

- Priority monitoring visit to identify TA needs
- Review assessment, continuation & APR data
- Assist with creating improvement plan
- Provide TA for identified needs

**TIER
3**

- Priority site visit to identify needs
- Review assessment data & improvement plan
- Assess need for monitoring visit
- Provide TA for identified needs

**TIER
2**

- Site visit
- Provide TA as needed/requested
- Site visit by Year 3

**TIER
1**

TIER 3 RISK FACTORS

- Leadership change
- Claims not submitted monthly
- Audit findings
- Required 21st CCLC meetings not attended
- Required reports not submitted in a timely manner
- Carryover funds in excess of allowed amount
- No response to OSDE correspondence/requests
- Unclear roles and responsibilities at grantee level
- Other issues with program implementation and/or fiscal compliance
- A formal complaint against grantee pertaining to federal funds filed with OSDE

TIER 2 RISK FACTORS

- Incomplete/incorrect data reporting
- Compliance Plan required
- Fewer student participants than projected in the application for more than one year
- Maintenance of effort not met
- Over 2 years since last monitoring visit

TIER 1 RISK FACTORS

- Grant award is greater than \$200,000
- Geographic distance from OSDE exceeds 60 miles
- Capital purchases made (exceeding \$5,000)
- Monitoring visit within the past year