conducted regularly as part of the SSIP. Across all strategies, various stakeholders are regularly consulted to ensure that activities meet the intended outputs and outcomes.

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**Reporting to the Public:**

How and where the State reported to the public on the FFY 2016 performance of each EIS Program or Provider located in the State on the targets in the SPP/APR as soon as 9/18/2020

## FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

practicable, but no later than 120 days following the State's submission of its FFY 2016 APR, as required by 34 CFR §303.702(b)(1)(i)(A); and a description of where, on its Web site, a complete copy of the State's SPP, including any revision if the State has revised the SPP that it submitted with its FFY 2016 APR in 2018, is available.

SoonerStart has made its FFY 2016 SPP/APR and its Part C Annual Determination Letter for FFY 2016 available to the public on the OSDE website at <http://ok.gov/sde/datareporting-part-c>. The FFY 2016 and 2017 SoonerStart Site Data Profiles, which report the performance of each SoonerStart site, were made available to each site and the public in a timely manner via the same website.

### Attachments

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<a href="#">ffy 2017 technical assistance resources utilized.pdf</a>	Lou Anne Mullens	1/7/2019 12:53 PM	

### Actions required in FFY 2016 response

### OSEP Response

The State's determinations for both 2017 and 2018 were Needs Assistance. Pursuant to section 616(e)(1) of the IDEA and 34 C.F.R. § 300.604(a), OSEP's June 26, 2018 determination letter informed the State that it must report with its FFY 2017 SPP/APR submission, due February 1, 2019, on: (1) the technical assistance sources from which the State received assistance; and (2) the actions the State took as a result of that technical assistance. The State provided the required information.

States were instructed to submit Phase III Year Three of the State Systemic Improvement Plan (SSIP) by April 1, 2019. The State provided the required information.

### Required Actions

In the FFY 2018 SPP/APR, the State must report FFY 2018 data for the State-identified Measurable Result (SiMR). Additionally, the State must, consistent with its evaluation plan described in Phase II, assess and report on its progress in implementing the SSIP. Specifically, the State must provide: (1) a narrative or graphic representation of the principal activities implemented in Phase III, Year 4; (2) measures and outcomes that were implemented and achieved since the State's last SSIP submission (i.e., April 1, 2019); (3) a summary of the SSIP's coherent improvement strategies, including infrastructure improvement strategies and evidence-based practices that were implemented and progress toward short- and long-term outcomes that are intended to impact the SiMR; and (4) any supporting data that demonstrates that implementation of these activities are impacting the State's capacity to improve its SiMR data.

**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 1: Timely provision of services**

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with Individual Family Service Plans (IFSPs) who receive the early intervention services on their IFSPs in a timely manner.



(20 U.S.C. 1416(a)(3)(A) and 1442)

**Historical Data**

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		96.74%	98.33%	82.80%	94.41%	98.00%	98.95%	98.91%	99.26%	97.51%	98.59%

FFY	2015	2016
Target	100%	100%
Data	98.70%	99.07%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline

**FFY 2017 - FFY 2018 Targets**

FFY	2017	2018
Target	100%	100%

**FFY 2017 SPP/APR Data**

Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Total number of infants and toddlers with IFSPs	FFY 2016 Data	FFY 2017 Target	FFY 2017 Data
935	1084	99.07%	100%	97.88%

**Reasons for Slippage**

In FFY 2017, SoonerStart lost approximately 15% of its experienced workforce to retirement or higher paying jobs in the private sector. To ensure that early intervention services continue to be provided per IDEA requirements, the SoonerStart sites contracted with local licensed service providers. Local site leaders provided training in IDEA requirements and database/record keeping requirements, however, several contractors were unable to complete their first intervention service visit within the 15 day timely services requirement set by the SoonerStart program. These local contractors are usually full-time employees of other agencies and have limited flexibility when scheduling visits with families.

SoonerStart continues to work to build staff capacity to ensure the availability of timely services to all eligible families. Staff vacancies are posted for hiring on the online employment system for the State of Oklahoma. Additional training on SoonerStart procedures and IDEA requirements to provide timely services has been put in place for local site contractors. The lead agency directed local site supervisors to monitor that local contractors as well as full-time staff are submitting documentation of the first intervention service visit in a timely manner.

**Number of documented delays attributable to exceptional family circumstances**

This number will be added to the "Number of infants and toddlers with IFSPs who receive their early intervention services on their IFSPs in a timely manner" field above to calculate the numerator for this indicator.

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Include your State's criteria for "timely" receipt of early intervention services (i.e., the time period from parent consent to when IFSP services are actually initiated).

Oklahoma defines "timely" receipt of early intervention services as 15 working days from the date of parent consent on the IFSP to the date of the first intervention service provided to the family.

**What is the source of the data provided for this indicator?**

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

9/18/2020

**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)**

Data were collected during the time period between April 1, 2018 and June 30, 2018 (4th quarter of FFY 2017).

**Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.**

Program data for this indicator are collected from Oklahoma's Early Intervention online IFSP record system, called EdPlan. EdPlan captures and displays the status and content of the infant or toddler's record at any given period of time. Staff use the system to create an electronic record for each infant and toddler that enters the program with a referral. For children who are evaluated and determined eligible for an IFSP, the date of the first intervention service following parental consent on the IFSP is recorded permanently in the electronic record. EdPlan allows for a direct flow of information from each local SoonerStart site to OSDE as the lead agency, enabling centralized monitoring and oversight. The service timeline data were pulled and sent to each local SoonerStart site supervisor for validation and updates were made in EdPlan, if necessary.

Oklahoma has chosen to utilize data from the fourth quarter (April 1, 2018 to June 30, 2018) to report in the FFY 2017 APR. The dates of the first intervention service for all initial and subsequent IFSPs completed between April 1, 2018 and June 30, 2018 were reviewed utilizing the EdPlan database. Delays attributed to Exceptional Family Circumstances were documented in the child's electronic record. The SoonerStart Program Manager and Part C Data Manager examined data that were reported for this time period and compared them to data for the full year (FFY 2017). They established that the quarter's results are representative of a full year of the state's data because all areas of the state, all provider types and all categories of eligible children are included.

**Actions required in FFY 2016 response**

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

**Correction of Findings of Noncompliance Identified in FFY 2016**

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
7	7	0	0

**FFY 2016 Findings of Noncompliance Verified as Corrected**

*Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements*

Following a finding of noncompliance, the local site was required to identify and address obstacles to meeting the timely services timeline requirement and submit an Assurance Statement that the site is correctly implementing regulatory requirements of IDEA, Part C. Within three months from issuing the finding of noncompliance, the SoonerStart Program Manager reviewed the Assurance Statements and utilized data compliance reports from the EdPlan Database to verify that sites are correctly implementing regulatory requirements of IDEA to provide early intervention services in a timely manner.

