



MINIMIZING THE USE OF PHYSICAL RESTRAINT AUDIT TOOL

OCTOBER 2024



Minimizing the Use of Physical Restraint Audit Tool

This tool is designed to assist the team in minimizing the use of physical restraint for students with disabilities. Through the review of physical restraint documentation, the tool will assist teams in determining the following:

1. Whether the staff used appropriate prior interventions/de-escalation techniques;
2. Whether the staff used an appropriate type of restraint;
3. Whether restraint was utilized for an appropriate reason;
4. Whether the staff administering the restraint is appropriately certified;
5. Whether a witness was present at the time of restraint;
6. Whether appropriate notifications were made (parent, administrator, etc.);
7. Whether the student has a Behavior Intervention Plan; and
8. Whether the student's IEP should be revised

Based on documentation in the EdPlan Seclusion/Restraint Process and the Restraint Report Form, the district will document on the audit form below its efforts to minimize the use of restraint and document that appropriate procedures were utilized in the restraint. Each documented restraint will be audited on a separate audit form. Districts will complete the district response column for each item on the audit form. Comparisons will be made between the allowable/required responses and the district response. If the district response is in alignment with the allowable/required response, Yes will be noted in the final column. If there is not alignment between the district's response and the allowable/required response, No will be noted in the final column.

Teams should review the Oklahoma Special Education Policies and Procedures Chapter 12 Discipline for further guidance.

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Minimizing the Use of Restraint Audit Form

Student: _____ Date of Restraint: _____

Time(s) of Restraint: _____ Date of Form Completion: _____

Minimizing the Use of Restraint Audit Form				
Item #	Restraint Documentation Component	Allowable/Required District Response	District Response	Appropriate Y/N
1	Prior Interventions/ De-escalation Techniques	Evidence-Based Behavior Interventions Less Restrictive Measures Attempted		
2	Restraint Type	Physical – Non Prone		
3	Restraint Reason	Protect the Physical Safety of Student Protect the Physical Safety of Others Protect the Physical Safety of Students & Others		
4	Antecedent/Student Action Requiring Restraint	Poses Imminent Danger of Serious Physical Harm		
5	Name of Staff Who Restrained	Certified to Restrain		
6	Name of Staff Who Witnessed	At Least One Staff Person		
7	Appropriate Notification of Restraint	Parent (within 24 hours) Administrator (immediately)		
8	Does the Student Have a Behavior Intervention Plan	Required if the student has a history of dangerous behavior harming themselves or others		
9	Total number of Yes Responses	Maximum 8		

Based on this incident, should the student's IEP be revised? Y/N

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Summary

Summary of the data/information from the Audit form(s).

The district ____ is or ____is not in compliance with the Oklahoma Special Education Policies and Procedures and OAC § 210:15-13-9 regarding minimizing the use of physical restraint.

Other considerations:

300.324 (b)(1)(ii)(A) and (D) of the IDEA requires the IEP team to review and revise the IEP as appropriate to address (A) Any lack of expected progress toward the annual goals and in the general education curriculum, if appropriate, and (D) The child's anticipated needs. In the event of the use of multiple restraints regarding a pattern of behavior, it is incumbent upon the IEP team to meet and consider the need to review and revise based on (A) and (B) above.

Did the IEP team meet to review and revise the IEP following the use of multiple restraints?

Yes ___ / No ___ If yes, please provide the date(s): _____

Discuss why or why not: