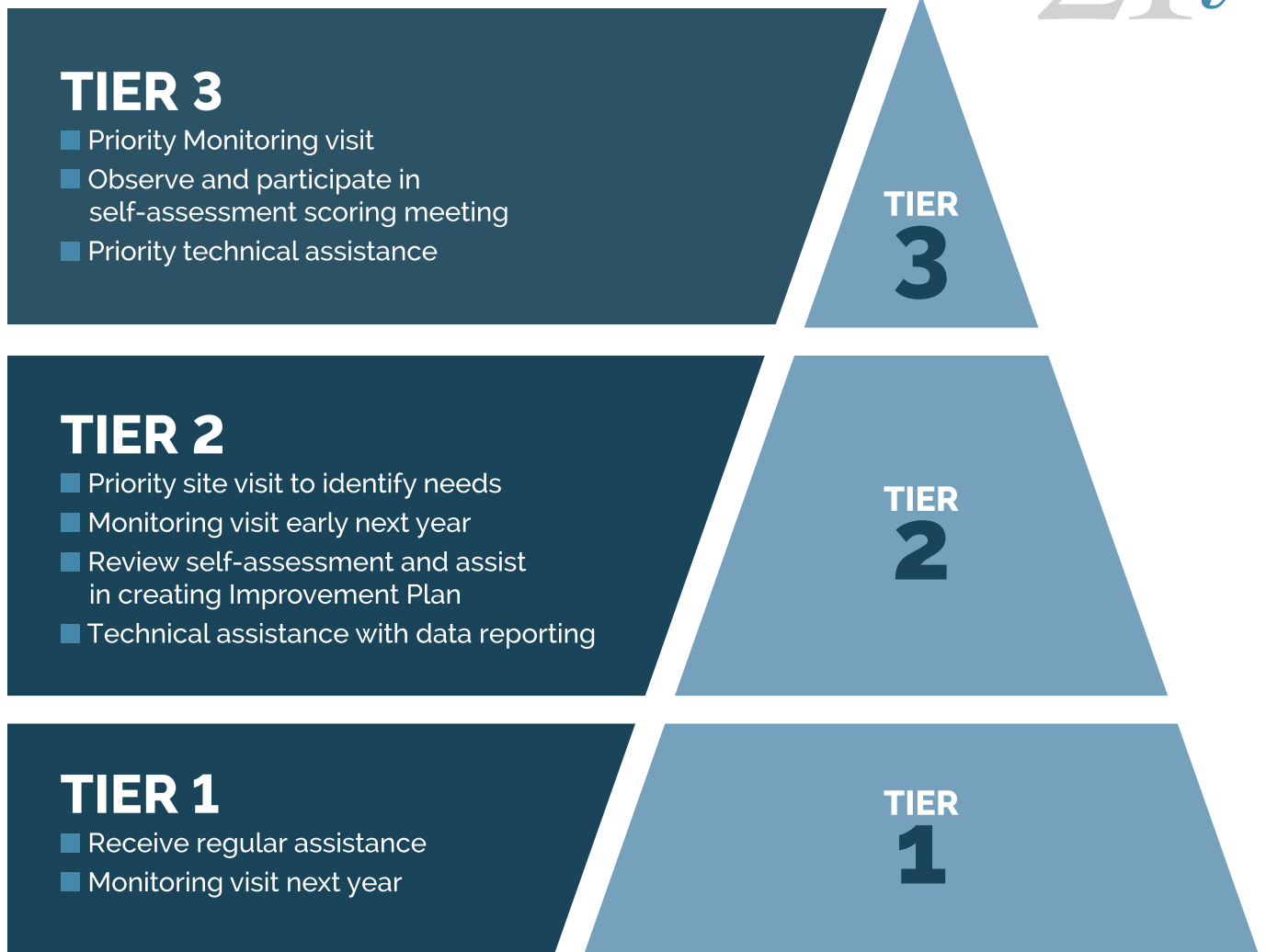


21ST CCLC TECHNICAL ASSISTANCE AND INTERVENTION FRAMEWORK



TIER 3 RISK FACTORS

- Administrator/Staff change
- Funds not spent on a monthly basis
- Lack of attendance at mandatory 21st CCLC meetings
- Failure to submit required reports in a timely manner
- Carryover funds in excess of allowed amount each year
- Lack of response to SDE correspondence and requests
- Lack of clear roles and responsibilities at grantee level
- Issues with program implementation and/or fiscal compliance (e.g., audit findings, OCAS data)
- A formal complaint against grantee pertaining to federal funds has been filed with OSDE

TIER 2 RISK FACTORS

- Data reporting incomplete/incorrect
- OCAS audit findings
- Completed a Compliance Plan
- Participating numbers below proposal projections
- Did not meet maintenance of effort
- Last monitoring visit was more than 2-3 years ago
- History of Performance

TIER 1 RISK FACTORS

- Last monitoring visit was 1 year ago
- Larger grant award
- Geographic distance from SDE
- Large purchases made