*Describe how the State verified that each individual case of noncompliance was corrected*

Because Oklahoma reported less than 100% compliance for FFY 2016, the State must report on the status of correction of noncompliance identified in FFY 2016 for this indicator. Seven findings issued to SoonerStart sites for Indicator #1 in FFY 2016 were corrected within one year of identification. SoonerStart maintains an electronic record for each child in the program in the EdPlan database. The Program Manager reviewed data reports generated through EdPlan to verify correction at the child level for each site receiving a finding of noncompliance. The Program Manager verified that all seven findings had been corrected in a timely manner and that the appropriate documentation was completed stating the reason for missing any timelines.

**OSEP Response**

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2017-June 30, 2018). The State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting period.

Because the State reported less than 100% compliance for FFY 2017, the State must report on the status of correction of noncompliance identified in FFY 2017 for this indicator. When reporting on the correction of noncompliance, the State must report, in the FFY 2018 SPP/APR, that it has verified that each EIS program or provider with noncompliance identified in FFY 2017 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2018 SPP/APR, the State must describe the specific actions that were taken to verify the correction. If the State did not identify any findings of noncompliance in FFY 2017, although its FFY 2017 data reflect less than 100% compliance, provide an explanation of why the State did not identify any findings of noncompliance in FFY 2017.

**Required Actions**



**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 2: Services in Natural Environments**

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

**Historical Data**

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			98.81%	95.81%	95.80%	95.80%	95.80%	95.81%	95.81%	95.00%	95.00%
Data		95.52%	95.81%	98.96%	99.80%	97.20%	96.60%	95.20%	95.36%	95.37%	96.29%

FFY	2015	2016
Target ≥	95.00%	95.50%
Data	97.34%	97.29%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

**FFY 2017 - FFY 2018 Targets**

FFY	2017	2018
Target ≥	95.50%	96.00%

Key:

**Targets: Description of Stakeholder Input** - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

**Prepopulated Data**

Source	Date	Description	Data	Overwrite Data
SY 2017-18 Child Count/Educational Environment Data Groups	7/11/2018	<a href="#">Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings</a>	2,533	
SY 2017-18 Child Count/Educational Environment Data Groups	7/11/2018	<a href="#">Total number of infants and toddlers with IFSPs</a>	2,624	

**FFY 2017 SPP/APR Data**

Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Total number of infants and toddlers with IFSPs	FFY 2016 Data	FFY 2017 Target	FFY 2017 Data
2,533	2,624	97.29%	95.50%	96.53%

**Actions required in FFY 2016 response**

none

**OSEP Response**

**Required Actions**



**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)**  
**Indicator 3: Early Childhood Outcomes**

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Does your State's Part C eligibility criteria include infants and toddlers who are at risk of having substantial developmental delays (or "at-risk infants and toddlers") under IDEA section 632(5)(B)(i)? No

**Historical Data**

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
A1	2013	Target ≥						88.64%		77.60%	78.00%	78.00%	78.00%
		Data					87.10%	88.60%	77.60%	81.40%	80.82%	78.15%	79.73%
A2	2013	Target ≥						64.06%		54.60%	55.00%	52.00%	52.00%
		Data					61.80%	59.30%	54.60%	57.20%	56.00%	52.41%	55.67%
B1	2013	Target ≥						91.17%		88.60%	89.00%	83.00%	83.00%
		Data					89.40%	86.70%	86.70%	87.90%	86.30%	83.04%	84.87%
B2	2013	Target ≥						61.07%		60.50%	61.00%	46.00%	46.00%
		Data					59.80%	56.20%	56.20%	50.40%	49.20%	46.48%	50.58%
C1	2013	Target ≥						91.97%		87.80%	88.00%	84.00%	84.00%
		Data					90.80%	87.50%	89.80%	88.50%	85.30%	84.31%	86.53%
C2	2013	Target ≥						59.73%		53.80%	54.00%	47.00%	47.00%
		Data					58.90%	65.20%	54.90%	52.00%	50.52%	47.03%	50.23%

	FFY	2015	2016
A1	Target ≥	78.00%	78.50%
	Data	78.17%	84.99%
A2	Target ≥	52.00%	52.50%
	Data	55.34%	53.50%
B1	Target ≥	83.00%	83.50%
	Data	82.14%	85.01%
B2	Target ≥	46.00%	46.50%
	Data	49.05%	45.15%
C1	Target ≥	84.00%	84.50%
	Data	83.56%	87.03%
C2	Target ≥	47.00%	47.50%
	Data	49.86%	48.53%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

**FFY 2017 - FFY 2018 Targets**

FFY	2017	2018
Target A1 ≥	78.50%	79.00%
Target A2 ≥	52.50%	53.00%
Target B1 ≥	83.50%	84.00%
Target B2 ≥	46.50%	47.00%
Target C1 ≥	84.50%	85.00%
Target C2 ≥	47.50%	48.00%

Key:

**Targets: Description of Stakeholder Input** - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

FFY 2017 SPP/APR Data

Number of infants and toddlers with IFSPs assessed	1844.00
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Outcome A: Positive social-emotional skills (including social relationships)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	6	0.33%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	259	14.05%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	583	31.62%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	744	40.35%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	252	13.67%

	Numerator	Denominator	FFY 2016 Data	FFY 2017 Target	FFY 2017 Data
A1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program $(c+d)/(a+b+c+d)$ .	1327.00	1592.00	84.99%	78.50%	83.35%
A2. The percent of infants and toddlers who were functioning within age expectations in Outcome A by the time they turned 3 years of age or exited the program $(d+e)/(a+b+c+d+e)$ .	996.00	1844.00	53.50%	52.50%	54.01%

Outcome B. Acquisition and use of knowledge and skills (including early language/ communication)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	9	0.49%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	234	12.69%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	761	41.27%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	744	40.35%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	96	5.21%

	Numerator	Denominator	FFY 2016 Data	FFY 2017 Target	FFY 2017 Data
B1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program $(c+d)/(a+b+c+d)$ .	1505.00	1748.00	85.01%	83.50%	86.10%
B2. The percent of infants and toddlers who were functioning within age expectations in Outcome B by the time they turned 3 years of age or exited the program $(d+e)/(a+b+c+d+e)$ .	840.00	1844.00	45.15%	46.50%	45.55%

Outcome C: Use of appropriate behaviors to meet their needs

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	12	0.65%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	216	11.71%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	698	37.85%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	802	43.49%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	116	6.29%

	Numerator	Denominator	FFY 2016 Data	FFY 2017 Target	FFY 2017 Data
C1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program $(c+d)/(a+b+c+d)$ .	1500.00	1728.00	87.03%	84.50%	86.81%
C2. The percent of infants and toddlers who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program $(d+e)/(a+b+c+d+e)$ .	918.00	1844.00	48.53%	47.50%	49.78%

**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)****The number of infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program**

The number of infants and toddlers who exited the Part C program during the reporting period, as reported in the State's part C exiting 618 data	2862
The number of those infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program.	956

Please note that this data about the number of infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program is optional in this FFY16 submission. It will be required in the FFY17 submission.

Was sampling used? No

Did you use the Early Childhood Outcomes Center (ECO) Child Outcomes Summary (COS) process? Yes

**List the instruments and procedures used to gather data for this indicator.**

Program data for this indicator are collected from Oklahoma's Early Intervention online IFSP record system called EdPlan. Staff use the system to create an electronic record for each infant and toddler in the SoonerStart program, including early childhood outcomes. The Child Outcome Summary Form is completed electronically for each child exiting SoonerStart if he or she has had at least six months of service. It is SoonerStart practice that personnel enter the COSF ratings and evaluation information just prior to a child turning 3 or soon after exit, whichever comes first.

**Actions required in FFY 2016 response**

none

**OSEP Response****Required Actions**

**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 4: Family Involvement**

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

**Historical Data**

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
A	2006	Target ≥					93.15%	93.30%	93.35%	93.40%	93.40%	91.00%	92.00%
		Data			95.39%	98.72%	96.45%	97.78%	96.95%	98.48%	94.77%	95.61%	96.30%
B	2006	Target ≥					92.25%	95.30%	95.35%	95.40%	95.40%	91.00%	92.00%
		Data			95.31%	96.48%	93.31%	97.78%	97.78%	96.96%	90.27%	91.37%	94.44%
C	2006	Target ≥					93.85%	93.90%	93.95%	94.00%	94.00%	91.00%	92.00%
		Data			95.86%	98.40%	95.27%	97.22%	97.33%	96.58%	93.71%	94.85%	94.44%

	FFY	2015	2016
A	Target ≥	93.00%	94.00%
	Data	97.46%	98.67%
B	Target ≥	93.00%	94.00%
	Data	96.87%	98.23%
C	Target ≥	93.00%	94.00%
	Data	96.67%	97.12%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

**FFY 2017 - FFY 2018 Targets**

FFY	2017	2018
Target A ≥	95.00%	96.00%
Target B ≥	95.00%	96.00%
Target C ≥	95.00%	96.00%

Key:

**Targets: Description of Stakeholder Input** - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

**FFY 2017 SPP/APR Data**

Number of families to whom surveys were distributed	1,900
Number of respondent families participating in Part C	48.11% 914
A1. Number of respondent families participating in Part C who report that early intervention services have helped the family know their rights	892
A2. Number of responses to the question of whether early intervention services have helped the family know their rights	914
B1. Number of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	906
B2. Number of responses to the question of whether early intervention services have helped the family effectively communicate their children's needs	914
C1. Number of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn	911
C2. Number of responses to the question of whether early intervention services have helped the family help their children develop and learn	914

	FFY 2016 Data	FFY 2017 Target	FFY 2017 Data
A. Percent of families participating in Part C who report that early intervention services have helped the family know their	98.67%	95.00%	97.59%

**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)**

	FFY 2016 Data	FFY 2017 Target	FFY 2017 Data
rights			
B. Percent of families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	98.23%	95.00%	99.12%
C. Percent of families participating in Part C who report that early intervention services have helped the family help their children develop and learn	97.12%	95.00%	99.67%

Was sampling used? No

Was a collection tool used? Yes

Is it a new or revised collection tool? No

The demographics of the families responding are representative of the demographics of infants, toddlers, and families enrolled in the Part C program. No

Describe the strategies that the State will use to ensure that in the future the response data are representative of those demographics.

SoonerStart has changed its family survey procedures in response to OSEP's directive to ensure that Oklahoma collects data from families of children ages birth to one served by SoonerStart. Beginning January 1, 2019, all families of eligible children will receive a survey within three months following the initial IFSP meeting. This procedure change has been outlined in the SoonerStart Operations Manual and is expected to increase the number of survey responses from families of children ages birth to one served by SoonerStart.

Include the State's analysis of the extent to which the demographics of the families responding are representative of the demographics of infants, toddlers, and families enrolled in the Part C program.

*DEMOGRAPHICS*

Surveys were distributed to approximately 1900 families in FFY 2017. The distribution of respondents across three demographic characteristics (gender, race and age) are shown in the associated tables below. The gender distribution of respondents' SoonerStart children matches closely with the approximate 40 to 60 female/male ratio in SoonerStart.

The age distribution becomes more similar the higher the age of respondents' SoonerStart children. This aligns with SoonerStart procedures in FFY 2017: surveys were offered to families at annual reviews and when exiting from the program. Parents of children receiving services less than one year were less likely to answer the survey because they were less likely to receive it, impacting the number of responses we received from families of infants referred before one year of age. This procedure has since been revised, as noted in the previous response. We expect representation of families of very young children to increase over time.

Finally, the racial distribution of respondents' children matches that of SoonerStart overall, with "White or Caucasian" identity most commonly reported, "Hispanic" second most common, and "Two or More Races" third most common. Four percent of respondents chose not to identify their children's race, slightly affecting the data. The representation of minority racial groups has increased in the survey compared to previous years.

**VALIDITY & RELIABILITY**

The Part C EI program in Oklahoma uses a nine-question survey to query parents about their EI experience. Indicators 4A, B & C were measured in 2017-2018 by index variables that reflect multiple components related to each indicator. The data are valid because parental experience in each of the three areas is measured precisely with specific questions.

The data are reliable because the index variables measure precise experiences and not broad perceptions of quality; parents are likely to answer similarly across time if their experiences are the same. Personnel do not influence parents' responses at any time, although they encourage and facilitate involvement.

*BACKGROUND*

In FFY 2017, SoonerStart continued to partner with the Oklahoma Parents Center to disseminate Family Surveys. Families have the option to complete the survey online, by telephone or on paper. The SoonerStart resource coordinator gives a detailed brochure explaining the Family Survey to each SoonerStart family at the annual IFSP meeting and when the child exits from Part C services. The brochure describes the importance of the survey and includes instructions for accessing the survey. SoonerStart personnel do not assist families in the actual completion of the surveys, unless language translation is needed. The completed surveys are collected directly by the Oklahoma Parents Center to ensure that response data are valid and reliable. The results are given to the Part C Data Manager to analyze and report in the Annual Performance Report (APR).

Provide additional information about this indicator (optional)

Gender Demographics SY 2017-2018

Respondents SoonerStart  
Child Count

**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)**

Female	39.8%	37.7%
Male	57.8%	62.3%
No Response	2.4%	0.0%
Total	100.0%	100.0%

**Age Demographics SY 2017-2018**

	Respondents	SoonerStart Child Count
0 to 1	9.5%	17.5%
1 to 2	34.1%	32.4%
2 to 3	54.2%	50.1%
No Response	2.2%	0.0%
Total	100.0%	100.0%

**Race Demographics SY 2017-2018**

	Respondents	SoonerStart Child Count
American Indian or Alaska Native	10.1%	5.4%
Asian	1.8%	2.0%
Black or African American	6.5%	6.9%
Hispanic	12.3%	15.7%
Native Hawaiian or Pacific Islander	0.2%	0.3%
White or Caucasian	52.7%	61.2%
Two or More Races	12.8%	8.4%
No Response	3.7%	0.0%
Total	100.0%	100.0%

**Actions required in FFY 2016 response**

The State's reported data are inconsistent with the measurement for this indicator because the State does not collect data from families of eligible children who are birth to one. OSEP requests the State survey families of children who have received services for a minimal period of time (such as six months) to ensure that the State collects data from families of eligible children who are birth to one. The State must include strategies or improvement activities to address this issue in the future.

**Responses to actions required in FFY 2016 OSEP response**

SoonerStart has changed its family survey procedures in response to OSEP's directive to ensure that Oklahoma collects data from families of eligible children ages birth to one. Beginning January 1, 2019, all families of eligible children will receive a survey within three months following the initial IFSP meeting. This procedure change has been outlined in the SoonerStart Operations Manual and is expected to increase the number of survey responses from families of children ages birth to one served by SoonerStart.

**OSEP Response**

**Required Actions**

In the FFY 2018 SPP/APR, the State must report whether its FFY 2018 response data are representative of the demographics of infants, toddlers, and families enrolled in the Part C program, and, if not, the actions the State is taking to address this issue. The State must also include its analysis of the extent to which the demographics of the families responding are representative of the population.



**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 5: Child Find (Birth to One)**

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Historical Data**

Baseline Data: 2013

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			1.24%	1.25%	1.26%	1.28%	1.30%	1.30%	1.34%	0.80%	0.85%
Data		1.35%	1.26%	1.17%	0.99%	1.04%	0.87%	1.02%	0.82%	0.81%	0.79%

FFY	2015	2016
Target ≥	0.90%	0.86%
Data	0.67%	0.71%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

**FFY 2017 - FFY 2018 Targets**

FFY	2017	2018
Target ≥	0.88%	0.90%

Key:

**Targets: Description of Stakeholder Input** - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

**Prepopulated Data**

Source	Date	Description	Data	Overwrite Data
SY 2017-18 Child Count/Educational Environment Data Groups	7/11/2018	<a href="#">Number of infants and toddlers birth to 1 with IFSPs</a>	417	null
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2017	6/12/2018	<a href="#">Population of infants and toddlers birth to 1</a>	51,877	null

**FFY 2017 SPP/APR Data**

Number of infants and toddlers birth to 1 with IFSPs	Population of infants and toddlers birth to 1	FFY 2016 Data	FFY 2017 Target	FFY 2017 Data
417	51,877	0.71%	0.88%	0.80%

**Compare your results to the national data**

Oklahoma substantially improved the single day percentage of children aged 0 to 1 who received EI services on the day of child count, although it did not meet target in FFY 2017. Other states ranged from 0.61 percent served in Maine to 4.71 percent in Massachusetts. With a service rate of 0.80 percent, Oklahoma fell in the bottom twenty percent of all states. In FFY 2017, the national average was 1.43 and the state median was 1.17.

However, Oklahoma has narrow eligibility requirements compared to many states, greatly reducing the total pool of children eligible for services. At-risk children are not identified nor served through SoonerStart in Oklahoma. With broader requirements, Oklahoma's number of children birth to one served would approach or exceed the national average.

**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)**

**Actions required in FFY 2016 response**

none

**OSEP Response**

**Required Actions**

**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 6: Child Find (Birth to Three)**

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Historical Data**

Baseline Data: 2013

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			2.10%	2.10%	2.15%	2.15%	2.20%	2.20%	2.25%	1.60%	1.65%
Data		2.03%	1.97%	1.90%	1.79%	1.85%	1.75%	1.62%	1.69%	1.66%	1.61%

FFY	2015	2016
Target ≥	1.75%	1.66%
Data	1.75%	1.65%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

**FFY 2017 - FFY 2018 Targets**

FFY	2017	2018
Target ≥	1.68%	1.70%

Key:

**Targets: Description of Stakeholder Input** - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

**Prepopulated Data**

Source	Date	Description	Data	Overwrite Data
SY 2017-18 Child Count/Educational Environment Data Groups	7/11/2018	<a href="#">Number of infants and toddlers birth to 3 with IFSPs</a>	2,624	
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2017	6/12/2018	<a href="#">Population of infants and toddlers birth to 3</a>	157,083	

**FFY 2017 SPP/APR Data**

Number of infants and toddlers birth to 3 with IFSPs	Population of infants and toddlers birth to 3	FFY 2016 Data	FFY 2017 Target	FFY 2017 Data
2,624	157,083	1.65%	1.68%	1.67%

**Compare your results to the national data**

In FFY 2017, Oklahoma slightly improved the single day percentage of children aged 0 through 2 who received EI services on the day of child count, and just narrowly missed the state target. Other states ranged from 0.82 percent served in Arkansas to 9.54 percent in Massachusetts. With a service rate of 1.67 percent, Oklahoma fell second to last among all states. In FFY 2017, the national average was 3.51 and the state median was 2.97.

However, Oklahoma has narrow eligibility requirements compared to many states, greatly reducing the total pool of children eligible for services. At-risk children are not identified nor served through SoonerStart in Oklahoma. With broader requirements, Oklahoma's number of children birth through two served would approach or exceed the national average.

**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)**

none

**OSEP Response**

**Required Actions**

**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 7: 45-day timeline**

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Historical Data**

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		96.75%	89.01%	78.40%	78.53%	83.70%	78.30%	82.89%	94.04%	93.02%	94.64%

FFY	2015	2016
Target	100%	100%
Data	90.94%	96.59%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline

**FFY 2017 - FFY 2018 Targets**

FFY	2017	2018
Target	100%	100%

**FFY 2017 SPP/APR Data**

Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline	Number of eligible infants and toddlers evaluated and assessed for whom an initial IFSP meeting was required to be conducted	FFY 2016 Data	FFY 2017 Target	FFY 2017 Data
538	856	96.59%	100%	95.56%
Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline" field above to calculate the numerator for this indicator.</i>				280

**Reasons for Slippage**

In FFY 2017, SoonerStart lost approximately 15% of its experienced workforce to retirement or higher paying jobs in the private sector. To ensure that early intervention services continued to be provided per IDEA requirements, the SoonerStart sites contracted with local licensed service providers. Local site leaders provided training in IDEA requirements and database/record keeping requirements; however, several contractors were unavailable to complete the IFSP within the 45-day timeline requirement. These local contractors are usually full-time employees of other agencies and have limited flexibility when scheduling with the IFSP team.

SoonerStart continues to work to build staff capacity to ensure the availability of timely IFSPs and services to all eligible families. Staff vacancies are posted for hiring on the online employment system for the State of Oklahoma. Additional training on SoonerStart procedures and IDEA requirements to develop timely IFSPs has been put in place for local site contractors. The lead agency directed local site supervisors to monitor that local contractors as well as full-time staff are submitting documentation of completed IFSPs in a timely manner.

**What is the source of the data provided for this indicator?**

- State monitoring
- State database

**Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).**

Data were collected during the time period between April 1, 2018 and June 30, 2018 (4th quarter of FFY 2017).

**Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.**

Program data for this indicator are collected from Oklahoma's Early Intervention online IFSP record system, called EdPlan. EdPlan

**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)**

captures and displays the status and content of the infant or toddler's record at any given period of time. Staff use the system to create an electronic record for each infant and toddler that enters the program with a referral. For children who are evaluated and determined eligible, the date of the Initial IFSP is recorded permanently in the electronic record. EdPlan allows for a direct flow of information from each local SoonerStart site to OSDE as the lead agency, enabling centralized monitoring and oversight. The IFSP timeline data were pulled and sent to each local SoonerStart site supervisor for validation and updates were made in EdPlan, if necessary.

Oklahoma has chosen to utilize data from the fourth quarter (April 1, 2018 to June 30, 2018) to report in the FFY 2017 APR. Initial IFSPs with a target date between April 1, 2018 and June 30, 2018 were reviewed utilizing the EdPlan database. Delays attributed to Exceptional Family Circumstances were documented in the child's electronic record. The SoonerStart Program Manager and Part C Data Manager examined data that were reported for this time period and compared them to data for the full year (FFY 2017). They established that the quarter's results are representative of a full year of the state's data because all areas of the state, all provider types and all categories of eligible children are included.

**Actions required in FFY 2016 response**

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

**Correction of Findings of Noncompliance Identified in FFY 2016**

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
11	11	0	0

**FFY 2016 Findings of Noncompliance Verified as Corrected**

*Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements*

Following a finding of noncompliance, the local site was required to identify and address obstacles to meeting the timely completion of the IFSP timeline requirement and submit an Assurance Statement that the site is correctly implementing regulatory requirements of IDEA, Part C. Within three months from issuing the finding of noncompliance, the SoonerStart Program Manager reviewed the Assurance Statements and utilized data compliance reports from the EdPlan Database to verify that sites are correctly implementing regulatory requirements of IDEA to complete the initial IFSP within the 45-day timeline.

*Describe how the State verified that each individual case of noncompliance was corrected*

Because Oklahoma reported less than 100% compliance for FFY 2016, the State must report on the status of correction of noncompliance identified in FFY 2016 for this indicator. The eleven findings issued to SoonerStart sites for Indicator #7 in FFY 2016 were corrected within one year of identification. SoonerStart maintains an electronic record for each child in the program in the EdPlan database. The Program Manager reviewed data reports generated through EdPlan to verify correction at the child level for each site receiving a finding of noncompliance. The Program Manager verified that all eleven findings had been corrected in a timely manner and that the appropriate documentation was completed stating the reason for missing the 45-day IFSP timeline.

**OSEP Response**

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2017-June 30, 2018). The State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting period.

Because the State reported less than 100% compliance for FFY 2017, the State must report on the status of correction of noncompliance identified in FFY 2017 for this indicator. When reporting on the correction of noncompliance, the State must report, in the FFY 2018 SPP/APR, that it has verified that each EIS program or provider with noncompliance identified in FFY 2017 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2018 SPP/APR, the State must describe the specific actions that were taken to verify the correction. If the State did not identify any findings of noncompliance in FFY 2017, although its FFY 2017 data reflect less than 100% compliance, provide an explanation of why the State did not identify any findings of noncompliance in FFY 2017.

**Required Actions**

**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 8A: Early Childhood Transition**

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Historical Data**

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		97.82%	93.22%	88.15%	97.40%	98.60%	95.91%	99.31%	97.52%	97.95%	94.14%

FFY	2015	2016
Target	100%	100%
Data	96.61%	96.93%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline

**FFY 2017 - FFY 2018 Targets**

FFY	2017	2018
Target	100%	100%

**FFY 2017 SPP/APR Data**

Data include only those toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday.

Yes

No

Number of children exiting Part C who have an IFSP with transition steps and services	Number of toddlers with disabilities exiting Part C	FFY 2016 Data	FFY 2017 Target	FFY 2017 Data
377	412	96.93%	100%	99.51%

Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of children exiting Part C who have an IFSP with transition steps and services" field to calculate the numerator for this indicator.</i>	33
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**What is the source of the data provided for this indicator?**

State monitoring

State database

**Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).**

Data were collected during the time period between April 1, 2018 and June 30, 2018 (4th quarter of FFY 2017).

**Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.**

Program data for this indicator are collected from Oklahoma's Early Intervention online IFSP record system, called EdPlan. EdPlan was designed to capture and display the status and content of the infant or toddler's record at any given period of time. Staff use the system to create an electronic record for each infant and toddler that enters the program with a referral. For children whose parents have given consent to transition to Part B, the dates of critical transition events are recorded permanently in the electronic record. These dates include the date of LEA notification, the date transition is initiated and discussed with the family, and the date of the TPC. The transition data were pulled and sent to each local SoonerStart site supervisor for validation and updates were made in 9/18/2020

## FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

EdPlan, if necessary.

Oklahoma has chosen to utilize data from the fourth quarter (April 1, 2018 to June 30, 2018) to report in the FFY 2017 APR. Data from records of children with a 3rd birthday between April 1, 2018 and June 30, 2018 were reviewed utilizing the EdPlan database. Delays attributed to Exceptional Family Circumstances were documented in the child's electronic record. The SoonerStart Program Manager and Part C Data Manager examined data that were reported for this time period and compared them to data for the full year (FFY 2017). They established that the quarter's results are representative of a full year of the state's data because all areas of the state, all provider types and all categories of eligible children are included.

### Actions required in FFY 2016 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

### Correction of Findings of Noncompliance Identified in FFY 2016

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
5	5	0	0

### FFY 2016 Findings of Noncompliance Verified as Corrected

*Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements*

Following a finding of noncompliance, the local site was required to identify and address obstacles to the initiation of transition services timeline requirement and submit an Assurance Statement that the site is correctly implementing regulatory requirements of IDEA, Part C. Within three months from issuing the finding of noncompliance, the SoonerStart Program Manager reviewed the Assurance Statements and utilized data compliance reports from the EdPlan Database to verify that sites are correctly implementing regulatory requirements of IDEA to initiate transition services in a timely manner.

*Describe how the State verified that each individual case of noncompliance was corrected*

Because Oklahoma reported less than 100% compliance for FFY 2016, the State must report on the status of correction of noncompliance identified in FFY 2016 for this indicator. The five findings issued to SoonerStart sites for Indicator #8A in FFY 2016 were corrected within one year of identification. SoonerStart maintains an electronic record for each child in the program in the EdPlan database. The Program Manager reviewed data reports generated through EdPlan to verify correction at the child level for each site receiving a finding of noncompliance. The Program Manager verified that all five findings had been corrected in a timely manner and that the appropriate documentation was completed stating the reason for missing the Transition initiation timeline.

### OSEP Response

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2017-June 30, 2018). The State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting period.

Because the State reported less than 100% compliance for FFY 2017, the State must report on the status of correction of noncompliance identified in FFY 2017 for this indicator. When reporting on the correction of noncompliance, the State must report, in the FFY 2018 SPP/APR, that it has verified that each EIS program or provider with noncompliance identified in FFY 2017 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2018 SPP/APR, the State must describe the specific actions that were taken to verify the correction. If the State did not identify any findings of noncompliance in FFY 2017, although its FFY 2017 data reflect less than 100% compliance, provide an explanation of why the State did not identify any findings of noncompliance in FFY 2017.

### Required Actions



**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 8B: Early Childhood Transition**

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Historical Data**

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		100%	97.36%	97.12%	98.54%	99.70%	86.90%	98.38%	88.96%	91.27%	90.38%

FFY	2015	2016
Target	100%	100%
Data	92.34%	92.91%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline

**FFY 2017 - FFY 2018 Targets**

FFY	2017	2018
Target	100%	100%

**FFY 2017 SPP/APR Data**

Data include notification to both the SEA and LEA

- Yes
- No

Number of toddlers with disabilities exiting Part C where notification to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2016 Data	FFY 2017 Target	FFY 2017 Data
406	412	92.91%	100%	98.54%

<p><b>Number of parents who opted out</b> This number will be subtracted from the "Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B" field to calculate the denominator for this indicator.</p>	0
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**Describe the method used to collect these data**

Program data for this indicator are collected from Oklahoma's Early Intervention online IFSP record system, called EdPlan. EdPlan was designed to capture and display the status and content of the infant or toddler's record at any given period of time. Staff use the system to create an electronic record for each infant and toddler that enters the program with a referral. For children whose parents have given consent to transition to Part B, the dates of critical transition events are recorded permanently in the electronic record. These dates include the date of LEA notification, the date transition is initiated and discussed with the family, and the date of the TPC. Personnel are required to input the date a written notification is sent to the LEA. Using a data report in the EdPlan database, the Program Manager verifies that the Notification to the LEA occurred and, if past the target date requirement, determines whether the toddler was considered a late referral (referred less than 90 days prior to the child's third birthday). If the date of the Notification to the LEA is missing, the local site administrator must verify that a copy of a timely LEA notification is on file and enter the date in the EdPlan database.

The Oklahoma SEA is notified of toddlers potentially eligible for Part B services through SoonerStart EdPlan, pursuant to the

Do you have a written opt-out policy? No

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Data were collected during the time period between April 1, 2018 and June 30, 2018 (4th quarter of FFY 2017).

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

Oklahoma has chosen to utilize data from the fourth quarter (April 1, 2018 to June 30, 2018) to report in the FFY 2017 APR. Data from records of children with a 3rd birthday between April 1, 2018 and June 30, 2018 were reviewed utilizing the EdPlan database. Delays attributed to Exceptional Family Circumstances were documented in the child's electronic record. The SoonerStart Program Manager and Part C Data Manager examined data that were reported for this time period and compared them to data for the full year (FFY 2017). They established that the quarter's results are representative of a full year of the state's data because all areas of the state, all provider types and all categories of eligible children are included.

Actions required in FFY 2016 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2016

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
5	5	0	0

FFY 2016 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

Following a finding of noncompliance, the local site was required to identify and address obstacles to the timely Notification to the LEA timeline requirement and submit an Assurance Statement that the site is correctly implementing regulatory requirements of IDEA, Part C. Within three months from issuing the finding of noncompliance, the SoonerStart Program Manager reviewed the Assurance Statements and utilized data compliance reports from the EdPlan Database to verify that sites are correctly implementing regulatory requirements of IDEA to provide Notification to the LEA in a timely manner.

Describe how the State verified that each individual case of noncompliance was corrected

Because Oklahoma reported less than 100% compliance for FFY 2016, the State must report on the status of correction of noncompliance identified in FFY 2016 for this indicator. The five findings issued to SoonerStart sites for Indicator #8B in FFY 2016 were corrected within one year of identification. SoonerStart maintains an electronic record for each child in the program in the EdPlan database. The Program Manager reviewed data reports generated through EdPlan to verify correction at the child level for each site receiving a finding of noncompliance. The Program Manager verified that all five findings had been corrected in a timely manner and that the appropriate documentation was completed stating the reason for a late Notification to the LEA.

OSEP Response

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2017-June 30, 2018). The State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting period.

Because the State reported less than 100% compliance for FFY 2017, the State must report on the status of correction of noncompliance identified in FFY 2017 for this indicator. When reporting on the correction of noncompliance, the State must report, in the FFY 2018 SPP/APR, that it has verified that each EIS program or provider with noncompliance identified in FFY 2017 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2018 SPP/APR, the State must describe the specific actions that were taken to verify the correction. If the State did not identify any findings of noncompliance in FFY 2017, although its FFY 2017 data reflect less than 100% compliance, provide an explanation of why the State did not identify any findings of noncompliance in FFY 2017.

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**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 8C: Early Childhood Transition**

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.



(20 U.S.C. 1416(a)(3)(B) and 1442)

**Historical Data**

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		99.42%	87.87%	84.50%	81.18%	85.50%	81.20%	90.73%	86.95%	89.70%	90.68%

FFY	2015	2016
Target	100%	100%
Data	92.88%	92.94%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline

**FFY 2017 - FFY 2018 Targets**

FFY	2017	2018
Target	100%	100%

**FFY 2017 SPP/APR Data**

Data reflect only those toddlers for whom the Lead Agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services

- Yes
- No

Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2016 Data	FFY 2017 Target	FFY 2017 Data
209	412	92.94%	100%	95.61%

<b>Number of toddlers for whom the parent did not provide approval for the transition conference</b> <i>This number will be subtracted from the "Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B" field to calculate the denominator for this indicator.</i>	93
<b>Number of documented delays attributable to exceptional family circumstances</b> <i>This number will be added to the "Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B" field to calculate the numerator for this indicator.</i>	96

**What is the source of the data provided for this indicator?**

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Data were collected during the time period between April 1, 2018 and June 30, 2018 (4th quarter of FFY 2017).

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

## FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Program data for this indicator are collected from Oklahoma's Early Intervention online IFSP record system, called EdPlan. EdPlan was designed to capture and display the status and content of the infant or toddler's record at any given period of time. Staff use the system to create an electronic record for each infant and toddler that enters the program with a referral. For children whose parents have given consent to transition to Part B, the dates of critical transition events are recorded permanently in the electronic record. These dates include the date of LEA notification, the date transition is initiated and discussed with the family, and the date of the TPC. The transition data were pulled and sent to each local SoonerStart site supervisor for validation and updates were made in EdPlan, if necessary.

Oklahoma has chosen to utilize data from the fourth quarter (April 1, 2018 to June 30, 2018) to report in the FFY 2017 APR. Data from records of children with a 3rd birthday between April 1, 2018 and June 30, 2018 were reviewed utilizing the EdPlan database. Delays attributed to Exceptional Family Circumstances were documented in the child's electronic record. The SoonerStart Program Manager and Part C Data Manager examined data that were reported for this time period and compared them to data for the full year (FFY 2017). They established that the quarter's results are representative of a full year of the state's data because all areas of the state, all provider types and all categories of eligible children are included.

### Actions required in FFY 2016 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

### Correction of Findings of Noncompliance Identified in FFY 2016

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
8	8	0	0

### FFY 2016 Findings of Noncompliance Verified as Corrected

*Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements*

Following a finding of noncompliance, the local site was required to identify and address obstacles to meeting the Transition Planning Conference timeline requirement and submit an Assurance Statement that the site is correctly implementing regulatory requirements of IDEA, Part C. Within three months from issuing the finding of noncompliance, the SoonerStart Program Manager reviewed the Assurance Statements and utilized data compliance reports from the EdPlan Database to verify that sites are correctly implementing regulatory requirements of IDEA to conduct TPCs in a timely manner.

*Describe how the State verified that each individual case of noncompliance was corrected*

Because Oklahoma reported less than 100% compliance for FFY 2016, the State must report on the status of correction of noncompliance identified in FFY 2016 for this indicator. The eight findings issued to SoonerStart sites for Indicator #8C in FFY 2016 were corrected within one year of identification. SoonerStart maintains an electronic record for each child in the program in the EdPlan database. The Program Manager reviewed data reports generated through EdPlan to verify correction at the child level for each site receiving a finding of noncompliance. The Program Manager verified that all eight findings had been corrected in a timely manner and that the appropriate documentation was completed stating the reason for missing the TPC timeline.

### OSEP Response

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2017-June 30, 2018). The State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting period.

Because the State reported less than 100% compliance for FFY 2017, the State must report on the status of correction of noncompliance identified in FFY 2017 for this indicator. When reporting on the correction of noncompliance, the State must report, in the FFY 2018 SPP/APR, that it has verified that each EIS program or provider with noncompliance identified in FFY 2017 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02. In the FFY 2018 SPP/APR, the State must describe the specific actions that were taken to verify the correction. If the State did not identify any findings of noncompliance in FFY 2017, although its FFY 2017 data reflect less than 100% compliance, provide an explanation of why the State did not identify any findings of noncompliance in FFY 2017.

### Required Actions

**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 9: Resolution Sessions**

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures under section 615 of the IDEA are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Historical Data**

Baseline Data:

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥											
Data											

FFY	2015	2016
Target ≥		
Data		

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

**FFY 2017 - FFY 2018 Targets**

FFY	2017	2018
Target ≥		

Key:

**Targets: Description of Stakeholder Input** - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

**Prepopulated Data**

Source	Date	Description	Data	Overwrite Data
SY 2017-18 EMAPS IDEA Part C Dispute Resolution Survey; Section C: Due Process Complaints	11/8/2018	<a href="#">3.1(a) Number resolution sessions resolved through settlement agreements</a>	n	null
SY 2017-18 EMAPS IDEA Part C Dispute Resolution Survey; Section C: Due Process Complaints	11/8/2018	<a href="#">3.1 Number of resolution sessions</a>	n	null

**FFY 2017 SPP/APR Data**

3.1(a) Number resolution sessions resolved through settlement agreements	3.1 Number of resolution sessions	FFY 2016 Data	FFY 2017 Target	FFY 2017 Data
0	0			

**Actions required in FFY 2016 response**

none

**OSEP Response**

The State reported fewer than ten resolution sessions held in FFY 2017. The State is not required to provide targets until any fiscal year in which ten or more resolution sessions were held.

**Required Actions**



**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 10: Mediation**

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Historical Data**

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥											
Data											

FFY	2015	2016
Target ≥		
Data		

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

**FFY 2017 - FFY 2018 Targets**

FFY	2017	2018
Target ≥		

Key:

**Targets: Description of Stakeholder Input** - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

**Prepopulated Data**

Source	Date	Description	Data	Overwrite Data
SY 2017-18 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/8/2018	<a href="#">2.1.a.i Mediations agreements related to due process complaints</a>	n	null
SY 2017-18 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/8/2018	<a href="#">2.1.b.i Mediations agreements not related to due process complaints</a>	n	null
SY 2017-18 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/8/2018	<a href="#">2.1 Mediations held</a>	n	null

**FFY 2017 SPP/APR Data**

2.1.a.i Mediations agreements related to due process complaints	2.1.b.i Mediations agreements not related to due process complaints	2.1 Mediations held	FFY 2016 Data	FFY 2017 Target	FFY 2017 Data
0	0	0			

**Actions required in FFY 2016 response**

none

**OSEP Response**

The State reported fewer than ten mediations held in FFY 2017. The State is not required to provide targets until any fiscal year in which ten or more mediations were held.



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**FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)  
Indicator 11: State Systemic Improvement Plan**

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

**Reported Data**

Baseline Data: 2013

FFY	2013	2014	2015	2016	2017
Target		42.00%	42.00%	43.00%	45.00%
Data	42.00%	50.20%	50.10%	41.60%	46.90%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  
Blue – Data Update

**FFY 2018 Target**

FFY	2018
Target	49.00%

Key:

**Description of Measure**

**Targets: Description of Stakeholder Input** - Please see the Stakeholder Involvement section of the [introduction](#).

Enter additional information about stakeholder involvement

**Overview**

Please see the attached narrative report for the summary of activities and evaluation for year three of the Phase III implementation.

**Data Analysis**

A description of how the State identified and analyzed key data, including data from SPP/APR indicators, 618 data collections, and other available data as applicable, to: (1) select the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families, and (2) identify root causes contributing to low performance. The description must include information about how the data were disaggregated by multiple variables (e.g., EIS program and/or EIS provider, geographic region, race/ethnicity, socioeconomic status, gender, etc.) As part of its data analysis, the State should also consider compliance data and whether those data present potential barriers to improvement. In addition, if the State identifies any concerns about the quality of the data, the description must include how the State will address these concerns. Finally, if additional data are needed, the description should include the methods and timelines to collect and analyze the additional data.

**Analysis of State Infrastructure to Support Improvement and Build Capacity**

A description of how the State analyzed the capacity of its current infrastructure to support improvement and build capacity in EIS programs and/or EIS providers to implement, scale up, and sustain the use of evidence-based practices to improve results for infants and toddlers with disabilities and their families. State systems that make up its infrastructure include, at a minimum: governance, fiscal, quality standards, professional development, data, technical assistance, and accountability/monitoring. The description must include current strengths of the systems, the extent the systems are coordinated, and areas for improvement of functioning within and across the systems. The State must also identify current State-level improvement plans and other early learning initiatives, such as Race to the Top-Early Learning Challenge and the Home Visiting program and describe the extent that these new initiatives are aligned, and how they are, or could be, integrated with, the SSIP. Finally, the State should identify representatives (e.g., offices, agencies, positions, individuals, and other stakeholders) that were involved in developing Phase I of the SSIP and that will be involved in developing and implementing Phase II of the SSIP.

**State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and Their Families**

A statement of the result(s) the State intends to achieve through the implementation of the SSIP. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be aligned to an SPP/APR indicator or a component of an SPP/APR indicator. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be clearly based on the Data and State Infrastructure Analyses and must be a child- or family-level outcome in contrast to a process outcome. The State may select a single result (e.g., increase the rate of growth in infants and toddlers demonstrating positive social-emotional

## FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

skills) or a cluster of related results (e.g., increase the percentage reported under child outcome B under Indicator 3 of the SPP/APR (knowledge and skills) and increase the percentage trend reported for families under Indicator 4 (helping their child develop and learn)).

Statement

Description

### Selection of Coherent Improvement Strategies

An explanation of how the improvement strategies were selected, and why they are sound, logical and aligned, and will lead to a measurable improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families. The improvement strategies should include the strategies, identified through the Data and State Infrastructure Analyses, that are needed to improve the State infrastructure and to support EIS program and/or EIS provider implementation of evidence-based practices to improve the State-identified result(s) for infants and toddlers with disabilities and their families. The State must describe how implementation of the improvement strategies will address identified root causes for low performance and ultimately build EIS program and/or EIS provider capacity to achieve the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

### Theory of Action

A graphic illustration that shows the rationale of how implementing the coherent set of improvement strategies selected will increase the State's capacity to lead meaningful change in EIS programs and/or EIS providers, and achieve improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

**Submitted Theory of Action:** No Theory of Action Submitted

Provide a description of the provided graphic illustration (optional)

Description of Illustration

### Infrastructure Development

- Specify improvements that will be made to the State infrastructure to better support EIS programs and providers to implement and scale up EBPs to improve results for infants and toddlers with disabilities and their families.
- Identify the steps the State will take to further align and leverage current improvement plans and other early learning initiatives and programs in the State, including Race to the Top-Early Learning Challenge, Home Visiting Program, Early Head Start and others which impact infants and toddlers with disabilities and their families.
- Identify who will be in charge of implementing the changes to infrastructure, resources needed, expected outcomes, and timelines for completing improvement efforts.
- Specify how the State will involve multiple offices within the State Lead Agency, as well as other State agencies and stakeholders in the improvement of its infrastructure.

### Support for EIS programs and providers Implementation of Evidence-Based Practices

- Specify how the State will support EIS providers in implementing the evidence-based practices that will result in changes in Lead Agency, EIS program, and EIS provider practices to achieve the SIMR(s) for infants and toddlers with disabilities and their families.
- Identify steps and specific activities needed to implement the coherent improvement strategies, including communication strategies and stakeholder involvement; how identified barriers will be addressed; who will be in charge of implementing; how the activities will be implemented with fidelity; the resources that will be used to implement them; and timelines for completion.
- Specify how the State will involve multiple offices within the Lead Agency (and other State agencies such as the SEA) to support EIS providers in scaling up and sustaining the implementation of the evidence-based practices once they have been implemented with fidelity.

### Evaluation

- Specify how the evaluation is aligned to the theory of action and other components of the SSIP and the extent to which it includes short-term and long-term objectives to measure implementation of the SSIP and its impact on achieving measurable improvement in SIMR(s) for infants and toddlers with disabilities and their families.
- Specify how the evaluation includes stakeholders and how information from the evaluation will be disseminated to stakeholders.
- Specify the methods that the State will use to collect and analyze data to evaluate implementation and outcomes of the SSIP and the progress toward achieving intended improvements in the SIMR(s).
- Specify how the State will use the evaluation data to examine the effectiveness of the implementation; assess the State's progress toward achieving intended improvements; and to make modifications to the SSIP as necessary.

# FFY 2017 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

## Technical Assistance and Support

Describe the support the State needs to develop and implement an effective SSIP. Areas to consider include: Infrastructure development; Support for EIS programs and providers implementation of EBP; Evaluation; and Stakeholder involvement in Phase II.

### Phase III submissions should include:

- Data-based justifications for any changes in implementation activities.
- Data to support that the State is on the right path, if no adjustments are being proposed.
- Descriptions of how stakeholders have been involved, including in decision-making.

### A. Summary of Phase 3

1. Theory of action or logic model for the SSIP, including the SIMR.
2. The coherent improvement strategies or principle activities employed during the year, including infrastructure improvement strategies.
3. The specific evidence-based practices that have been implemented to date.
4. Brief overview of the year's evaluation activities, measures, and outcomes.
5. Highlights of changes to implementation and improvement strategies.

See attachment: the full narrative is attached at the bottom of this page.

### B. Progress in Implementing the SSIP

1. Description of the State's SSIP implementation progress: (a) Description of extent to which the State has carried out its planned activities with fidelity—what has been accomplished, what milestones have been met, and whether the intended timeline has been followed and (b) Intended outputs that have been accomplished as a result of the implementation activities.
2. Stakeholder involvement in SSIP implementation: (a) How stakeholders have been informed of the ongoing implementation of the SSIP and (b) How stakeholders have had a voice and been involved in decision-making regarding the ongoing implementation of the SSIP.

See attachment.

### C. Data on Implementation and Outcomes

1. How the State monitored and measured outputs to assess the effectiveness of the implementation plan: (a) How evaluation measures align with the theory of action, (b) Data sources for each key measure, (c) Description of baseline data for key measures, (d) Data collection procedures and associated timelines, (e) [If applicable] Sampling procedures, (f) [If appropriate] Planned data comparisons, and (g) How data management and data analysis procedures allow for assessment of progress toward achieving intended improvements
2. How the State has demonstrated progress and made modifications to the SSIP as necessary: (a) How the State has reviewed key data that provide evidence regarding progress toward achieving intended improvements to infrastructure and the SIMR, (b) Evidence of change to baseline data for key measures, (c) How data support changes that have been made to implementation and improvement strategies, (d) How data are informing next steps in the SSIP implementation, and (e) How data support planned modifications to intended outcomes (including the SIMR)—rationale or justification for the changes or how data support that the SSIP is on the right path
3. Stakeholder involvement in the SSIP evaluation: (a) How stakeholders have been informed of the ongoing evaluation of the SSIP and (b) How stakeholders have had a voice and been involved in decision-making regarding the ongoing evaluation of the SSIP

See attachment.

### D. Data Quality Issues: Data limitations that affected reports of progress in implementing the SSIP and achieving the SIMR

1. Concern or limitations related to the quality or quantity of the data used to report progress or results
2. Implications for assessing progress or results
3. Plans for improving data quality

See attachment.

### E. Progress Toward Achieving Intended Improvements

1. Infrastructure changes that support SSIP initiatives, including how system changes support achievement of the SIMR, sustainability, and scale-up
2. Evidence that SSIP's evidence-based practices are being carried out with fidelity and having the desired effects
3. Outcomes regarding progress toward short-term and long-term objectives that are necessary steps toward achieving the SIMR
4. Measurable improvements in the SIMR in relation to targets

See attachment.

### F. Plans for Next Year

1. Additional activities to be implemented next year, with timeline
2. Planned evaluation activities including data collection, measures, and expected outcomes
3. Anticipated barriers and steps to address those barriers
4. The State describes any needs for additional support and/or technical assistance

See attachment.

**Required Actions**

This indicator is not applicable.